

Isomonodromic deformations of irregular singularities

Claude Sabbah

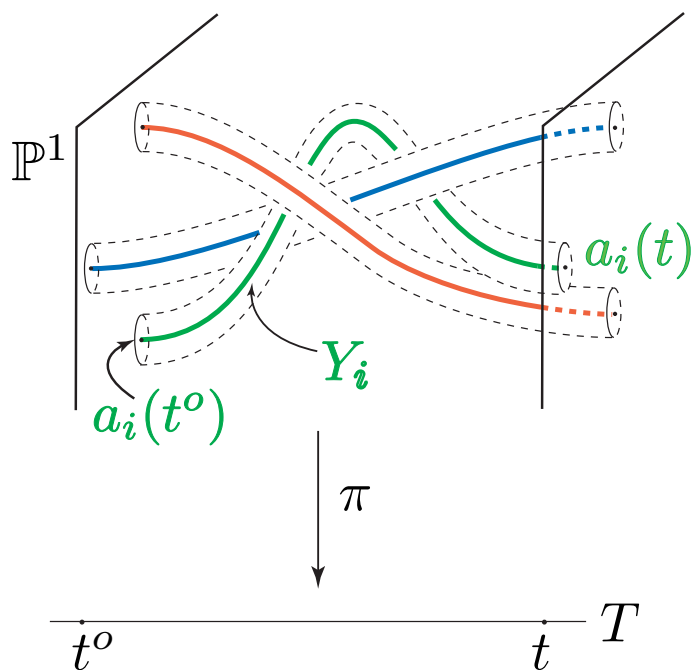


Centre de Mathématiques Laurent Schwartz
CNRS, École polytechnique, Institut Polytechnique de Paris
Palaiseau, France

February 2, 2021

Conference in honor of Andrey Bolibrukh

Isomono. deform.: reg. sing. case

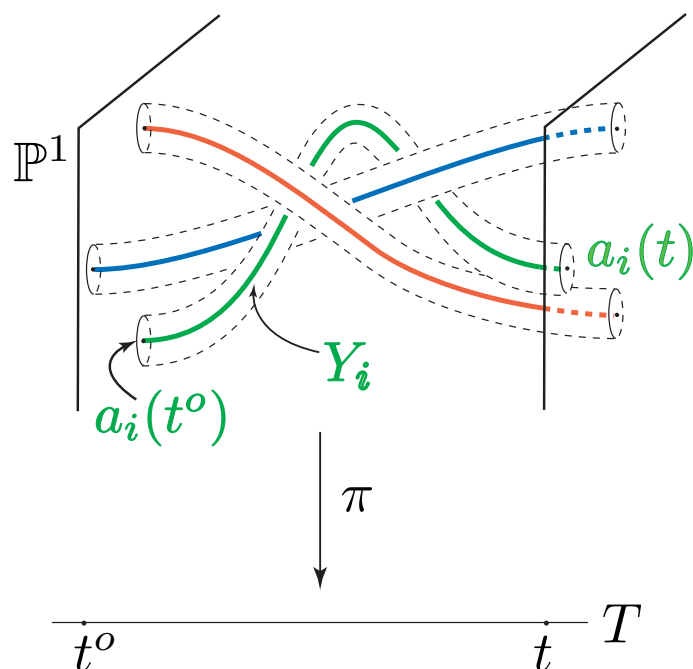


Theorem (Malgrange). If ∇^o has simple poles on E^o and T is 1-conn., there exists a unique vector bundle E on $\mathbb{P}^1 \times T$ equipped with an integrable logarithmic connection ∇ having poles along the hypersurfaces Y_i , and with an identification

$$(E, \nabla)|_{\mathbb{P}^1 \times \{t^o\}} \xrightarrow{\sim} (E^o, \nabla^o).$$

Main property used. The log. connection deforms uniquely in each tube $\text{nb}(Y_i)$.

