

ISCOs in AdS/CFT

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Frontiers on holographic duality-2

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based on [1910.10227](#) with Berenstein
and [2009.04500](#) with Berenstein and Li

Gravitational waves

Binary black hole (BH) system with masses $M \gg \mu$ ($\eta = \mu/M \ll 1$)

- Approximate the motion of the **secondary** BH (μ) as a point particle inspiraling towards the **primary** BH (M) with outer horizon

$$r_+ = M \left(1 + \sqrt{1 - a^2} \right) \equiv M(1 + \epsilon) \quad a = \hat{a}/M$$

- Consider **circular** equatorial orbits (absence of radiation)

$$\tilde{E}(\tilde{r}, a) = \frac{E}{\mu} = \frac{1 - 2/\tilde{r} + a/\tilde{r}^{3/2}}{\sqrt{1 - 3/\tilde{r} + 2a/\tilde{r}^{3/2}}} \quad \text{with} \quad \tilde{r} = r/M$$

Classical stability in Kerr

Radial geodesic (equatorial plane) : defines $V_{\text{Kerr}}(\tilde{E}, \tilde{L}, a, \tilde{r})$

$$\left(\tilde{r}^2 \frac{d\tilde{r}}{d\tilde{\tau}}\right)^2 = [\tilde{E}(\tilde{r}^2 + a^2) - a\tilde{L}]^2 - \Delta[(\tilde{L} - a\tilde{E})^2 - \tilde{r}^2]$$

Classical stability for equatorial circular orbits requires

$$V'_{\text{Kerr}} = 0, \quad V''_{\text{Kerr}} > 0$$

Marginal stability defines the innermost stable circular orbit (ISCO)

$$\begin{aligned} V''_{\text{Kerr}}(\tilde{r}_{\text{isco}}) = 0 &\Rightarrow \tilde{r}_{\text{isco}} = 3 + Z_2 - [(3 - Z_1)(3 + Z_1 + 2Z_2)]^{1/2} \\ Z_1 &= 1 + (1 - a^2)^{1/3}[(1 + a)^{1/3} + (1 - a)^{1/3}] \\ Z_2 &= (3a^2 + Z_1^2)^{1/2} \end{aligned}$$

[Bardeen, Press, Teukolsky]

EMRIs : Kerr picture

EMRI : extremal mass ratio inspiral ($M \gg \mu$)

- As secondary spins around primary, it generates gravitational waves
- Due to energy conservation ($E + E_{\text{GW}} = \text{const}$)

$$\frac{dE}{dt} = \partial_r E \frac{dr}{dt} = -\dot{E}_{\text{GW}}$$

(\dot{E}_{GW} computable by solving Teukolsky's equation with secondary source)

- Secondary inspirals towards ISCO, where it will plunge into BH

Main questions today

Are there ISCOs in AdS ? (gravity)

- features/scaling of r_{isco} , E_{isco} , L_{isco} ?

What do they mean in the dual CFT ? (field theory)

- Can we identify a dual feature explaining their existence or absence ?
- If we view the localised bulk probes as CFT excitations, we should expect some relation with CFT **bootstrap** programme. Can we confirm this ? Similarities or differences ?

Point particle in AdS Schwarzschild BH

Background : (d+1)-global AdS Schwarzschild BH

$$ds^2 = -H(r) dt^2 + H^{-1}(r) dr^2 + r^2 d\Omega^2, \quad H(r) = 1 + \frac{r^2}{L^2} - \frac{2M}{r^{d-2}}$$

Action & conserved charges

Spherical symmetry reduces action to a 3d problem

$$S = \frac{1}{2} \int ds \left(-H \dot{t}^2 + H^{-1} \dot{r}^2 + r^2 \dot{\phi}^2 \right)$$

with constraint

$$-1 = -H \dot{t}^2 + H^{-1} \dot{r}^2 + r^2 \dot{\phi}^2$$

and conserved charges (**per unit mass**)

$$\mathbf{e} = H(r) \dot{t}, \quad \ell = r^2 \dot{\phi},$$

Classical stability

Effective potential $V(r)$

$$e^2 = \dot{r}^2 + V(r) \quad \text{with} \quad V(r) = \left(1 + \frac{\ell^2}{r^2}\right) H(r)$$

