

# Twisted Compactifications and Black Hole Microstates

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*University of Amsterdam*

Based on

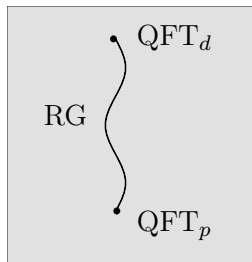
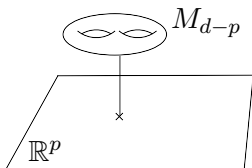
- 1) 1511.09462 with N. Bobev and F. Benini
- 2) 1708.xxxxx with N. Bobev
- 3) 1707.04257 with N. Bobev & V. Min, and F. Azzurli & A. Zaffaroni.

HSE, Moscow

August 1, 2017

# Basic Setup

Consider a QFT on  $M_d = \mathbb{R}^p \times M_{d-p}$  and flow to IR:

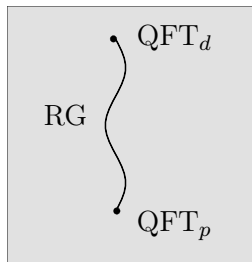
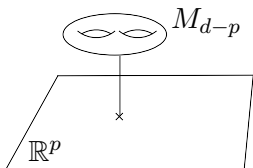


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- 3) Holographic description?

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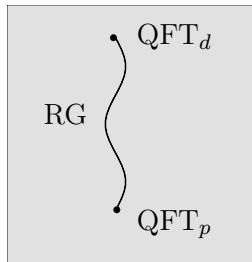
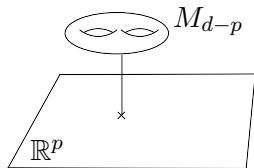


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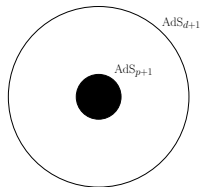
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# Motivation

- Recently, great interest in QFTs on  $M_d$
- Exact results by localization in various dimensions [Pestun, Kapustin et al., Benini et al., Doroud et al.] Check of dualities and AdS/CFT
- Taking  $M_d = M_{d-p} \times \tilde{M}_p$  leads to new dualities  $T_{d-p} \leftrightarrow \tilde{T}_p$  [Alday-Gaiotto-Tachikawa, Gadde-Pomini-Rastelli-Razamat, Dimofte-Gaiotto-Gukov, ...]
- Compactification leads to large classes of SCFTs from higher dimensions [Maldacena-Núñez, Bershadsky-Johansen-Sadov-Vafa, Gaiotto, Bah et al., Cricigno-Benini-Bobev, ...]
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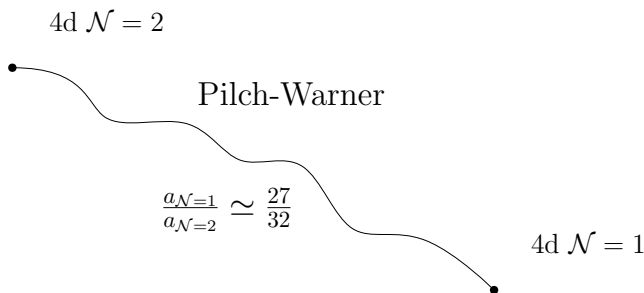
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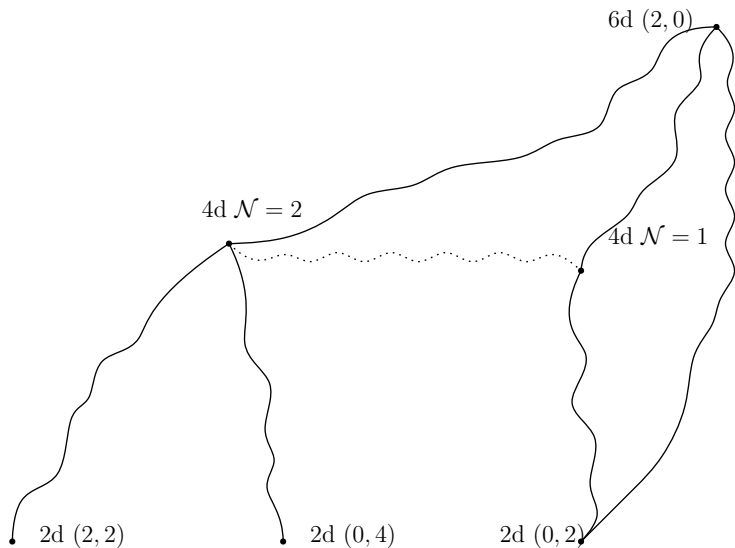
# What do we mean by “universal”?