Isomono. deform.: reg. sing. case

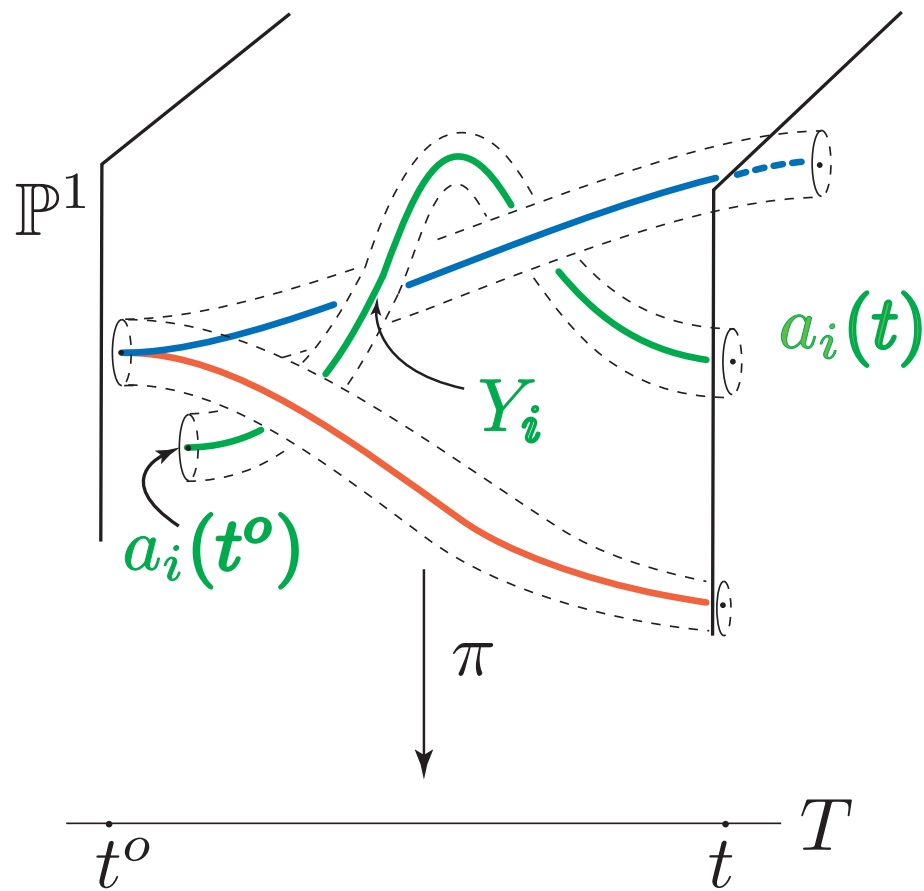


Theorem (Malgrange). If ∇^o has simple poles on E^o and T is 1-conn., there exists a unique vector bundle E on $\mathbb{P}^1 \times T$ equipped with an integrable logarithmic connection ∇ having poles along the hypersurfaces Y_i , and with an identification

