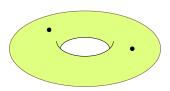
# Open intersection numbers, MKP integrable hierarchy and W-constraints

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#### INTERSECTION NUMBERS ON MODULI SPACES OF RIEMANN SURFACES



$$h = 1, I = 2$$

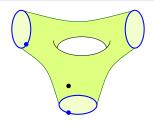
The Kontsevich-Witten description of intersection theory on the moduli spaces  $\mathcal{M}_{h,l}$ 

$$\int_{\overline{\mathcal{M}}_{h;l}} \psi_1^{\alpha_1} \psi_2^{\alpha_2} \dots \psi_l^{\alpha_l}$$

- Kontsevich matrix model
- KdV tau-function
- Virasoro constraints

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# INTERSECTION NUMBERS ON MODULI SPACES OF OPEN RIEMANN SURFACES



$$h = 1, b = 3, k = 2, l = 1$$

"
$$\int_{\overline{\mathcal{M}}_{h,b,k,l}} \psi_1^{\alpha_1} \dots \psi_l^{\alpha_l} \phi_{l+1}^{\beta_1} \dots \phi_{l+k}^{\beta_k}$$
"

Recently [ R. Pandharipande, J. Solomon and R. Tessler; A. Buryak '14] described (conjectured) intersection theory on  $\mathcal{M}_{2h+b-1,k,l}$ , that is the moduli spaces of Riemann surface with h handles, b boundaries, k marked points on the boundary and l interior marked points

• Matrix model? Tau-function? Virasoro (W)-constraints?

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#### KONTSEVICH-PENNER MODEL AND INTERSECTION NUMBERS

#### The Kontsevich-Penner matrix integral

$$\tau_n = \det(\Lambda)^n \mathcal{C}^{-1} \int_{M \times M} [d\Phi] \exp \left( -\operatorname{Tr} \left( \frac{\Phi^3}{3!} - \frac{\Lambda^2 \Phi}{2} + n \log \Phi \right) \right)$$

Tau-function of the MKP hierarchy, describes both **closed** and **open** intersection numbers.

[A.A. '14]

n		1
Integrable hierarchy	KdV	
Algebra of constraints	Heisenberg+ Virasoro	
Specified by		
Cut-and-join operator		

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#### KONTSEVICH-PENNER MODEL AND INTERSECTION NUMBERS

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Tau-function of the MKP hierarchy, describes both **closed** and **open** intersection numbers.

[A.A. '14]

n	0	1	
Intersection numbers	Closed	Open	
Integrable hierarchy	KdV	KP	
Algebra of constraints	Heisenberg+ Virasoro	Virasoro + W <sup>(3)</sup>	
Specified by	String	String+Dilaton	
Cut-and-join operator	<i>e</i> <sup><i>W</i><sub><i>KW</i></sub> ⋅ 1</sup>	" $e^{W_1+W_2/2}$ " · 1	

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#### KONTSEVICH-PENNER MODEL AND INTERSECTION NUMBERS

#### The Kontsevich-Penner matrix integral

$$\tau_{\textit{n}} = \det(\Lambda)^{\textit{n}} \mathcal{C}^{-1} \int_{\textit{M} \times \textit{M}} \left[ \textit{d} \Phi \right] \exp \left( - \text{Tr} \left( \frac{\Phi^{3}}{3!} - \frac{\Lambda^{2} \Phi}{2} + \frac{\textit{n}}{l} \log \Phi \right) \right)$$

Tau-function of the MKP hierarchy, describes both **closed** and **open** intersection numbers.

[A.A. '14]

Parameter *n* counts the number of boundaries

[B. Safnuk '16]

n	0	1	arbitrary
Intersection numbers	Closed	Open	Ramified Open
Integrable hierarchy	KdV	KP	KP
Algebra of constraints	Heisenberg+Virasoro	Virasoro + W <sup>(3)</sup>	Virasoro + W <sup>(3)</sup>
Specified by	String	String+Dilaton	String+Dilaton
Cut-and-join operator	$e^{W_{KW}} \cdot 1$	" $e^{W_1+W_2/2}$ " · 1	" $e^{W_1+W_2/2}$ " · 1

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#### KONTSEVICH-WITTEN TAU-FUNCTION