- Prototypical example of a (4d $\rightarrow$ 4d) universal flow:



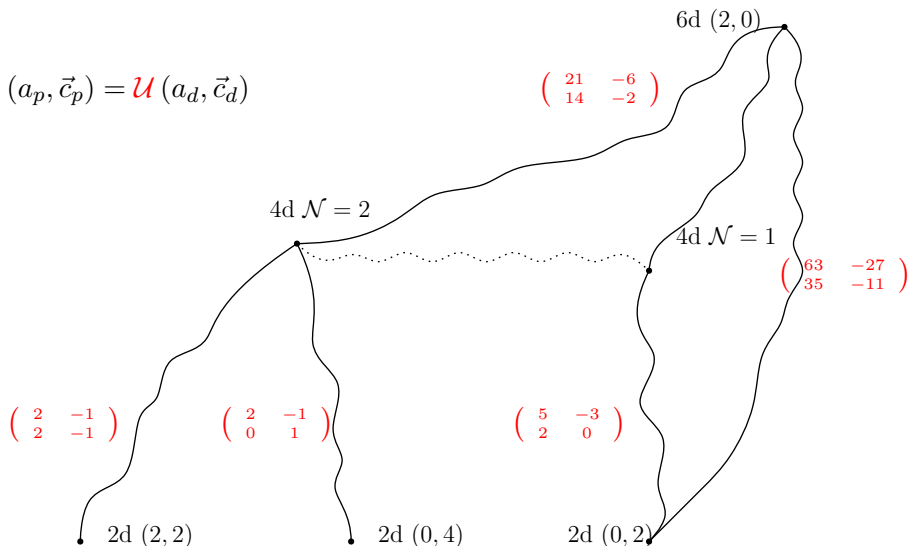
- First found for  $\mathcal{N} = 4 \rightarrow \mathcal{N} = 1$  flow [Anselmi-Freedman et al. 1997]
- Later proven in more generality for  $\mathcal{N} = 2 \rightarrow \mathcal{N} = 1$  flows [Tachikawa-Wecht 2009]

# Universal flows across (even) dimensions




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$$(a_p, \vec{c}_p) = \mathcal{U}(a_d, \vec{c}_d)$$



# Universal flows across (odd) dimensions

- In even  $d$  anomalies nicely behaved under RG, but more general story. In 3d:

$$F_{\text{IR}} = (g - 1) F_{\text{UV}}$$



3d  $\mathcal{N} = 2$  on  $\Sigma_g$

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- Many more universal relations predicted by holography:  $5d \rightarrow 3d$ ,  $5d \rightarrow 2d$ , etc.

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# Review tools



# Tool 1: Topological twist [Witten 1988]

To preserve SUSY on  $M_d$ :

$$(\partial_\mu + \omega_\mu)\epsilon = 0$$

Generically, no solutions. But, if global **R-symmetry**, turn background on:

$$(\partial_\mu + \omega_\mu + A_\mu^{back})\epsilon = 0 \quad \xRightarrow{A_\mu^{back} = -\omega_\mu} \quad \partial_\mu \epsilon = 0$$

Comments:

- SUSY only partially preserved
- Spin of fields *shifted*:  $(\partial_\mu + (s - q)\omega_\mu)\phi$
- If  $M_d = \mathbb{R}^p \times \mathcal{M}_{d-p}$  twist only along  $\mathcal{M}_{d-p}$