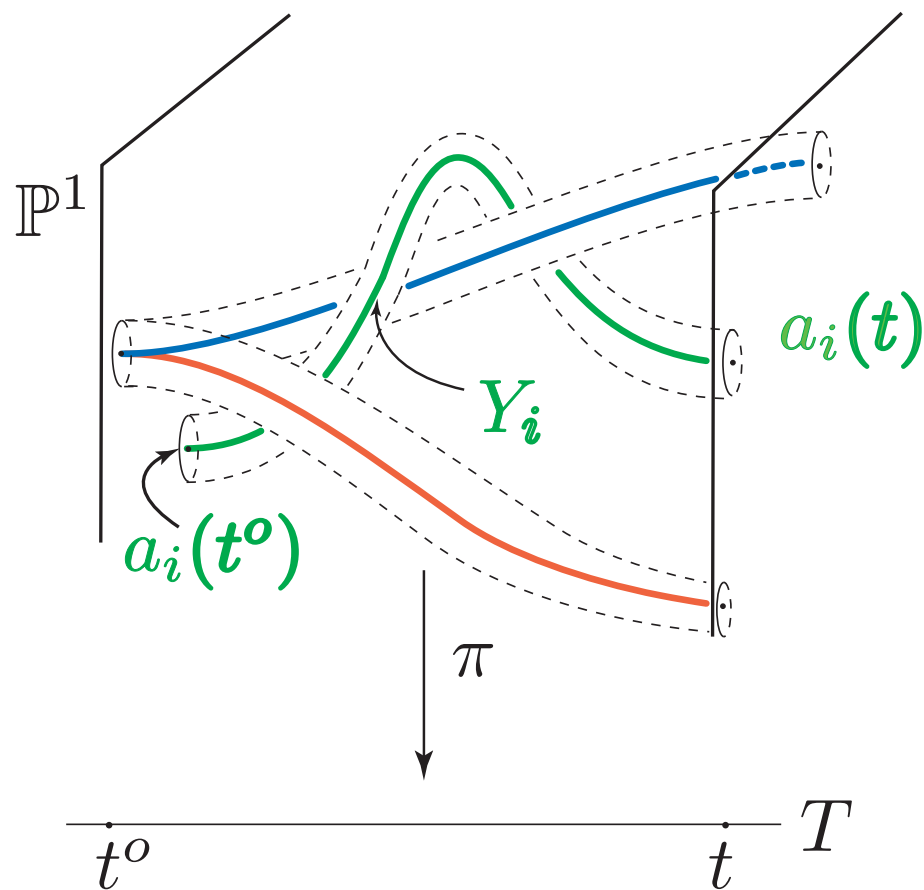
$$(E, \nabla)|_{\mathbb{P}^1 \times \{t^o\}} \xrightarrow{\sim} (E^o, \nabla^o).$$

By Laplace transform w.r.t. x . $\rightsquigarrow$ Irreg. sing. along $\{\infty\} \times T$, **non-coalescing** exponents (Jimbo-Miwa-Ueno, Malgrange).

Isomono. deform.: splitting reg. sing. case



Isomono. deform.: splitting reg. sing. case



By Laplace transform w.r.t. x . $\rightsquigarrow$ Irreg. sing. along $\{\infty\} \times T$,
coalescing exponents (Cotti-Dubrovin-Guzzetti).

Irregular singularity in dim. one

Theorem (Levelt-Turrittin). For any $\Omega(z) = A(z)dz$

($A(z) = \text{Laurent series}$), $\exists$ formal gauge transf.

$\hat{P}(z) \in \text{GL}_d(\mathbb{C}((z)))$ s.t. $\hat{P}[\Omega] = \text{Jordan normal form } \Omega^{\text{norm}}$;
up to ramif., Ω^{norm} is block-diag., with blocks

$$d\varphi_i(z) + C_i \cdot dz/z, \quad \varphi_i \in \mathbb{C}((z)), \quad C_\varphi \text{ is cst.}$$

Irregular singularity in dim. one

Theorem (Levelt-Turrittin). For any $\Omega(z) = A(z)dz$

($A(z) = \text{Laurent series}$), $\exists$ formal gauge transf.

$\hat{P}(z) \in \text{GL}_d(\mathbb{C}((z)))$ s.t. $\hat{P}[\Omega] = \text{Jordan normal form } \Omega^{\text{norm}}$;
up to ramif., Ω^{norm} is block-diag., with blocks

$$d\varphi_i(z) + C_i \cdot dz/z, \quad \varphi_i \in \mathbb{C}((z)), \quad C_\varphi \text{ is cst.}$$

Fix a formal normal form, e.g. without ramification

$$\Omega^{\text{norm}}(z) = \text{diag}((d\varphi_i(z) + C_i \cdot dz/z)_i).$$

Theorem (Malgrange-Sibuya).

$$\{(\Omega(z), \hat{P}(z))\} = H^1(S^1, \text{St}(\Omega^{\text{norm}}(z)))$$

Stokes cocycles

Example (case $\varphi_i = c_i/z \forall i$). A Stokes cocycle can be represented by a pair of Stokes matrices (S_+, S_-) .