Circular orbits $r = r_o$

- Critical points $V'(r_o) = 0$
- Classical stability requires $V''(r_o) > 0$

ISCOs

Marginally stable : $V''(r_{\text{isco}}) = 0$

- Any $r_p < r_{\text{isco}}$ has $V''(r_p) < 0 \Rightarrow$ particle plunges into BH

Criticality

Solving $V'(r_o) = 0$

$$\ell^2 = \frac{r_o^4 (r_o^d + (d-2)M L^2)}{L^2 (r_o^d - dM r_o^2)}$$

- $r_o \rightarrow \infty$ and $d > 2$, at fixed M , we recover global AdS ($M = 0$) scaling $r_o \simeq \sqrt{\ell} L$
- $d > 2$, $\ell^2 L^2 > r_o^4$ (size shrinks at fixed ℓ , i.e. gravity attracts)
- $\ell^2 < 0$, $d = 2$ & $M > 1/2$: BTZ has no circular geodesic orbits [Cruz, Martinez, Pena]
- $r_o > r_{\min}$ where r_{\min} corresponds to the light-ring of the BH

$$r_{\min}^d - dM r_{\min}^2 = 0 \quad \Rightarrow \quad r_{\min}^{d-2} = dM$$

Classical stability

$$r_o V'''(r_o) = \frac{6\ell^2}{r_o^3} + \frac{2r_o}{L^2} - d(d+1)\frac{2M\ell^2}{r_o^{d+1}} - (d-2)(d-1)\frac{2M}{r_o^{d-1}} > 0$$

Criticality & **marginality**

$$8\ell_{\text{isco}}^2 r_{\text{isco}}^{d-2} = d(d+2)2M\ell_{\text{isco}}^2 + d(d-2)2Mr_{\text{isco}}^2$$

Exact d=4

$$r_{\text{isco}}^2 = 3 \frac{2M\ell_{\text{isco}}^2}{\ell_{\text{isco}}^2 - 2M} \Rightarrow \ell_{\text{isco}}^2 > 2M$$

Together with criticality **[Festuccia, Liu]**

$$L^2(\ell_{\text{isco}}^2 - 2M)^3 = 27(2M\ell_{\text{isco}}^2)^2$$

General lesson

In $d > 2$, $\exists \ell_{\text{isco}}$ corresponding to r_{isco} such that for $\ell > \ell_{\text{isco}}$, \exists classical stable circular orbits

Large mass limit

$r_o > r_{\text{isco}} > r_{\text{min}} \sim M^{1/(d-2)}$: large mass limit M/r^{d-2} fixed

$$V'' \approx \frac{2}{L^2} \frac{1}{1 - d \frac{\hat{M}}{\hat{r}^{d-2}}} \left[4 - \frac{\hat{M}}{\hat{r}^{d-2}} (d(d+2)) \right] \quad \hat{r} = \frac{r}{L}, \quad \hat{M} = \frac{M}{L^{d-2}}$$

ISCO size and angular momentum

$$\frac{r_{\text{isco}}}{L} \approx \left(\frac{d(d+2)\hat{M}}{4} \right)^{1/(d-2)} \sim \left(\frac{r_h}{L} \right)^{1+2/(d-2)}, \quad \frac{\ell_{\text{isco}}}{L} \approx \sqrt{\frac{d+2}{d-2}} \hat{r}_{\text{isco}}^2$$