Let  $\overline{\mathcal{M}}_{h;l}$  be the Deligne–Mumford compactification of the moduli space of genus h complex curves X with I marked points  $x_1,\ldots,x_l$ . The generating function of the intersection numbers of  $\psi$ -classes

$$\int_{\overline{\mathcal{M}}_{h,l}} \psi_1^{\alpha_1} \psi_2^{\alpha_2} \dots \psi_l^{\alpha_l} = \langle \tau_{\alpha_1} \tau_{\alpha_2} \dots \tau_{\alpha_l} \rangle_h$$

$$\mathcal{F}_{\mathit{KW}}\left(\mathbf{T},\hbar\right) = \sum_{h=0}^{\infty} \hbar^{2h-2} \left\langle \exp\left(\hbar \sum_{m=0}^{\infty} T_{m} \tau_{m}\right) \right\rangle_{\hbar}$$

is the Kontsevich-Witten tau-function of the KdV hierarchy

$$au_{\mathit{KW}}\left(\mathbf{T},\hbar\right) = \exp\left(\mathcal{F}_{\mathit{KW}}\left(\mathbf{T},\hbar\right)\right)$$

[E. Witten '91; M. Kontsevich '92]

Below we use the variables  $t_{2k+1} = T_k/(2k+1)!!$ , times of the KP hierarchy.

Closed Intersection numbers

#### KONTSEVICH MATRIX INTEGRAL

The Kontsevich–Witten tau-function is a formal series in odd times  $t_{2k+1}$  with rational coefficients. In the Miwa parametrization

$$t_k = \frac{1}{k} \operatorname{Tr} \Lambda^{-k}$$

it is equal to the asymptotic expansion of the **Kontsevich matrix integral** over the  $M \times M$  Hermitian matrix  $\Phi$ :

$$au_{KW}\left(\mathbf{t},\hbar\right)=\mathcal{C}^{-1}\int\left[d\Phi
ight]\exp\left(-rac{1}{\hbar}\mathrm{Tr}\left(rac{\Phi^{3}}{3!}+rac{\Lambda\Phi^{2}}{2}
ight)
ight)$$

All  $t_k$  can be considered as independent variables as the size of the matrices M tends to infinity and in this limit the integral yields the Kontsevich–Witten tau-function.

It is easy to show that this matrix integral defines a tau-function of the KdV integrable hierarchy.

### VIRASORO CONSTRAINTS FOR $au_{ extsf{KW}}$

Consider a bosonic current on the curve  $y^2 = x$  with odd boundary conditions

$$\widehat{J}_{o}(x) = \frac{1}{\sqrt{2}} \sum_{k=0}^{\infty} \left( (2k+1) \widetilde{t}_{2k+1} x^{k-\frac{1}{2}} + \frac{1}{x^{k+\frac{3}{2}}} \frac{\partial}{\partial t_{2k+1}} \right)$$

where the time variables are subject to the dilaton shift

$$\tilde{t}_k = t_k - \frac{\delta_{k,3}}{3\hbar}$$

Then, we can construct

$$\widehat{\mathcal{L}}(z) = \sum_{k=-\infty}^{\infty} \frac{\widehat{\mathcal{L}}_k}{z^{k+2}} = \frac{1}{2} * \widehat{J}_o^2(z) * + \frac{1}{16z^2}$$

where we use usual bosonic normal order so that

$$\left[\widehat{\mathcal{L}}_{k},\widehat{\mathcal{L}}_{m}\right]=(k-m)\widehat{\mathcal{L}}_{k+m}+\frac{1}{12}k(k^{2}-1)\delta_{k,-m}$$

with central charge c = 1.

#### CUT-AND-JOIN OPERATOR

From the Virasoro constraints

$$\hat{\mathcal{L}}_k \, \tau_{KW}(\mathbf{t}; \hbar) = 0, \qquad k \ge -1$$

it follows that the Kontsevich–Witten tau-function can be described by a cut-and-join operator [A. A. '10]

$$au_{\mathit{KW}}(\mathbf{t};\hbar) = e^{\hbar \, \widehat{W}_{\mathit{KW}}} \cdot \mathsf{1}$$

where

$$\widehat{W}_{KW} = \frac{1}{3} \sum_{k,m \ge 0} (2k+1) (2m+1) t_{2k+1} t_{2m+1} \frac{\partial}{\partial t_{2k+2m-1}}$$

$$+ \frac{1}{3!} \sum_{k,m \ge 0} (2k+2m+5) t_{2k+2m+5} \frac{\partial^2}{\partial t_{2k+1} \partial t_{2m+1}} + \frac{t_1^3}{3!} + \frac{t_3}{8}$$