Integrable deform. of an irreg sing.

- Consider the pb in a tube $\text{nb}(Y_i)$ of one hypersurface,
 $\rightsquigarrow$ can assume the total space is $T \times \text{nb}(0)_z$.
- $\rightsquigarrow$ Need to **be given** a formal model before stating the problem.
- $\rightsquigarrow$ Better to considered objects with a **given** formal isomorphism with the formal model, in order to eliminate automorphisms.

Generalization of Malgrange-Sibuya

- Fix $\Omega^{(0)}(t, z)$:
 - merom. connection matrix, poles on $T \times \{0\}$,

Generalization of Malgrange-Sibuya

- Fix $\Omega^{(0)}(t, z)$:
 - merom. connection matrix, poles on $T \times \{0\}$,
Rank d merom. connection ∇ with matrix

$$\Omega^{(0)} = \frac{A(t, z)}{z^p} \cdot \frac{dz}{z} + \sum_i \frac{\Omega_i(t, z)}{z^{p_i}} dt_i, \quad \begin{cases} A(t, z) \\ \Omega_i(t, z) \end{cases} \text{ holom.}$$

Generalization of Malgrange-Sibuya

- Fix $\Omega^{(0)}(t, z)$:
 - merom. connection matrix, poles on $T \times \{0\}$,
 - integrability cond.: $d\Omega^{(0)} + \Omega^{(0)} \wedge \Omega^{(0)} = 0$.

Generalization of Malgrange-Sibuya

- Fix $\Omega^{(0)}(t, z)$:
 - merom. connection matrix, poles on $T \times \{0\}$,
 - integrability cond.: $d\Omega^{(0)} + \Omega^{(0)} \wedge \Omega^{(0)} = 0$.
- Consider pairs $(\Omega, \hat{P})$ such that
 - $\hat{P} \in GL_d(\mathcal{O}_T((z)))$,
 - $\hat{P}[\Omega] = \Omega^{(0)}$.
- Isomorphism of pairs:

$$(\Omega^{(1)}, \hat{P}^{(1)}) \simeq (\Omega^{(2)}, \hat{P}^{(2)}) \stackrel{\text{def.}}{\iff} (\hat{P}^{(2)})^{-1} \hat{P}^{(1)} \text{ *cvg.*}$$

Generalization of Malgrange-Sibuya

- Fix $\Omega^{(0)}(t, z)$:
 - merom. connection matrix, poles on $T \times \{0\}$,
 - integrability cond.: $d\Omega^{(0)} + \Omega^{(0)} \wedge \Omega^{(0)} = 0$.
- Consider pairs $(\Omega, \hat{P})$ such that
 - $\hat{P} \in GL_d(\mathcal{O}_T((z)))$,
 - $\hat{P}[\Omega] = \Omega^{(0)}$.
- Isomorphism of pairs:

$$(\Omega^{(1)}, \hat{P}^{(1)}) \simeq (\Omega^{(2)}, \hat{P}^{(2)}) \stackrel{\text{def.}}{\iff} (\hat{P}^{(2)})^{-1} \hat{P}^{(1)} \text{ *cvg.*}$$

Problem (Generalization of Malgrange-Sibuya).

Given $\Omega^{(0)}$, to classify pairs $(\Omega, \hat{P})$ up to isom.

A theorem of J.-B. Teyssier (2018)

Theorem (J.-B. Teyssier). If $T = \text{nb}(t^o)$,

$$\begin{array}{c} (\Omega^{(1)}, \hat{P}^{(1)}) \simeq (\Omega^{(2)}, \hat{P}^{(2)}) \\ \Updownarrow \\ (\Omega^{(1)}, \hat{P}^{(1)})|_{t=t^o} \simeq (\Omega^{(2)}, \hat{P}^{(2)})|_{t=t^o} \end{array}$$

In other words, **uniqueness**: $\exists$ **at most one** $(\Omega, \hat{P})$ extending $(\Omega, \hat{P})|_{t=t^o}$ up to iso.