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In other words:

- Global symmetry  $S \times G_R$  (Lorentz  $\times$  R-symmetry)
- Twist amounts to choosing embedding

$$S \subset G_R$$

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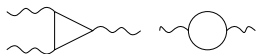
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## Tool 2: Anomalies

Anomalies are robust **nonperturbative** observables. Interested in **two kinds** of anomalies:

- 't Hooft R-symmetry anomalies  $\langle \partial_\mu j_R^\mu \rangle \neq 0$ . In 4d and 2d:



$$k_{RRR}, k_{RR}, \dots$$

- Weyl Anomalies

$$\langle T_\mu^\mu \rangle_{M_d} \sim aE + c_i W_i$$

- If SUSY  $\Rightarrow \{T_{\mu\nu}, j_R^\mu\} \Rightarrow$

$$(a, c_i) \quad \text{related to} \quad k's$$

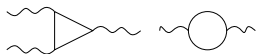
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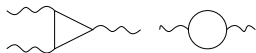
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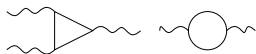
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# Field Theory

$$4\mathrm{d} \ \mathcal{N} = 1 \text{ on } \Sigma_{g>1}$$



$$2\mathrm{d} \ \mathcal{N} = (0, 2)$$

# Universal relation [Benini-Bobev-MC 2015]

To establish relation among central charges, 3 simple steps:

1) R-symmetry is  $U(1)_R$ . Assume *no Abelian flavor symmetry*:

$$I_6 = \frac{k_{RRR}}{6} c_1(R)^3 - \frac{k_R}{24} c_1(R) p_1(T_4)$$

2) Twist on  $\Sigma_g$ :  $U(1)_\Sigma \subset U(1)_R \Rightarrow$

$$c_1(R) \rightarrow c_1(R) + \frac{1}{2} d\text{Vol}(\Sigma_g)$$

3) Integrate  $\int_{\Sigma_g} I_6$  and compare to

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This leads to (*anomaly matching*)

$$k_{RR} = (g - 1)k_{RRR}, \quad k = (g - 1)k_R$$

Assuming fixed point in UV and IR: (*Ward identities*)

$$4d : \quad a_{4d} = \frac{9}{32}k_{RRR} - \frac{3}{32}k_R, \quad c_{4d} = \frac{9}{32}k_{RRR} - \frac{5}{32}k_R$$

$$2d : \quad c_r = 3k_{RR}, \quad c_r - c_l = k$$

gives:

$$\begin{pmatrix} c_r \\ c_l \end{pmatrix} = \frac{16(g-1)}{3} \begin{pmatrix} 5 & -3 \\ 2 & 0 \end{pmatrix} \begin{pmatrix} a_{4d} \\ c_{4d} \end{pmatrix}$$

- True also for compactification of  $\mathcal{N} = 2, 3, 4$  theories.
- In the large- $N$  limit:

$$c_r \simeq c_l \simeq \frac{32}{3}(g-1)a_{4d}$$

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$$4\mathrm{d} \mathcal{N} = 2 \text{ on } \Sigma_{g>1}$$



$$2\mathrm{d} \mathcal{N} = (2, 2)$$

R-symmetry is now  $SU(2) \times U(1)_r$ . Thus, more twists are possible:

Pick  $U(1)_\Sigma \subset \underbrace{U(1)_{R_3}}_\alpha \times \underbrace{U(1)_r}_\beta$  [Kapustin 2006]

Assuming IR fixed point one shows: [Bobev-MC 2017]

- For  $\alpha$ -twist:

$$2d \mathcal{N} = (2, 2): \quad \begin{pmatrix} c_r \\ c_l \end{pmatrix} = 12(g-1) \begin{pmatrix} 2 & -1 \\ 2 & -1 \end{pmatrix} \begin{pmatrix} a_{4d} \\ c_{4d} \end{pmatrix}$$