Operator  $\widehat{W}_{KW}$  describes a topological recursion on the level of tau-function

Closed Intersection numbers

#### OPEN INTERSECTION NUMBERS

The moduli spaces for the open Riemann surfaces (Riemann surfaces with boundaries) were described for the disc case in [R. Pandharipande, J. Solomon and R. Tessler '14] and for the higher genera case in [R. Tessler '15].

$$\dim_{\mathbb{R}} \mathcal{M}_{h,b,k,l} = 6h - 6 + 3b + k + 2l.$$

We can consider the intersection numbers

$$\int_{\overline{\mathcal{M}}_{h,b,k,l}} \psi_1^{\alpha_1} \dots \psi_l^{\alpha_l} \phi_{l+1}^{\beta_1} \dots \phi_{l+k}^{\beta_k} = \langle \tau_{\alpha_1} \dots \tau_{\alpha_l} \sigma_{\beta_1} \dots \sigma_{\beta_k} \rangle_{h,b}$$

where  $\psi_j$  are the the first Chern classes of the bundles  $\mathcal{L}_i$  corresponding to the interior points and  $\phi_j$  are their analogs for the boundary points. In in [ R. Pandharipande, J. Solomon and R. Tessler '14] all intersection numbers of the form

"
$$\int_{\overline{\mathcal{M}}_{0,1,k,l}} \psi_1^{\alpha_1} \dots \psi_l^{\alpha_l} \phi_{l+1}^0 \dots \phi_{l+k}^0$$
"

were constructed.

#### OPEN INTERSECTION NUMBERS

The generating function of all these intersection numbers

$$\mathcal{F}_Q(\mathbf{T};\mathbf{S},\hbar) = \sum_{h=0}^{\infty} \sum_{b=0}^{\infty} \hbar^{2h-2+b} Q^b \left\langle \exp\left(\hbar \sum_{k \geq 0} (T_k \tau_k + S_k \sigma_k)\right) \right\rangle_{h,b}$$

and

$$au_Q(\mathbf{T};\mathbf{S},\hbar) = e^{\mathcal{F}_Q(\mathbf{T};\mathbf{S},\hbar)}$$

In [R. Tessler '15] all coefficients of the generating function for Q=1 (that is the function, to which the components of the moduli spaces with different number of boundaries contributes with the same weight) and  $\mathbf{S_0}=\{S_0,0,0,\dots\}$  (that is without descendants on the boundary),

$$\tau_1(T; S_0, \hbar).$$

were calculated. Obtained all-genera generating function is uniquely specified by the so called open KdV equations and the Virasoro constraints.

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#### **OPEN INTERSECTION NUMBERS**

In [A. Buryak, '14] the generating function was generalized to describe the descendants on the boundary, and the Virasoro constrains for this conjectural generalized (or extended) generating function were established.

$$\tau_1(\mathbf{T}; \mathbf{S}, \hbar).$$

From the definition it follows that for Q=0 only the components without boundaries contribute, so that the generating function does not depend on  $S_k$ 's and coincides with the Kontsevich-Witten tau-function

$$\tau_0(\mathbf{T}; \mathbf{S}, \hbar) = \tau_{KW}(\mathbf{T}, \hbar).$$

$$\tau_{Q}(\mathbf{T};\mathbf{S},\hbar) = \tau_{Q}(\mathbf{T};\mathbf{S},\mathbf{1})\Big|_{T_{k} \mapsto \hbar^{\frac{2k+1}{3}} T_{k}, \ S_{k} \mapsto \hbar^{\frac{2k+2}{3}} S_{k}}$$

Thus, we can omit  $\hbar$  and then restore it if necessary.

