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A new sphere theorem via the eigenmaps

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at

Geometric Measure Theory and

Geometric Analysis in Moscow

§ 1

Main

result

$$\mathbb{S}^n(r) := \{ x \in \mathbb{R}^{n+1} \mid |x|_{\mathbb{R}^{n+1}} = r \}$$

$$l : \mathbb{S}^n(r) \hookrightarrow \mathbb{R}^{n+1} : \text{isometric}$$

$$\begin{array}{ccc} \downarrow & & \downarrow \\ x & \mapsto & x \\ & & \text{eigenmap} \end{array}$$

i.e.

$$\left\{ \begin{array}{l} l^* g_{\mathbb{R}^{n+1}} = g_{\mathbb{S}^n} \\ \Delta x_i + nr^{-2} x_i \equiv 0 \end{array} \right.$$

# Main theorem A (H.)

$$\forall n \in \mathbb{Z}_{\geq 2}, \quad \forall K \in \mathbb{R}, \quad \forall \tau, D \in (0, \infty)$$

$$\exists \delta := \delta(n, K, \tau, D) > 0 \quad \text{s.t.} \quad \text{if}$$

$$\int_{M^n} |\Phi^* g_{\mathbb{R}^{n+1}} - g| \, d\text{vol}_g \leq \delta$$

$$\text{for } \left\{ \begin{array}{l} (M^n, g) : \text{closed Riem. with } \text{Ric}_M^g \geq K g \text{ \& } \\ \text{diam}(M^n, d_g) \leq D \\ \Phi = (\varphi_1, \dots, \varphi_{n+1}) : M^n \rightarrow \mathbb{R}^{n+1} : \text{eigen map with} \\ \int_{M^n} |\varphi_i|^2 \, d\text{vol}_g \geq \tau, \end{array} \right.$$

$$\text{then} \quad M^n \approx \mathbb{S}^n : \text{diffeomorphic.}$$

# Key

- ① Synthetic notion of " $\text{Ric} \geq K$  &  $\dim \leq N$ " for metric measure space  $(X, d, m)$ , so-called " $\text{RCD}(K, N)$  space"
- ② Generalization of a theorem of Takahashi in submanifold theory to  $\text{RCD}(K, N)$  spaces.
- ③ Topological stability result