Remark (Important condition). $\hat{P}$ holom., i.e., **not formal**, w.r.t. t

$$\hat{P} \in \text{GL}_d(\mathcal{O}_T((z)))$$

Main tool 1: Higher dim. Levelt-Turrittin

- $X = \mathbb{C}^m$, coord. $x_1, \dots, x_m$, $x^o = 0$
- $D: x_1 \cdots x_\ell = 0$,
- $S(x^o) = \{x_1 = \cdots = x_\ell = 0\}$, $\mathcal{O}_S = \mathbb{C}\{x_{\ell+1}, \dots, x_m\}$
- Normal form:

$$\Omega^{\text{norm}} = \bigoplus_{a \in A} \left(d\varphi_a \text{Id}_{r_a} + \sum_{i=1, \dots, \ell} C_{a,i} \frac{dx_i}{x_i} \right) \quad \begin{cases} \varphi_a \in \mathcal{O}(*D)/\mathcal{O} \\ C_{a,i} \text{ constant} \\ \text{size } r_a \end{cases}$$

- Good normal form ($\Leftrightarrow$ non-coalescence):

$$\forall a, b \in A, \quad \varphi_a - \varphi_b = \frac{u_{a,b}(x)}{x_1^{m_1} \cdots x_\ell^{m_\ell}} \quad \begin{cases} u_{a,b}(x) \text{ holom.} \\ u_{a,b}(0) \neq 0 \end{cases}$$

Higher dim. Level-Turrittin

Definition. Ω integrable satisfies Level-Turrittin at x^o if

• $\exists$ a **good** normal form Ω^{norm} ,

• $\exists \hat{P}_{x^o} \in \text{GL}_d(\mathcal{O}_S[[x_1, \dots, x_\ell]][x_1^{-1}, \dots, x_\ell^{-1}])$

s.t. $\Omega = \hat{P}_{x^o}[\Omega^{\text{norm}}]$.

Higher dim. Level-Turrittin

Definition. Ω integrable satisfies Level-Turrittin at x^o if

- $\exists$ a **good** normal form Ω^{norm} ,
 - $\exists \hat{P}_{x^o} \in \text{GL}_d(\mathcal{O}_S[[x_1, \dots, x_\ell]][x_1^{-1}, \dots, x_\ell^{-1}])$
- s.t. $\Omega = \hat{P}_{x^o}[\Omega^{\text{norm}}]$.

Theorem (Kedlaya-Mochizuki, 2008-11). Given Ω integrable with poles on $T \times \{0\}$, $\exists$ a sequence of blowing ups $e : X \rightarrow T \times \mathbb{C}_z$ such that:

- X is smooth and $D := e^{-1}(T \times \{0\})$ is a divisor with normal crossings;
- Near each $x^o \in D$, the **higher dimensional Level-Turrittin theorem** applies to $e^*\Omega$.

Main tool 2: Majima

Theorem (Majima, 1984), C.S., Mochizuki). If Ω satisfies Levelt-Turrittin at $x^o \in D$,

$\hat{P}_{x^o}$ can be lifted in any small polysectors of dir.

$\theta^o = (\theta_1^o, \dots, \theta_\ell^o)$ to P_{x^o, θ^o} having asympt. exp.

● $\rightsquigarrow$ Higher dim. Stokes multipliers

● $\rightsquigarrow$ Higher dim. Malgrange-Sibuya

Existence statement

- Given $\Omega^{(0)}(t, z)$ and $(\Omega(t^o, z), \hat{P}(t^o, z))$,

$$\exists (\Omega(t, z), \hat{P}(t, z)) \text{ ?}$$

- I.e., can we **deform** $(\Omega(t^o, z), \hat{P}(t^o, z))$ so that it matches $\Omega^{(0)}(t, z)$?