Note  $c_r = c_l = 3(g-1)d_G$  with  $d_G \equiv 4(2a_{4d} - c_{4d})$  dim. of Coulomb branch of 4d theory [Shapere-Tachikawa 2008]

- For  $\beta$ -twist:

$$2d \mathcal{N} = (0, 4): \quad \begin{pmatrix} c_r \\ c_l \end{pmatrix} = 24(g-1) \begin{pmatrix} 2 & -1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a_{4d} \\ c_{4d} \end{pmatrix}$$

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Assuming IR fixed point one shows: [Bobev-MC 2017]

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Note  $c_r = c_l = 3(g-1)d_G$  with  $d_G \equiv 4(2a_{4d} - c_{4d})$  dim. of Coulomb branch of 4d theory [Shapere-Tachikawa 2008]

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$$6\text{d } \mathcal{N} = (2, 0) \text{ on } \Sigma_{g>1}$$

$$4\text{d } \mathcal{N} = 1$$

$$4\text{d } \mathcal{N} = 2$$

## 6d $\rightarrow$ 4d and 6d $\rightarrow$ 2d

Pick  $SO(2)_\Sigma \subset SO(2) \times SO(2) \subset SO(5)_R$

$$4d \mathcal{N} = 2: \quad \begin{pmatrix} a_{4d} \\ c_{4d} \end{pmatrix} = \frac{(g-1)}{72} \begin{pmatrix} 21 & -6 \\ 14 & -2 \end{pmatrix} \begin{pmatrix} a_{6d} \\ c_{6d} \end{pmatrix}$$

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Similarly, compactifying on Kähler  $M_4$ :

$$2d \mathcal{N} = (0, 2): \quad \begin{pmatrix} c_r \\ c_l \end{pmatrix} = \frac{(P_1 + 2\chi)}{96} \begin{pmatrix} 63 & -27 \\ 35 & -11 \end{pmatrix} \begin{pmatrix} a_{6d} \\ c_{6d} \end{pmatrix}$$

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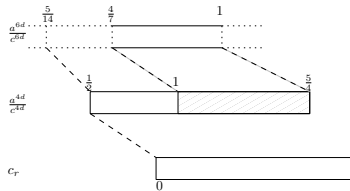
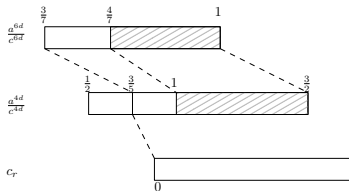
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# Comment: Hofman-Maldacena Bounds

These are 4d bounds on  $\frac{a_{4d}}{c_{4d}}$  from energy positivity [Hofman-Maldacena '08, '16]



Universal flows map 4d values to (interesting?) values in 6d and 2d

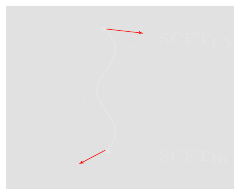
# Which flows are *not* universal?

Consider theories with flavor symmetries  $G_F$  with generators  $F_i$

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$$R_{\text{IR}} = R_{UV} + \epsilon_i(b) F_i, \quad \epsilon_i = ?$$

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- Resulting 2d theories labelled by  $b_i \Rightarrow$  families of 2d SCFTs.



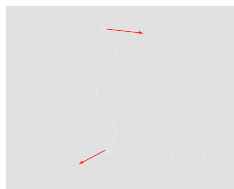
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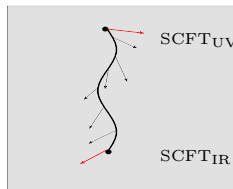
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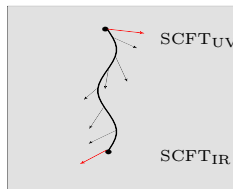
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Global symmetry  $SU(2)_1 \times U(1)_2 \times U(1)_B \times U(1)_R$

- General twist:

$$T_{back} = T_R^{\text{conf.}} + b_1 T_1 + b_2 T_2 + b T_B;$$

$b_1, b_2, b$  flavor fluxes through  $\Sigma_g$

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$$c_r^{tr} = 3k^{RR} = -6(g-1) \sum_{\sigma \in \text{Weyl}} m_\sigma t_\sigma^{back} (q_{tr}^\sigma(\epsilon))^2$$