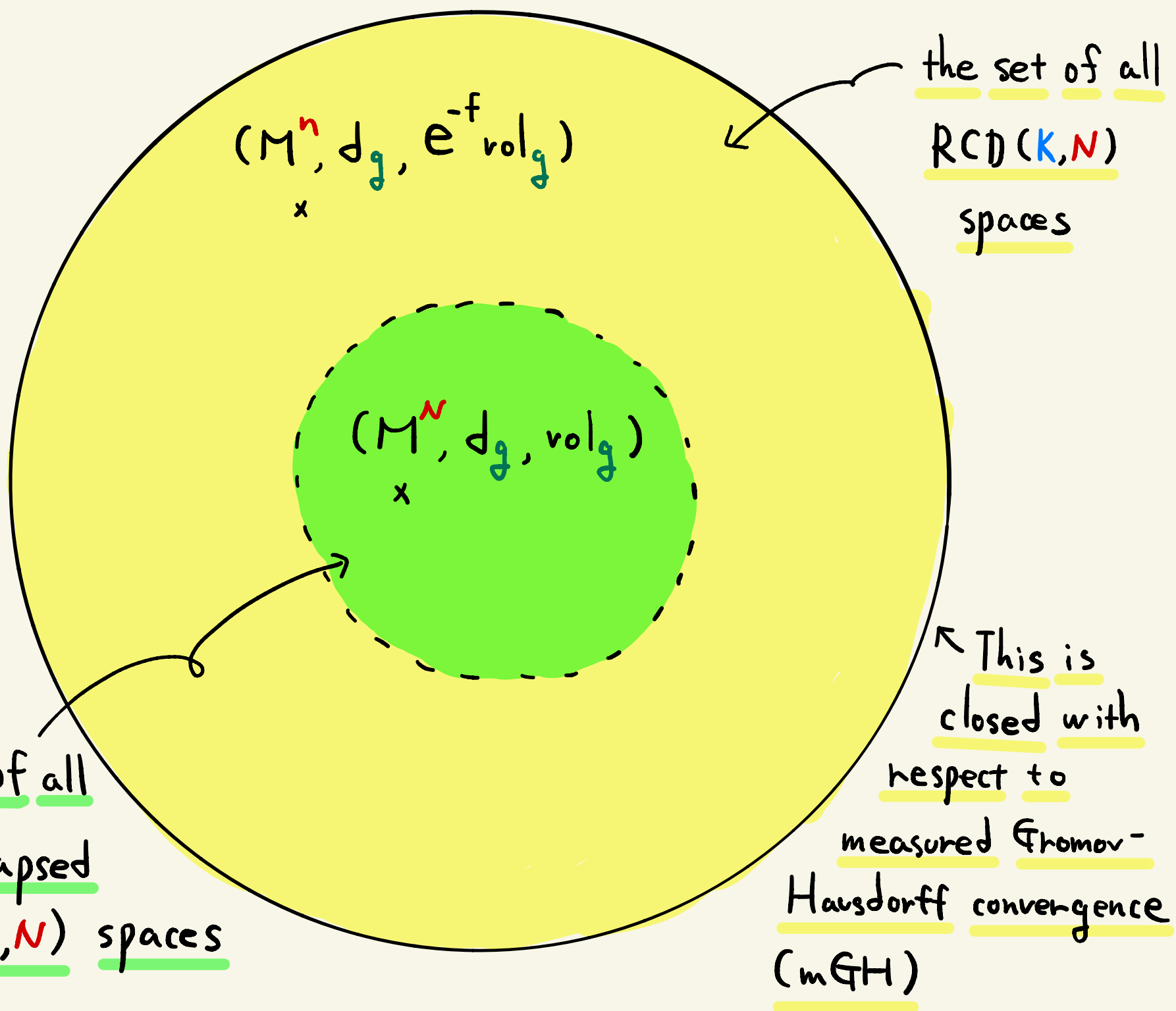
§ 2

RCD( $K, N$ ) space

$$(M_x^n, d_g, e^{-f} \text{vol}_g)$$

the set of all  
 $\text{RCD}(K, N)$   
spaces

This is  
closed with  
respect to  
measured Gromov-  
Hausdorff convergence  
(mGH)



Definition - Theorem (Lott-Villani, Sturm, Ambrosio-Gigli-Savaré, Gigli, Ambrosio-Gigli-Mondino-Rajala, Ambrosio-Mondino-Savaré, Erben-Kuwada-Sturm, De Philippis-Gigli etc.)

•  $N \in [1, \infty]$ ,  $K \in \mathbb{R}$ ,  $(X, d, m)$ : metric measure space

①  $(X, d, m)$ :  $RCD(K, N)$  space

$\stackrel{\text{def}}{\Leftrightarrow} \left\{ \begin{array}{l} \textcircled{1.1} H^{1,2}(X, d, m) : \text{Hilbert space} \\ \leadsto \exists! g \text{ s.t. } "d = d_g", \quad \exists! \Delta : \text{Laplacian} \\ \textcircled{1.2} \frac{1}{2} \Delta |\nabla f|^2 \geq \frac{(\Delta f)^2}{N} + g(\nabla \Delta f, \nabla f) + K |\nabla f|^2 \\ \textcircled{1.3} \text{Sobolev-to-Lipschitz property \& volume growth condition} \end{array} \right.$

②  $(X, d, m)$ : non-collapsed  $RCD(K, N)$  space

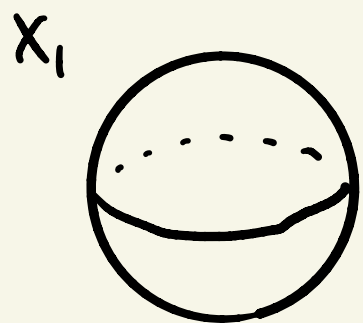
$\stackrel{\text{def}}{\Leftrightarrow} (X, d, m)$ :  $RCD(K, N)$  space with  $m = H^N$ .

# Example

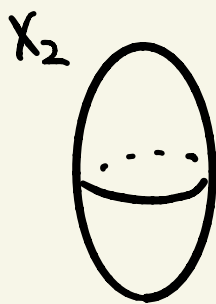
①  $(\triangle, d_{\text{length}}, H^2)$  : non-collapsed  $\text{RCD}(\underline{0}, \underline{2})$  space  
optimal

(more general, Alexandrov space  $\Rightarrow$  non-collapsed  $\text{RCD}(K, N)$  space  
by Petrunin & Zhang-Zhu)

②  $([0, \pi], d_{[0, \pi]}, \sin^{N-1} t dt)$  :  $\text{RCD}(N-1, \underline{N})$  space  
optimal