Existence statement–Example

- $T = \mathbb{C}^n$, coordinates $t_1, \dots, t_n$,
- $\Omega^{(0)}(t, z) = \bigoplus_{i=1}^n \left(d(t_i/z) \operatorname{Id}_{r_i} + C_i \frac{dz}{z} \right)$
- C_i constant of size r_i , $\sum_i r_i = d$.
- $\Delta : \bigcup_{i \neq j} \{t_i = t_j\}$.
- Strata S of Δ : coalescence indices fixed.

Theorem (C.S. 2017). For any stratum S and any $t^o \in S$, the restriction map $\operatorname{Restr}_{t^o}$

$$\{ (\Omega(t, z), \hat{P}(t, z)) \mid t \in \operatorname{nb}(S) \} \longrightarrow \{ (\Omega(t^o, z), \hat{P}(t^o, z)) \}$$

is a bijection.

Proof of existence 1

- $\Omega^{(0)}(t, z) = \bigoplus_{i=1}^n \left(d(t_i/z) \operatorname{Id}_{r_i} + C_i \frac{dz}{z} \right)$
- work near $t^o \in S \subset \Delta \subset T \times \{0\}$

Theorem (existence). For any stratum S and any $t^o \in S$, the restriction map $\operatorname{Restr}_{t^o}$

$$\{ (\Omega(t, z), \hat{P}(t, z)) \mid t \in \operatorname{nb}(S) \} \longrightarrow \{ (\Omega(t^o, z), \hat{P}(t^o, z)) \}$$

is a surjective.

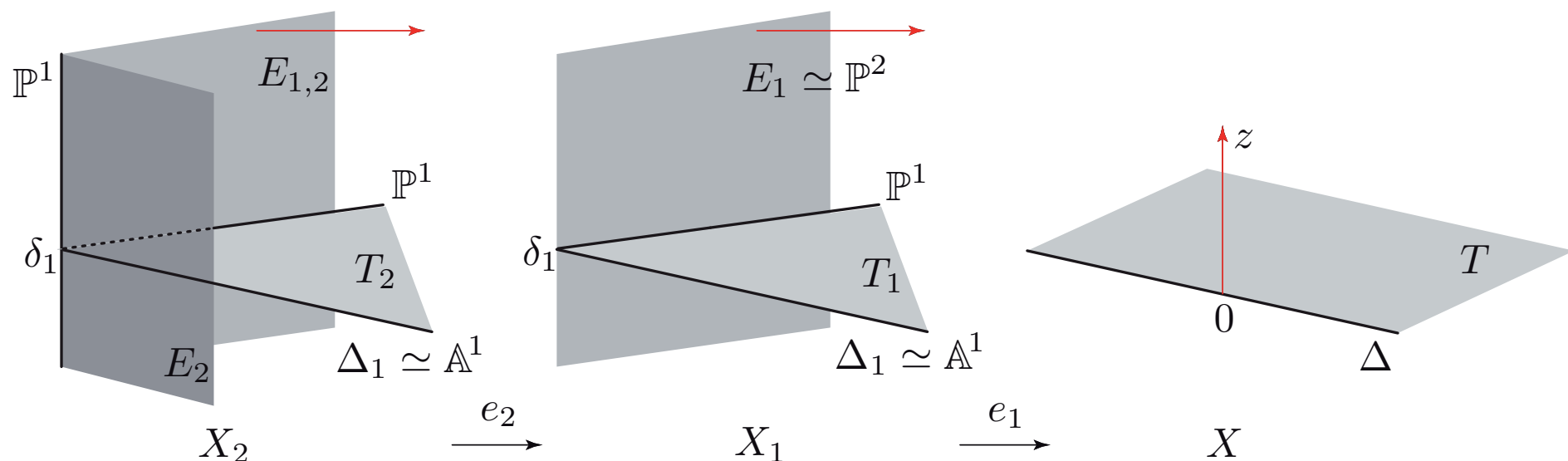
First step. Sequence of blowing-ups $e : X \rightarrow T \times \mathbb{C}_z$ such that the family $e^* d(t_i/z)$ is **good** at every $x^o \in D$.

Remark. Easy to find e for this example.