Scaling with temperature T

$$\frac{r_h}{L} \approx \left(\frac{2M}{L^{d-2}} \right)^{1/d}, \quad T L \approx \frac{d}{2} \frac{r_h}{L}$$

e and ℓ scale like

$$e, \frac{\ell}{L} \simeq (T L)^{2d/(d-2)} > (T L)$$

Near-circular orbits

At fixed ℓ : $r = r_o + \delta r$ and $e = e_o + \delta e$

$$2e_o \delta e = \left(\frac{d\delta r}{dt} \right)^2 \dot{t}^2 + \frac{1}{2} V''(r_o) \delta r^2$$

Harmonic oscillator with frequency (large M)

$$\omega_r L \simeq 2 - \frac{d(d+2)}{4} \frac{M}{(\ell L)^{d/2-1}} \quad (d \geq 3)$$

Exact $d=2$

$$\omega_r L = 2 \sqrt{1 - 2M}$$

- ℓ independent, vanishes at the threshold of black hole formation, i.e. $M = 1/2$ and imaginary for $M > 1/2$ (unstable)

General CFT remarks

Standard dictionary of quantum numbers (CFT \leftrightarrow bulk)

$$E = \Delta e, \quad J = \Delta \frac{\ell}{L}$$

Meaning of ISCO

- r_{isco} separates between plunging & classically stable orbits
- Plunging \sim dynamics of thermalisation
 - ▶ See scrambling, CFT quenches, butterfly effect ...
- Semiclassically, bulk excitations can tunnel past potential barrier
 - ▶ amplitude $A_{\text{tun}} \simeq \exp(-\Delta S)$

Bulk excitations \sim long-lived meta-stable CFT states [Festuccia, Liu]

CFT origin

Proposal : **non-perturbative curvature effect** on the CFT

Planar AdS black hole

Characterised by a **single scale**

$$ds^2 = -d\tilde{t}^2(\rho^2 - 2M_0 \rho^{2-d}) + d\rho^2(\rho^2 - 2M_0 \rho^{2-d})^{-1} + \rho^2 d\vec{x}_\perp^2$$

Horizon $\rho_h^d = 2M_0 \equiv \gamma$, determines the temperature $T \sim \gamma^{-1/d}$

Energy and linear momentum of bulk particles

$$E \simeq \Delta T \quad J \simeq \Delta T$$

Compared **linear** scaling with ISCO scaling

$$e, \frac{\ell}{L} \simeq (T L)^{\frac{2d}{d-2}}$$

CFT origin

CFT perspective

global AdS BHs \Leftrightarrow CFT on sphere (L) at finite T (2 scales)

- 1 High temperature (large M limit) : physics of flat space \simeq sphere, up to finite volume corrections
- 2 When zooming into regions of size $\simeq T : E \simeq T$
 - ▶ as in the planar AdS BH (double scaled limit of the global AdS BH)
 - ▶ ISCO size is pushed out of the region captured by planar AdS BH
 - ▶ ~~#~~ ISCOs in **planar** AdS BHs
- 3 Finite volume is **not** enough !!
 - ▶ BTZ BHs are dual to CFT on a circle : finite volume, **no curvature**

CFT origin

Previous arguments \Rightarrow curvature is necessary

- ① Bulk physics $\Rightarrow \exists$ **tunneling** with amplitude

$$A_{\text{tun}} \simeq \exp(-\Delta S)$$

S action for a unit mass point particle to overcome the potential barrier

- ② Using AdS/CFT, bulk excitations \Rightarrow **metastable CFT states**
- ③ Using semiclassical gravity computation, width of the wave function is exponentially suppressed in T
 - ▶ Perturbative Feynman diagrams can only reproduce power law behaviour in $E, J(T)$

Hence, we expect this to be a **non-perturbative** effect in the **curvature**

Comparison with Bootstrap (I)

Stable circular orbits correspond to CFT excitations with energy

$$E = \Delta + J - \Delta \frac{M}{L^{d-2}} \left(\frac{\Delta}{J} \right)^{d/2-1} \quad \text{fix } M, \text{ large } \ell$$