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#### MATRIX INTEGRAL FOR OPEN INTERSECTION NUMBERS

We **unify** two infinite sets of variables  $T_k$  and  $S_k$ , corresponding to the descendants in the interior and on the boundary:

$$T_k = (2k+1)!! t_{2k+1}, S_k = 2^{k+1}(k+1)! t_{2k+2}$$

**Proposition:** the extended generating function of open intersection numbers  $\tau_1(\mathbf{t})$  is a KP tau-function, given by the matrix integral

$$au_1\left(\mathbf{t}
ight) = \mathcal{C}^{-1} \, \det(\Lambda) \! \int \left[ d\Phi 
ight] \exp\left( - \mathrm{Tr} \, \left( rac{\Phi^3}{3!} - rac{\Lambda^2 \Phi}{2} + \log \Phi 
ight) 
ight)$$

where

$$t_k = \frac{1}{k} \operatorname{Tr} \Lambda^{-k}$$

This matrix integral belongs to the family of the **generalized Kontsevich models**.

#### **KP tau-function!**

## $W_{1+\infty}$ ALGEBRA OF SYMMETRIES

The  $W_{1+\infty}$  algebra of infinitesimal symmetries of the KP hierarchy can be described in terms of the bosonic current  $\widehat{J}(z) = \sum \widehat{J}_k z^{-k-1}$ , where

$$\widehat{J}_{k} = \begin{cases} \frac{\partial}{\partial t_{k}} & \text{for } k > 0, \\ 0 & \text{for } k = 0, \\ -kt_{-k} & \text{for } k < 0 \end{cases}$$

 $\widehat{J}(z)$  generates the Heisenberg algebra.  $\widehat{J}(z)^2$  generates the Virasoro algebra:

$$\widehat{L}_{m} = \frac{1}{2} \sum_{k+l=-m} k \, l \, t_{k} t_{l} + \sum_{k=1}^{\infty} k t_{k} \frac{\partial}{\partial t_{k+m}} + \frac{1}{2} \sum_{k+l=m} \frac{\partial^{2}}{\partial t_{k} \partial t_{l}}$$

 ${}^*\widehat{J}(z)^3{}^*$  generates the  $W^{(3)}$  algebra:

$$\begin{split} \widehat{M}_k &= \frac{1}{3} \sum_{a+b+c=-k} a \, b \, c \, t_a \, t_b \, t_c + \sum_{c-a-b=k} a \, b \, t_a \, t_b \, \frac{\partial}{\partial t_c} \\ &+ \sum_{b+c-a=k} a \, t_a \frac{\partial^2}{\partial t_b \partial t_c} + \frac{1}{3} \sum_{a+b+c=k} \frac{\partial^3}{\partial t_a \partial t_b \partial t_c} \end{split}$$

#### VIRASORO CONSTRAINTS FOR OPEN INTERSECTION NUMBERS

Using the Kac–Schwarz operators we can show that the tau-function  $\tau_1$  is an eigenfunction of the Virasoro operators:

$$\widehat{L}_{k}^{(1)} = \widehat{L}_{2k} + (k+2)\widehat{J}_{2k} - \widehat{J}_{2k+3} + \left(\frac{1}{8} + \frac{3}{2}\right)\delta_{k,0}, \quad k \ge -1$$

$$\begin{split} \widehat{M}_{k}^{(1)} &= \widehat{M}_{2k} + 2(k+3)\widehat{L}_{2k} - 2\widehat{L}_{2k+3} - 2(k+3)\widehat{J}_{2k+3} \\ &+ \left(\frac{95}{12} + 6k + \frac{4}{3}k^2\right)\widehat{J}_{2k} + \widehat{J}_{2k+6} + \frac{23\delta_{k,0}}{3}, \quad k \ge -2 \end{split}$$

These operators belong to  $W_{1+\infty}$  algebra of symmetries of KP and annihilate the tau-function

$$\widehat{L}_{k}^{(1)} \, \tau_{1} = 0, \quad k \geq -1$$
 $\widehat{M}_{k}^{(1)} \, \tau_{1} = 0, \quad k \geq -2$ 

#### KAC-SCHWARZ OPERATOR FOR ARBITRARY n

The Kontsevich-Penner model

$$\begin{split} \tau_n &= \frac{\int \left[ d\Phi \right] \, \det \left( 1 + \frac{\Phi}{\Lambda} \right)^{-n} \exp \left( - \mathrm{Tr} \, \left( \frac{\Phi^3}{3!} + \frac{\Lambda \Phi^2}{2} \right) \right)}{\int \left[ d\Phi \right] \exp \left( - \mathrm{Tr} \, \frac{\Lambda \Phi^2}{2} \right)} \\ &= \det (\Lambda)^n \mathcal{C}^{-1} \int \left[ d\Phi \right] \exp \left( - \mathrm{Tr} \, \left( \frac{\Phi^3}{3!} - \frac{\Lambda^2 \Phi}{2} + n \log \Phi \right) \right) \end{split}$$