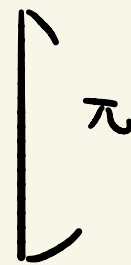
$$\frac{\text{vol } g_1}{\text{vol } g_1 X_1}$$



$$\frac{\text{vol } g_2}{\text{vol } g_2 X_2}$$



$$\xrightarrow{\text{mGH}}$$



$$\xrightarrow{\quad} \frac{\sin^2 t dt}{\int_0^\pi \sin^2 t dt}$$

## Example

③  $(\mathbb{R}^n, d_{\mathbb{R}^n}, e^{-\frac{|x|^2}{2}} \mathcal{L}^n) : \text{RCD}(1, \underline{\infty}) \text{ space}$   
optimal

( more general, gradient Ricci soliton  $\Rightarrow$   $\text{RCD}(K, \infty)$  space )

④ Their measured Gromov-Hausdorff limit spaces  
by Gigli-Mondino-Savaré.

Theorem (Mondino-Naber, Bruè-Semola, De Philippis-Gigli, Han, H.)

$(X, d, m) : \text{RCD}(K, N)$  space with  $N < \infty$

$\Rightarrow$  ①  $\exists n \in \{0, 1, 2, \dots, \lfloor N \rfloor\}$  s.t. for  $m$ -a.e.  $x \in X$ ,

$$\forall T_x X \simeq \mathbb{R}^n \quad (\dim(X) := n)$$

②  $(X, d, m) : \text{RCD}(K, n)$  space  $\Leftrightarrow \text{tr Hess}_f = \Delta f$

③ If  $(X, d)$  : compact, then

$$\text{tr Hess}_f = \Delta f \Leftrightarrow m = a H^n \quad \exists a \in (0, \infty)$$

In particular,

## Theorem (Cheeger-Colding)

$$\left\{ \begin{array}{l} \cdot (X_i, d_i, H^n) \xrightarrow{mGH} (X, d, H^n) \\ \cdot \text{compact non-collapsed } RCD(K, n) \text{ spaces} \\ \cdot X \text{ has no singular set} \end{array} \right.$$

$\Rightarrow X_i \approx X$  : homeomorphic for  $\forall i$  : suff. large

Moreover if  $X_i, X$  are  $C^\infty$ -Riem., then  
"homeomorphism" can be improved to "diffeomorphism".

§3

Takahashi's theorem

&

its generalization to

$\text{RCD}(\mathbf{k}, \mathbf{N})$  space

# Theorem (Takahashi)

$(M^n, g)$  : closed Riem. mfd

$\Rightarrow$  ①  $\exists \Phi : M^n \rightarrow \mathbb{R}^{k+1}$  : isometric eigenmap

$\Rightarrow$  { ①.1  $|\Phi|_{\mathbb{R}^{k+1}} \equiv \text{constant}$ .

①.2  $\Phi$  is a minimal immersion into  $\mathbb{S}^k(|\Phi|_{\mathbb{R}^{k+1}})$

② Any isometric minimal immersion into  $\mathbb{S}^k(a)$   
can be obtained by ①.

# Recall

$(X, d, m)$  : compact  $RCD(K, N)$  space with  $N < \infty$ .

$\Rightarrow H^{1,2}(X, d, m) \hookrightarrow L^2(X, m)$  : compact operator

$\Rightarrow 0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots \rightarrow \infty$

: eigenvalues of  $-\Delta$ .

In particular "eigenmap" makes sense.

# Main theorem B (H.)

- $(X, d, m)$  : compact  $RCD(k, N)$  space
- with  $N < \infty$  &  $n := \dim(X)$
- $\Phi : X \rightarrow \mathbb{R}^{k+1}$  : isometric eigenmap

$\Rightarrow$  • ①  $n \leq k$

• ②  $|\Phi|_{\mathbb{R}^{k+1}} \equiv \text{constant}$

$\Leftrightarrow m = a H^n$  for  $\exists a \in (0, \infty)$  &

$(X, d, H^n)$  : non-collapsed  $RCD(k, n)$  space

• ③  $|\Phi|_{\mathbb{R}^{k+1}} \equiv \text{constant}$

$\Rightarrow \Phi$  is locally  $(1 \pm \varepsilon)$ -bi-Lipschitz embedding into  $\mathbb{S}^k(|\Phi|_{\mathbb{R}^{k+1}})$ .

• ④  $|\Phi|_{\mathbb{R}^{k+1}} \equiv \text{constant}$  &  $n = k$

$\Rightarrow \Phi$  : isometry from  $X$  to  $\mathbb{S}^k(|\Phi|_{\mathbb{R}^{k+1}})$

§4

Proof of Main theorem A

under

Main theorem B.