- Last term reproduces **binding energy** in [Fitzpatrick,Kaplan,Walters]

Anomalous dimensions of $[\mathcal{O}_1\mathcal{O}_2]_\ell$

Bootstrap requires operators with conformal dimension

$$\Delta_{BH} + \Delta + J + \mathcal{O}(1/J^\tau) \quad \tau = d - 2$$

Our corrections are $\mathcal{O}(1/J^{\tau/2})$

- Bootstrap requires $\ell \gg \Delta_1 + \Delta_2$, whereas $\Delta_{BH} \gg J \sim \ell$ for us
- Also, our excitations are meta-stable, **not** exact conformal dimensions of primary operators

Comparison with Bootstrap (II)

4-pt functions : 2 heavy & 2 light operators

Bulk calculations determining the **phase shift** [Kulaxizi,Parnachev,...]

To interpret this calculation in terms of conformal dimensions of composite operators, we must transform t-channel into s-channel \Rightarrow corrections $\mathcal{O}(1/J^{\tau/2})$

- Bootstrap assumes double trace operator \sim **generalised free field**
- Hence, no decay can be observed (by assumption)
- **Expectation** : to observe the decay one requires to know a very fine-grained density of states capturing the asymptotics of OPE coefficients.
 - ▶ Bootstrap estimates an average of these OPE coefficients convoluted with the density of states with the right intermediate quantum numbers

Nearly circular orbits

$$E = \Delta + J + 2k - \Delta \left(1 + \frac{k}{\Delta} \frac{d(d+2)}{4} \right) \frac{M}{L^{d-2}} \left(\frac{\Delta}{J} \right)^{d/2-1} \quad (d \geq 3)$$

- $M = 0 \Rightarrow E = \Delta + J + 2k$ matching descendants $\partial_\mu^J \square^k \mathcal{O}_\Delta$ of the operator \mathcal{O}_Δ
- $M \neq 0$, no descendants (no integer valued) : center of mass motion of the BH describing the Goldstone modes associated with breaking of conformal symmetry by BH

Matching d=2 results on conical defects

$$E = \Delta \sqrt{1 - 2M} \left(1 + 2 \frac{k}{\Delta} \right) + J$$

- Density of states is much lower and bootstrap double trace approximation is better justified

How do we generate these states ?

Superficially,

- Bulk particles following geodesics \leftrightarrow **WKB** approximations to the wave function of some bulk field $\Phi(\rho, t, \Omega_i)$
- Using AdS/CFT : $\Phi(\rho, t, \Omega_i) \leftrightarrow \mathcal{O}_\Delta(t, \Omega_i)$

However, the insertion of $\mathcal{O}_\Delta(t, \Omega_i)$ generates an infinite amount of energy ...making the bulk AdS state **non-normalizable**

HKLL construction

Standard perturbative solution where boundary operator is integrated against some kernel

- High energy modes interfere between different times \Rightarrow **not excited**
- Integration over boundary time makes them **non-local in time**
 - ▶ Less useful for scattering processes in AdS \Rightarrow **Mellin** transformation

Alternative regularisation [Takayanagi]

Replace the operator insertion by evolving in Euclidean time ϵ

$$\mathcal{O}_\epsilon(t) = \exp(-\epsilon H) \mathcal{O}(t) \exp(\epsilon H)$$

Brief reminder of the issue

$\int d\Omega \mathcal{O}_\Delta(\theta, t)|0\rangle$ generates $|\mathcal{O}_\Delta\rangle$ and $|(\partial_\mu \partial^\mu)^k \mathcal{O}_\Delta\rangle$ ($|\Delta + 2k\rangle$) with amplitudes

$$A_{\Delta+2k} \simeq \langle \Delta + 2k | \int d\Omega \mathcal{O}_\Delta(\theta, t) | 0 \rangle$$