The bilinear identity satisfied by a tau-function  $\tau_n(\mathbf{t})$  of the modified Kadomtsev–Petviashvili (MKP) integrable hierarchy for  $m \geq n$ 

$$\oint_{\infty} \frac{z^{m-n}}{z^{m-n}} e^{\sum_{k>0} (t_k - t_k') z^k} \tau_m(\mathbf{t} - [z^{-1}]) \tau_n(\mathbf{t}' + [z^{-1}]) dz = 0$$

encodes all nonlinear equations of the hierarchy.

#### SUBALGEBRA OF VIRASORO ALGEBRA

Using the Kac–Schwarz description of the corresponding point of the Sato Grassmannian it is easy to show that the operators from the  $W_{1+\infty}$  algebra

$$\begin{split} \widehat{L}_{-1}^{(n)} &= \widehat{L}_{-2} - \frac{\partial}{\partial t_1} + 2nt_2, \\ \widehat{L}_0^{(n)} &= \widehat{L}_0 - \frac{\partial}{\partial t_3} + \frac{1}{8} + \frac{3n^2}{2}, \\ \widehat{L}_1^{(n)} &= \widehat{L}_2 - \frac{\partial}{\partial t_5} + 3n\frac{\partial}{\partial t_2} \end{split}$$

satisfy the commutation relation of the subalgebra of the Virasoro algebra

$$\begin{aligned} \left[\widehat{L}_{i}^{(n)}, \widehat{L}_{j}^{(n)}\right] &= 2(i-j)\widehat{L}_{i+j}^{(n)}, \quad i, j = -1, 0, 1\\ \widehat{L}_{k}^{(n)} \tau_{n} &= 0, \quad k = -1, 0, 1 \end{aligned}$$

k = -1 is the string equation; k = 0 is the dilaton equation

The string and dilaton (?) equations were derived by [E. Brezin and S. Hikami '12].

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#### VIRASORO CONSTRAINTS

The Virasoro operators

$$\widehat{L}_k^{(n)} = \widehat{L}_{2k} - \frac{\partial}{\partial t_{2k+3}} + 3n\frac{\partial}{\partial t_{2k}} + \sum_{j=1}^{k-1} \frac{\partial^2}{\partial t_{2j}\partial t_{2k-2j}} + \left(\frac{1}{8} + \frac{3n^2}{2}\right)\delta_{k,0} + 2nt_2\delta_{k,-1}, \ k \ge -1$$

$$\left[\widehat{\mathsf{L}}_{k}^{(n)},\widehat{\mathsf{L}}_{m}^{(n)}\right]=2(k-m)\widehat{\mathsf{L}}_{k+m}^{(n)}$$

annihilate the tau-function

$$\widehat{\mathsf{L}}_{k}^{(n)} \tau_{n} = 0, \quad k \geq -1$$

Remark: the situation is similar to the case of the Gaussian Hermitian matrix model. For this model we also have an infinite algebra of the Virasoro constraints, but only an sl(2) subalgebra of it belongs to the  $W_{1+\infty}$  algebra of KP symmetries. [M. Mulase, '94] Proposition: the string and dilaton equations uniquely specify the solution of the KP hierarchy in the same way as the string equation specifies the KW tau-function of the KdV hierarchy.