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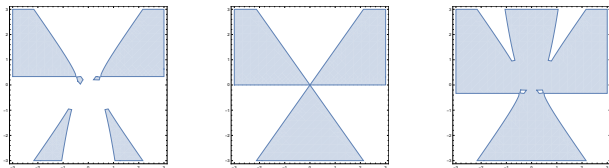
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$$c_{l,r}^{IR} = c_{l,r}^{tr}(\epsilon^*) = c_{l,r}^{\text{univ}} + f_{p,q}^g(b_1, b_2, b)$$

Theories unitary ( $c_{l,r} > 0$ ) only in regions of parameter space:



Regions in  $b_{1,2}$  plane ( $b = 0$ ) where  $c_R > 0$  (for  $\kappa = \{1, 0, -1\}$ )

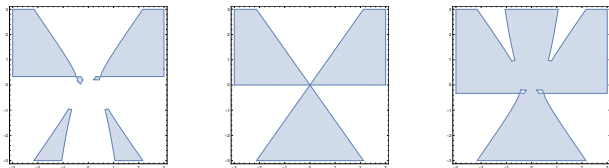
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# Holography

Two reasons for holography:

1) Establish *existence* of RG flows (at large  $N$ )

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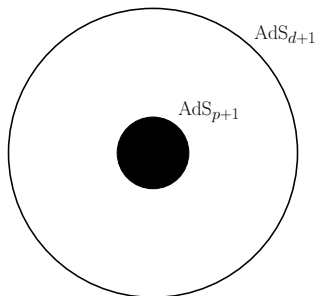
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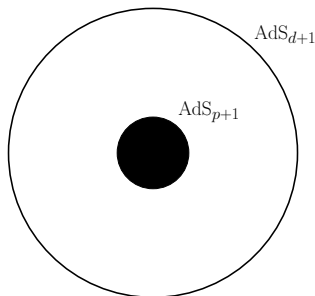
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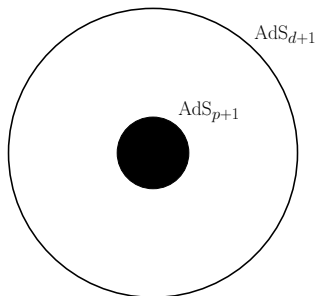
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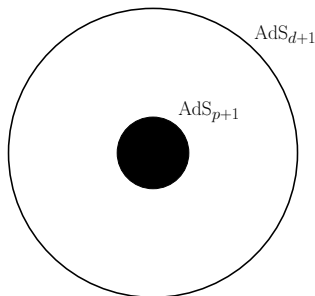


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5d  $\mathcal{N} = 2$  *minimal* gauged SUGRA  $(g_{\mu\nu}, A_\mu)$ . Ansatz:

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$\text{AdS}_3$  fixed point:  $e^{2f} = \frac{1}{r^2}e^{2f_0}$ ,  $e^{2g} = e^{2g_0}$ . Central charge:

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$$c_R \simeq c_L \simeq \frac{3L_{\text{AdS}_3}}{2G_N^{(3)}} \simeq \frac{32}{3}(g-1)a_{4d}!$$

(used holographic dictionary  $a_{4d} \simeq c_{4d} \simeq \frac{\pi}{8G_N^{(5)}}$ )

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Black holes in  $\text{AdS}_4$  describe flows from 3d  $\mathcal{N} = 2$  to 1d SUSY QM

[Benini-Hristov-Zaffaroni 2015]

4d  $\mathcal{N} = 2$  (8 supercharges) gauged supergravity:  $(g_{\mu\nu}, A_\mu)$

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# Uplifts

In *M-theory*: [Gauntlett-Kim-Waldram 2007]

$$ds_{11}^2 = L^2 (ds_4^2 + 16 ds_{\text{SE}_7}^2) ,$$

with  $ds_4^2 = -\left(\rho - \frac{1}{2\rho}\right)^2 dt^2 + \left(\rho - \frac{1}{2\rho}\right)^{-2} d\rho^2 + \rho^2 ds_{\Sigma_g}^2$  and  $G_{(4)} \neq 0$ .