$$|A_{\Delta+2k}|^2 \propto \exp((2\Delta - d) \log(k) + \mathcal{O}(1))$$

Individual amplitudes are finite, but **convergence** of the sum of amplitudes squared requires

$$2\Delta < d - 1$$

However, **CFT unitarity** requires $\Delta \geq \frac{d}{2} - 1$

Regularisation in action

$\int d\Omega \mathcal{O}_{\Delta,\epsilon}(\theta, t)|0\rangle$ has amplitude $A_{\Delta+2k,\epsilon} \simeq A_{\Delta+2k} \exp(-\epsilon(\Delta + 2k))$

Upshot

For $\Delta \gg 1$ and $\epsilon \ll 1$

- $|A_{2k+\Delta,\epsilon}|^2$ is maximised at $k_{\max} = \frac{2\Delta-d}{4\epsilon}$
- Regularized operators \simeq states of **finite** energy $E \simeq \frac{\Delta}{\epsilon}$ and **small fluctuations** since $\frac{|\delta E|^2}{E^2} \simeq \frac{1}{2\Delta-d}$

These operators are candidates to produce an initial condition for a state at fixed energy, like **scattering states**

- next : we discuss semiclassical AdS picture and associate a bulk particle in a geodesic
- we discuss global AdS, for simplicity, but similar ideas can be pushed for our circular orbits in global AdS BHs

AdS interpretation

Scalar primary operators of conformal dimension Δ (single trace) [Witten]

$$m = \sqrt{\Delta(\Delta - d)} \simeq \Delta - \frac{d}{2} + \mathcal{O}(\Delta^{-1}) \quad (L = 1)$$

In some semiclassical regime \Rightarrow AdS point particle of mass m

What are the initial conditions ?

Inserting $\int d\Omega \mathcal{O}_{\Delta,\epsilon}(\theta, 0)|0\rangle$ corresponds to

- ① Particle must be **at rest**
 - ▶ All amplitudes are simultaneously real \Rightarrow initial state has time reversal symmetry
 - ▶ Classically \Rightarrow vanishing velocity
- ② s-wave operator \Rightarrow radial infalling geodesic as time evolves

Bulk matching

Classical point particle in global AdS

$$S = m \int d\tau \sqrt{\cosh^2 \rho - \dot{\rho}^2}$$

carries energy

$$E = m \frac{\cosh^2 \rho}{\sqrt{\cosh^2 \rho - \dot{\rho}^2}}$$

At the turning point $\rho = \rho_\star$ ($\dot{\rho} = 0$), and matching CFT energy

$$E = m \cosh \rho_\star \quad \Rightarrow \quad \cosh \rho_\star = \frac{1}{\epsilon} + 1$$

- $\epsilon \simeq$ radial position of the bulk excitation at the turning point

Further physical remarks

- CFT state fluctuations within bulk Compton wavelength λ_c

$$\delta\rho_\star \sinh \rho_\star = \frac{\delta E}{m} \simeq \frac{1}{m} \frac{E}{\sqrt{m}} \Rightarrow 1 \gg \delta\rho_\star \simeq \frac{1}{\sqrt{m}} \gg \lambda_c \simeq \frac{1}{m}$$

- ▶ Bulk localisation in parametrically larger region than $\lambda_c \Rightarrow$ particle at rest !! ($\Delta \gg 1$)

- CFT amplitude evaluated at k_{\max}

$$A_{2k_{\max}+\Delta} \simeq \exp(m\rho_\star) \simeq \exp(-m\tilde{L}_\star)$$

$$\tilde{L}_\star = \lim_{\rho_\infty \rightarrow \infty} \left[\int_{\rho_\star}^{\rho_\infty} ds \sqrt{\dot{\rho}^2 - \rho_\infty} \right] = -\rho_\star$$

ρ_\star (spacelike geodesic length from the turning point to the origin) \leftrightarrow the negative of a regularized spatial geodesic length from ρ_\star to the AdS boundary at a fixed time ($\dot{\tau} = 0$)