#### HIGHER W-CONSTRAINTS

$$\begin{split} \widehat{M}_{k}^{(n)} &= \widehat{M}_{2k} - 2\widehat{L}_{2k+3} + \widehat{J}_{2k+6} + \left(3(k+1)n^2 + \frac{1}{4}\right)\widehat{J}_{2k} \\ &+ (k+4)n\left(\widehat{L}_{2k} - \widehat{J}_{2k+3}\right) + 2\left(n^2 + \frac{1}{4}\right)n\delta_{k,0} + 4n^2t_2\delta_{k,-1} + 16n^2t_4\delta_{k,-2} \\ &+ (k-2)n\sum_{j=1}^{k-1} \frac{\partial^2}{\partial t_{2j}\partial t_{2k-2j}} - \frac{4}{3}\sum_{i+j+l=k} \frac{\partial^3}{\partial t_{2j}\partial t_{2j}\partial t_{2l}} \end{split}$$

for  $k \ge -2$ . Commutation relations between the Virasoro and W-operators

$$\left[\widehat{L}_{k}^{(n)}, \widehat{M}_{l}^{(n)}\right] = 2(2k-l)\widehat{M}_{k+l}^{(n)} - 4(k(k-1)-2\delta_{k,-1}) n\widehat{L}_{k+l}^{(n)} + 8\sum_{j=1}^{k-1} j\frac{\partial}{\partial t_{2k-2j}}\widehat{L}_{l+j}^{(n)}$$

for  $k \ge -1$  and  $l \ge -2$ , so that

$$\widehat{\mathsf{M}}_{k}^{(n)} au_{n} = 0, \quad k \geq -2.$$

Open intersection numbers

# $W^{(n)}$ ALGEBRA AND FREE FIELDS

 $W^{(n)}$  algebra can be naturally described in terms of free bosonic fields

[A. B. Zamolodchikov '85] [V. A. Fateev and A. B. Zamolodchikov '87] [V. A. Fateev and S. L. Lukyanov '88]

For the case of sl(n) it in can be represented in terms of the vector of n-1 independent bosonic currents  $\vec{J} = (J_{(1)}, J_{(2)}, \dots, J_{(n-1)})$ 

$$J_{(k)}(x) = \partial_x \phi_{(k)}(x) = \sum_{m=-\infty}^{\infty} J_m^{(k)} x^{-m-1}, \quad \left[ J_m^{(k)}, J_n^{(l)} \right] = m \, \delta_{k,l} \, \delta_{m,-n}$$

and is generated by

$$R_n(u) = - * \prod_{m=1}^n (u - \vec{h}_m \cdot \vec{J}) *$$

Here the  $\vec{h}_m$ 's are the weight vectors of the fundamental representation of sl(n).

# $W^{(3)}$ algebra and free fields

In particular, for n = 3, the  $W^{(3)}$  algebra is generated by

$$\begin{split} R_3(u) &= - \underset{m=1}{\overset{*}{\cdot}} \prod_{m=1}^3 (u - \vec{h}_m \vec{J}) \underset{*}{\overset{*}{\cdot}} = - u^3 - u \underset{*}{\overset{*}{\cdot}} \prod_{i < j} (\vec{h}_i \cdot \vec{J}) (\vec{h}_j \cdot \vec{J}) \underset{*}{\overset{*}{\cdot}} + \underset{*}{\overset{*}{\cdot}} \prod_i \vec{h}_i \cdot \vec{J} \underset{*}{\overset{*}{\cdot}} \\ &= - u^3 + u \, \mathcal{L}(x) + \mathcal{M}(x) \end{split}$$

Then

$$\begin{split} \mathcal{L}(x) &= \sum_{k=-\infty}^{\infty} \frac{\mathcal{L}_k}{x^{k+2}} = \frac{1}{2} \left( {}_*^* J_{(1)}(x)^2 + J_{(2)}(x)^2 \, {}_*^* \right), \\ \mathcal{M}(x) &= \sum_{k=-\infty}^{\infty} \frac{\mathcal{M}_k}{x^{k+3}} := \frac{1}{\sqrt{6}} \left( {}_*^* J_{(1)}(x)^2 J_{(2)}(x) - \frac{1}{3} J_{(2)}(x)^3 \, {}_*^* \right) \end{split}$$

generate  $W^{(3)}$  algebra with c = 2.