In *massive IIA*, new solution:

$$ds_{10}^2 = e^{2\lambda} L^2 (ds_4^2 + ds_6^2)$$

and  $(A_1, A_2, A_3) \neq 0$  and

$$ds_6^2 = \omega_0^2 \left[ e^{\varphi-2\phi} X^{-1} d\alpha^2 + \sin^2(\alpha) (\Delta_1^{-1} ds_{\text{KE}_4}^2 + X^{-1} \Delta_2^{-1} \eta^2) \right]$$
$$e^{2\lambda} \equiv (\cos(2\alpha) + 3)^{1/2} (\cos(2\alpha) + 5)^{1/8} ,$$

$L, \omega_0$  constants.

- New massive IIA BHs with CFT duals
- $F_{S^3}$  computes their entropy



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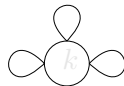
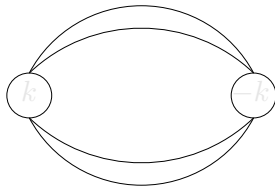
$$ds_6^2 = \omega_0^2 \left[ e^{\varphi-2\phi} X^{-1} d\alpha^2 + \sin^2(\alpha) (\Delta_1^{-1} ds_{\text{KE}_4}^2 + X^{-1} \Delta_2^{-1} \eta^2) \right]$$
$$e^{2\lambda} \equiv (\cos(2\alpha) + 3)^{1/2} (\cos(2\alpha) + 5)^{1/8} ,$$

$L, \omega_0$  constants.

- New massive IIA BHs with CFT duals
- $F_{S^3}$  computes their entropy

# The power of the universal flow

Uplifting to either M-theory or massive IIA, dual CFT<sub>3</sub>'s very different:



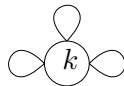
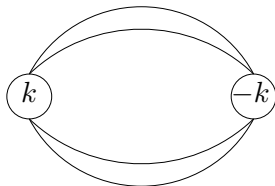
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- Nontrivial prediction of holography for corresponding Matrix Models!
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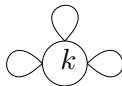
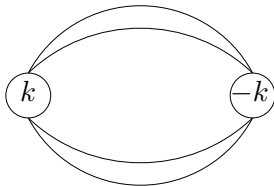
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## Two explicit examples

- Taking  $Y_7 = S^7/\mathbb{Z}_k$ , the quantized horizon area reads:

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# Large $N$ Matrix Models–Localization

Can we show universal relation in general?

- Localization on  $S^3$ : [Kapustin-Willet-Yaakov 2009]

$$Z_{S^3} = \int du e^{-ik\pi \text{Tr} a^2} \prod_{\alpha} 2 \sinh(\pi \alpha(a)) \prod_{\rho \in \mathcal{R}} \frac{1}{\cosh(\pi \rho(a))}$$

where  $a = u + i(\Delta - 1/2)$ .

- Localization on  $S^1 \times \Sigma_g$  [Benini-Zaffaroni 2016]

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Perfect match! [Azzurli-Bobev-MC-Min-Zaffaroni 2017]

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# Summary & Outlook

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- Existence of **U**niversal RG flows across (even) dimensions (exact, finite  $N$ )
- Strong evidence across all dimensions (large  $N$ , for now)
- Holography predicts nontrivial matrix model relations
- Microstate counting of infinite number of black holes in  $\text{AdS}_4$
- Subleading corrections?
- $5\text{d} \rightarrow 3\text{d}, 1\text{d}$ ? New relations among matrix models?  $F_{S^5}/F_{\Sigma_g \times S^3}$ ?
- Concrete proposal for counting entropy of black branes in  $\text{AdS}$

THANK YOU!