Tunneling interpretation

This requires

- 1 $A_{2k_{\max}+\Delta} \simeq \exp(-S_{\text{ec}})$
- 2 Euclidean time evolution ϵ matches the bulk euclidean time between the boundary and the turning point ρ_*

Euclidean action principle

$$S_{\text{ec}} = m \int \sqrt{\dot{\rho}^2 + \cosh^2 \rho \dot{\tau}^2} ds$$

Time check

Using gauge $\dot{\tau} = 1$ and $\rho_* \gg 1$

$$\begin{aligned} \int_0^\tau d\tau &= \lim_{\rho_\infty \rightarrow \infty} \int_{\rho_*}^{\rho_\infty} \frac{\cosh \rho_* d\rho}{\cosh \rho \sqrt{\cosh^2 \rho - \cosh^2 \rho_*}} \\ \tau &\simeq 2 e^{-\rho_*} \lim_{\rho_\infty \rightarrow \infty} \int_{\rho_*}^{\rho_\infty} \frac{e^{2(\rho_* - \rho)} d\rho}{\sqrt{1 - e^{2(\rho_* - \rho)}}} = 2 e^{-\rho_*} \simeq \frac{1}{\cosh \rho_*} = \epsilon \end{aligned}$$

Euclidean action

On-shell evaluation requires **regularisation**

- Cut it off at ρ_∞ , subtract the length of the spacelike geodesic from the AdS origin till ρ_∞ at constant $\tau = 0$ and take the limit ρ_∞ afterwards

$$S_{\text{ec}} = \lim_{\rho_\infty \rightarrow \infty} \left[\int_{\rho_\star}^{\rho_\infty} d\rho \frac{\cosh(\rho)}{\sqrt{\cosh^2 \rho - \cosh^2 \rho_\star}} - \rho_\infty \right]$$

$$S_{\text{ec}} = -\log(\sinh \rho_\star) \simeq -\rho_\star \equiv \tilde{L}_\star$$

$$\Rightarrow A_{2k_{\text{max}}+\Delta} \simeq \exp(-S_{\text{ec}})$$

Extensions

- States with fixed ℓ

$$\int d\Omega Y_\ell(\Omega) \mathcal{O}_{\Delta,\epsilon}(\Omega, t) |0\rangle$$

- ▶ $Y_\ell(\Omega)$ highest weight spherical harmonic (to get a semiclassical state)
 - ▶ Bulk initial condition : turning point corresponds to the **aphelion** (farthest away from the origin)
 - ▶ Similar bulk localisation properties
 - ▶ Tunneling calculation involves **complex geodesics**, but it is still related to the WKB wave function
- **Thermal** case, i.e. black hole background, involves elliptical integrals

Summary

- 1 Existence & main features of **ISCOs** in AdS Schwarzschild BHs
- 2 CFT origin : **non-perturbative** effect on the **curvature** of the boundary theory
- 3 Matched **binding energy** results + **radial** binding energy
 - ▶ Comparison with **bootstrap**
- 4 Operational generation of **localised bulk excitations** using normalizable CFT operator insertions

Outlook

Existence of ISCOs for more general BHs

- 1 Rotating and/or charged BHs : **near-extremal** regime
 - ▶ Co-rotating near-extremal Kerr

$$r_{\text{isco}} - r_{\text{ext}} = 2^{1/3} \epsilon^{2/3} + \dots$$

Same **scaling** in RN : **universality, physical meaning ?**

- 2 Existence of ISCOs for RN depends on the ratio **m/q** of the probe
 - ▶ Relevance/consequences of the **weak gravity** conjecture
- 3 Comparison/lessons/matching with **bootstrap** programme.
- 4 New features for **dilatonic** charged BHs ?
- 5 Relation between bulk localisation prescription and **entanglement wedge zero modes** ?