Proof of an example

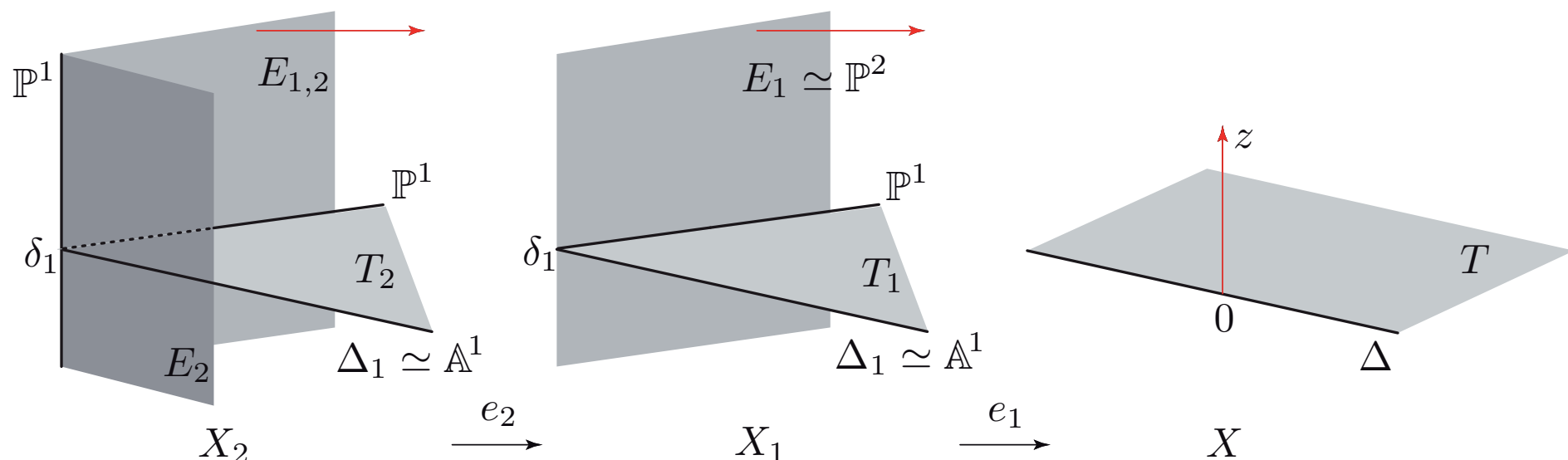
- $T = \mathbb{C}^2$, $t = (t_1, t_2)$, $\Delta = S = \{t_2 = 0\}$,
- $\Omega^{(0)}(t, z) = \bigoplus_{i=1}^4 \left(d(f_i(t)/z) \operatorname{Id}_{r_i} + C_i \frac{dz}{z} \right)$,
- $f_1(t) = t_1$, $f_2(t) = t_1 + t_2$, $f_3(t) = t_1 + a$,
 $f_4(t) = t_2 + b$,
- $a, b, a - b \neq 0$
- only coalescence: $f_1 - f_2$ vanishes on Δ .

Blowing-ups



- $e = e_2 \circ e_1$, $e^* \Omega^0(t, z)$ **good**,
- Have replaced coalescence with smooth divisor T with non-coalescence (goodness) with non-smooth divisor (i.e., ncd).
- **General. Malgrange-Sibuya thm:** if we know $e^* \Omega$ near one point x^o of D , can extend $e^* \Omega$ to a neighbd of the stratum of x^o .

Blowing-ups



- Start with $\Omega|_{z\text{-axis}}$ given, same as $e^*\Omega|_{\text{red line}}$
- $\exists e^*\Omega$ on a neighbd of $E_{1,2} \setminus (T_2 \cup E_2)$ (easy)
- Main Lemma:** $e^*\Omega$ extends to a neighbd of $E_{1,2}$
- $\rightsquigarrow e^*\Omega$ extends to each stratum of D
- Check compatibility of the various extensions
- Descent:** $e^*\Omega \rightsquigarrow \Omega$.