#### TWISTED FIELD

Let us introduce two bosonic currents

$$\begin{split} \widehat{J}_{\theta}(x) &= \sum_{k=0}^{\infty} \left( \sqrt{\frac{2}{3}} k \, \widetilde{t}_{2k} x^{k-1} + \sqrt{\frac{3}{2}} \frac{1}{x^{k+1}} \frac{\partial}{\partial t_{2k}} \right) + \sqrt{\frac{3}{2}} \frac{n}{x}, \\ \widehat{J}_{o}(x) &= \frac{1}{\sqrt{2}} \sum_{k=0}^{\infty} \left( (2k+1) \widetilde{t}_{2k+1} x^{k-\frac{1}{2}} + \frac{1}{x^{k+\frac{3}{2}}} \frac{\partial}{\partial t_{2k+1}} \right) \end{split}$$

with the dilaton shift

$$\tilde{t}_k = t_k - \frac{\delta_{k,3}}{3}$$

We see that the odd current  $\widehat{J}_{o}(z)$  is the same as the current from the description of the Kontsevich-Witten tau-function and  $\widehat{J}_{e}(z)$  (up to trivial rescalling of the times) is the untwisted current.

#### CONSTRAINTS FOR KONTSEVICH-PENNER MODEL

Then

$$\widehat{\mathcal{L}}^{(n)}(x) = \sum_{k=-\infty}^{\infty} \frac{\widehat{\mathcal{L}}_{k}^{(n)}}{x^{k+2}} = \frac{1}{2} \left( {}_{*}^{*} \widehat{J}_{o}(x)^{2} + \frac{1}{8x^{2}} + \widehat{J}_{e}(x)^{2} {}_{*}^{*} \right),$$

$$\widehat{\mathcal{M}}^{(n)}(x) = \sum_{k=-\infty}^{\infty} \frac{\widehat{\mathcal{M}}_{k}^{(n)}}{x^{k+3}} := \frac{1}{\sqrt{6}} \left( {}_{*}^{*} \widehat{J}_{e}(x) \left( \widehat{J}_{o}(x)^{2} + \frac{1}{8x^{2}} \right) - \frac{1}{3} \widehat{J}_{e}(x)^{3} {}_{*}^{*} \right)$$

generate a representation of the  $W^{(3)}$  algebra with central charge c=2

$$\begin{split} \left[\widehat{\mathcal{L}}_k^{(n)}, \widehat{\mathcal{L}}_m^{(n)}\right] &= (k-m)\widehat{\mathcal{L}}_{k+m}^{(n)} + \frac{1}{6}k(k^2-1)\delta_{k,-m}, \\ \left[\widehat{\mathcal{L}}_k^{(n)}, \widehat{\mathcal{M}}_m^{(n)}\right] &= (2k+m)\widehat{\mathcal{M}}_{k+m}^{(n)} \end{split}$$

and

$$\left(\widehat{\mathcal{L}}^{(n)}(x)\right)_{-} \tau_{n} = 0$$

$$\left(\widehat{\mathcal{M}}^{(n)}(x)\right)_{-} \tau_{n} = 0$$

#### **CUT-AND-JOIN DESCRIPTION**

Topological expansion:

$$\tau_n(\mathbf{t}; \hbar) = \exp\left(\sum_{\chi < 0} \hbar^{-\chi} F_n^{(\chi)}(\mathbf{t})\right) = 1 + \sum_{k=1}^{\infty} \hbar^k \tau_n^{(k)}(\mathbf{t})$$

where

$$\chi = 2 - 2 \# \text{handles} - \# \text{boundaries} - \# \text{points}$$

 $\tau_n(\mathbf{t}; \hbar)$  satisfies the cut-and-join type equation

$$\hbar \frac{\partial}{\partial \hbar} \tau_n(\mathbf{t}, \hbar) = \left(\hbar \, \widehat{W}_1 + \hbar^2 \widehat{W}_2\right) \tau_n(\mathbf{t}, \hbar)$$

so that  $\tau_n^{(k)}$  are uniquely defined by a recursion

$$\tau_n^{(k)} = \frac{1}{k} \left( \widehat{W}_1 \, \tau_n^{(k-1)} + \widehat{W}_2 \, \tau_n^{(k-2)} \right)$$

with the initial conditions  $\tau_n^{(0)} = 1$ ,  $\tau_n^{(-1)} = 0$ .