# Main theorem A (H.)

$$\forall n \in \mathbb{Z}_{\geq 2}, \quad \forall K \in \mathbb{R}, \quad \forall \tau, D \in (0, \infty)$$

$$\exists \delta := \delta(n, K, \tau, D) > 0 \quad \text{s.t.} \quad \text{if}$$

$$\int_{M^n} |\Phi^* g_{\mathbb{R}^{n+1}} - g| \, d\text{vol}_g \leq \delta$$

$$\text{for } \left\{ \begin{array}{l} (M^n, g) : \text{closed Riem. with } \text{Ric}_M^g \geq K g \text{ \& } \\ \text{diam}(M^n, d_g) \leq D \\ \Phi = (\varphi_1, \dots, \varphi_{n+1}) : M^n \rightarrow \mathbb{R}^{n+1} : \text{eigenmap with} \\ \int_{M^n} |\varphi_i|^2 \, d\text{vol}_g \geq \tau, \end{array} \right.$$

$$\text{then} \quad M^n \approx S^n : \text{diffeomorphic.}$$

# Proof

If not,  $\exists (M_i^n, g_i) : \text{Ric}_{M_i^n}^{g_i} \geq k g_i$  &  $\text{diam}(M, d) \leq D$

$\Rightarrow \Phi_i : M_i^n \rightarrow \mathbb{R}^{n+1}$  : eigenmap s.t.

$$\left\{ \begin{array}{l} \cdot M_i^n \not\cong S^n \\ \cdot \int_{M_i^n} |\Phi_i^* g_{\mathbb{R}^{n+1}} - g_i| \, d\text{vol}_{g_i} \rightarrow 0 \\ \cdot \int_{M_i^n} |\varphi_{i,j}|^2 \, d\text{vol}_{g_i} \geq \tau, \quad (\Phi_i = (\varphi_{i,1}, \dots, \varphi_{i,n+1})) \end{array} \right.$$

Step 1 (Compactness of moduli of  $RCD(K, N)$  spaces)

$$(M_i^n, d_{g_i}, \frac{\text{vol}_{g_i}}{\text{vol}_{g_i} M_i^n}) \xrightarrow{\text{mGH}} (X, d, m) : RCD(K, n) \text{ space}$$

Step 2 (Spectral convergence)

"Eigenvalues & eigenfunctions behave continuously"

In particular

- $\Phi_i \xrightarrow{\text{mGH}} \Phi : X \rightarrow \mathbb{R}^{n+1}$  : eigenmap

- $\Phi$  : isometric because of  $\int_{M_i^n} |\Phi^* g_{\mathbb{R}^{n+1}} - g_i| d\text{vol}_{g_i} \rightarrow 0$ .

### Step 3 (Non-collapsed)

$$\sqrt{\dim(X)} = \int_X |g| \, dm$$

$$= \int_X |\Phi^* g_{\mathbb{R}^{n+1}}| \, dm$$

$$= \lim_{i \rightarrow \infty} \int_{M_i^n} |\Phi_i^* g_{\mathbb{R}^{n+1}}| \, d\text{vol}_{g_i} = \lim_{i \rightarrow \infty} \int_{M_i^n} |g_i| \, d\text{vol}_{g_i} = \sqrt{n}$$

In particular

$$\left\{ \begin{array}{l} \cdot \quad m = a H^n \text{ for } \exists a \in (0, \infty) \\ \cdot \quad (M_i^n, d_{g_i}, \text{vol}_{g_i}) \xrightarrow{mGH} (X, d, H^n) \end{array} \right.$$

#### Step 4 (Generalized Takahashi's theorem)

$$\text{Main theorem B} \Rightarrow (X, d, H^n) \simeq (\mathcal{S}^n(b), d_{\mathcal{S}^n(b)}, H^n) \\ \text{for } \exists b \in (0, \infty)$$

#### Step 5 (Topological stability theorem)

$$M_i^n \simeq X = \mathcal{S}^n(a) \text{ for } \forall i: \text{suff. large}$$

$\Rightarrow$  Contradiction! //

## Remark

① Main theorem A holds for non-collapsed  $RCD(k, n)$  spaces after replacing "diffeomorphic" by "homeomorphic".

② The proof of Main theorem B is based on

- "blow-up analysis"
- $-\frac{1}{4} \Delta |\Phi|^2_{\mathbb{R}^{k+1}} = \nabla^* \Phi^* \int_{\mathbb{R}^{k+1}}$

Thank you

very much !!