#### **CUT-AND-JOIN OPERATORS**

Operators  $\widehat{W}_1$  and  $\widehat{W}_2$  are not unique.

$$v_o(z) = \frac{1}{\sqrt{2}} \sum_{k=0}^{\infty} (2k+1) x^{k-\frac{1}{2}} t_{2k+1}$$
$$v_e(z) = \sqrt{\frac{2}{3}} \sum_{k=1}^{\infty} k x^{k-1} t_{2k}$$

$$\begin{split} \widehat{W}_1 &= \frac{1}{\sqrt{2}} \frac{1}{2\pi i} \oint {}^*_* \frac{v_o(x)}{2} \left( \widehat{J}_o'(x)^2 + \frac{1}{8x^2} + \widehat{J}_e(x)^2 \right) + \frac{2v_e(x)}{\sqrt{3}} \widehat{J}_o'(x) \widehat{J}_e(x)^*_* \frac{dx}{\sqrt{x}}, \\ \widehat{W}_2 &= -\frac{1}{3} \frac{1}{2\pi i} \oint v_e(x) \left( {}^*_* \widehat{J}_e(x) \left( \widehat{J}_o'(x)^2 + \frac{1}{8x^2} \right) - \frac{1}{3} \widehat{J}_e(x)^3 {}^*_* \right) \frac{dx}{x^2} \end{split}$$

Not from  $W_{1+\infty}$ !

$$\mathcal{F}_{n}(\mathbf{t}) = \left(\frac{1}{8} + \frac{3}{2}n^{2}\right)t_{3} + \frac{1}{6}t_{1}^{3} + 2nt_{1}t_{2} + 6nt_{1}t_{2}t_{3} + 4n\left(1 + n^{2}\right)t_{6}$$

$$+ \frac{4}{3}nt_{2}^{3} + \left(\frac{9}{4}n^{2} + \frac{3}{16}\right)t_{3}^{2} + \frac{1}{2}t_{3}t_{1}^{3} + 8n^{2}t_{2}t_{4} + 4t_{1}^{2}nt_{4} + \left(\frac{15}{2}n^{2} + \frac{5}{8}\right)t_{1}t_{5}$$

$$+ 8nt_{2}^{3}t_{3} + 15\left(3n^{2} + \frac{1}{4}\right)t_{1}t_{3}t_{5} + 24nt_{1}^{2}t_{3}t_{4} + 30n^{2}t_{2}^{2}t_{5} + \frac{105}{8}\left(\frac{1}{16} + \frac{7}{2}n^{2} + n^{4}\right)t_{9}$$

$$+ 35\left(n^{3} + \frac{3}{4}n\right)t_{7}t_{2} + 35\left(\frac{1}{16} + \frac{3}{4}n^{2}\right)t_{7}t_{1}^{2} + 32n\left(n^{2} + 1\right)t_{8}t_{1} + 32n^{2}t_{1}t_{4}^{2}$$

$$+ 48n^{2}t_{2}t_{3}t_{4} + 18nt_{1}t_{2}t_{3}^{2} + 20n\left(1 + 2n^{2}\right)t_{5}t_{4} + 24n\left(n^{2} + 1\right)t_{6}t_{3} + 8nt_{1}^{3}t_{6}$$

$$+ \frac{3}{2}t_{1}^{3}t_{3}^{2} + 48n^{2}t_{1}t_{2}t_{6} + 16nt_{1}t_{2}^{2}t_{4} + \frac{5}{8}t_{1}^{4}t_{5} + \left(\frac{9}{2}n^{2} + \frac{3}{8}\right)t_{3}^{3} + 15nt_{1}^{2}t_{2}t_{5} + \dots$$

Open intersection numbers

#### CONCLUSION

#### A complete analog of the Kontsevich–Witten description for open case.

Open and closed models are of the similar complexity: simple!

n	0	1	arbitrary
Intersection numbers	Closed	Open	Refined Open
Integrable hierarchy	KdV	KP	MKP
Algebra of constraints	Heisenberg+Virasoro	Virasoro + W <sup>(3)</sup>	Virasoro + W <sup>(3)</sup>
Specified by	String	String+Dilaton	String+Dilaton
Cut-and-join operator	e <sup>W</sup> kw ⋅ 1	" $e^{W_1+W_2/2}$ " · 1	" $e^{W_1+W_2/2}$ " · 1

#### Open questions

- Prove the refined conjecture [A.A., A. Buryak, R. Tessler, to appear]
  - *r*-spin version of open intersection numbers
- Open topological string models for more complicated target spaces (CP<sup>1</sup>)
  - Open version of Topological recursion/Givental theory
  - lacktriangle Simple formulas for  $\omega_{g,n}(z_1,\ldots,z_n)$  [E. Brezin and S. Hikami, '15]

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