Holomorphic Rigidity of Totally Nondegenerate CR Manifolds

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Complex Analysis in Several Variables (Vitushkin Seminar), 21 April 2021, Moscow State University

Outline of the talk

- Totally Nondegenerate CR Manifolds
 - Infinitesimal CR automorphisms
- 2 Tanaka Prolongation
- Maximum Conjecture
 - Preliminary materials of the proof
 - Sketch of the proof

• Let M be a CR manifold with the CR structure T^cM . Set:

$$\mathfrak{m}^{-1} := T^c M, \qquad \mathfrak{m}^{-k} := [\mathfrak{m}^{-1}, \mathfrak{m}^{-k+1}] \text{ for } k > 1.$$

a) each distribution \mathfrak{m}^{-k} is regular i.e. rank $(\mathfrak{m}_{x}^{-k}) = const.$, locally.

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$$\mathfrak{m}:=\mathfrak{m}^{-\mu}+\cdots+\mathfrak{m}^{-1}\cong TM.$$

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A CR manifold, as above, with the associated Tanaka algebra $\mathfrak m$ is totally nondegenerate of depth μ whenever for each $2 \leq k \leq \mu-1$, the dimension of the component $\mathfrak m^{-k}$ is maximum among all CR manifolds which admit a depth μ Tanaka algebra.

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 \bullet Equivalently, let M be a CR manifold of CR dimension n with a Tanaka algebra:

$$\mathfrak{m} := \mathfrak{m}^{-\mu} + \mathfrak{m}^{-\mu+1} + \dots + \mathfrak{m}^{-1}$$

Consider the complexification $\mathbb{C} \otimes \mathfrak{m} \cong \mathbb{C} \otimes TM$ with:

$$\mathbb{C}\otimes\mathfrak{m}^{-1}=\mathfrak{m}^{1,0}+\mathfrak{m}^{0,1}.$$

Then, M is totally nondegenerate of depth μ whenever:

$$\frac{\mathbb{C}\otimes\mathfrak{m}}{\mathbb{C}\otimes\mathfrak{m}^{-\mu}}\cong\frac{\mathfrak{f}_{2n,\mu-1}}{\mathcal{I}}$$

 \checkmark $\mathfrak{f}_{2n,\mu-1}$ is a depth $\mu-1$ (complex) free Lie algebra of rank 2n generated by some $v_1, \dots, v_n \in \mathcal{V}^{1,0}$ and $\overline{v}_1, \dots, \overline{v}_n \in \mathcal{V}^{0,1}$, $\checkmark \mathcal{I}$ is the ideal generated by the (abstract) elements of:

$$[\mathcal{V}^{1,0},\mathcal{V}^{1,0}] \qquad \text{and} \qquad [\mathcal{V}^{0,1},\mathcal{V}^{0,1}].$$

- Proposition (Beloshapka, Math. Notes (2004))
- \checkmark M: a depth μ totally nondegenerate of CR dimension n with the associated Tanaka algebra $\mathfrak{m} = \mathfrak{m}^{-\mu} + \cdots + \mathfrak{m}^{-2} + \mathfrak{m}^{-1}$.
- $\checkmark r := \dim_{\mathbb{C}} \mathfrak{m} \text{ and } k_j := \dim_{\mathbb{C}} \mathfrak{m}^{-j} \text{ for } j = 2, \cdots, \mu.$
- ✓ let \mathbf{w}_{j} be a vector coordinate of k_{j} complex variables.
- \checkmark weights: in coordinates $z_1, \dots, z_n, \mathbf{w}_2, \dots, \mathbf{w}_{\mu}$ of \mathbb{C}^r , assign $[z] = [\overline{z}] = 1$ and $[\mathbf{w}_j] = [\mathbf{u}_j] = [\mathbf{v}_j] = j$.

Then:

$$M: \begin{cases} \mathbf{v}_2 = \mathbf{\Phi}_2(z, \overline{z}, \mathbf{u}) + O(2) \\ \vdots \\ \mathbf{v}_{\mu} = \mathbf{\Phi}_{\mu}(z, \overline{z}, \mathbf{u}) + O(\mu) \end{cases}$$

where for $j = 1, \dots, \mu$, each Φ_j is a weighted homogeneous vector polynomial function of weight j and O(j) means a (possibly infinite) sum over monomials of weights > j.

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$$X = \sum_{j=1}^{n} Z^{j}(\mathbf{z}, \mathbf{w}) \frac{\partial}{\partial z_{j}} + \sum_{l=1}^{k} W^{l}(\mathbf{z}, \mathbf{w}) \frac{\partial}{\partial w_{l}}$$

which are tangent to M, i.e.:

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$$\mathfrak{aut}_{CR}(\mathbb{M}) = \underbrace{\mathfrak{g}_{-\mu} + \ldots + \mathfrak{g}_{-1}}_{\mathfrak{g}_{-}} + \mathfrak{g}_{0} + \underbrace{\mathfrak{g}_{1} + \ldots + \mathfrak{g}_{\nu}}_{\mathfrak{g}_{+}}$$
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is a graded Lie algebra of polynomila type.

2) The subalgebra \mathfrak{g}_{-} is fundamental. Moreover, the graded algebra $\mathfrak{aut}_{CR}(\mathbb{M})$ is transitive that is: for each $X \in \mathfrak{g}_t$ with $t \geq 0$, the equality $[X, \mathfrak{g}_{-1}] = 0$ implies that $X \equiv 0$.

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Moreover, \mathfrak{g}_{-} is isomorphic to the Tanaka algebra \mathfrak{m}_{-} of \mathbb{M} .

4) Let $\mathfrak{g}_0 := \operatorname{Lie}(G_0)$ and $\mathfrak{g}_+ := \operatorname{Lie}(G_+)$. Then $G_0 \cdot G_+$ is the isotropy subgroup of $\operatorname{Aut}_{CR}(\mathbb{M})$ at the origion. G_0 consists of linear CR automorphisms $(\mathbb{M},0) \to (\mathbb{M},0)$ while G_+ consists of populated ones.

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• Question. What is the precise dimension of \mathfrak{g}_+ in the gradation (1) of $\mathfrak{aut}_{CR}(\mathbb{M})$.

Maximum Conjecture (Beloshapka, Proc. Steklov Inst. Math (2012)

Totally nondegenerate CR models of depth ≥ 3 never admit origion preserving nonlinear CR automorphism. In other words if M is a totally nondegenerate CR model of depth ≥ 3 , then the subalgbera \mathfrak{g}_+ in the gradation (1) of $\mathfrak{aut}_{CR}(\mathbb{M})$ is trivial.

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A fundamental algebra $\mathfrak{m} := \sum_{j<0} \mathfrak{m}_j$ is said to be \overline{CR} (or pseudocomplex) if there exists some complex structure map $J: \mathfrak{m}_{-1} \to \mathfrak{m}_{-1}$ satisfying $J \circ J = -id$ and:

$$\big[x,y\big]=\big[J(x),J(y)\big], \qquad \mathrm{for\ each}\ \ x,y\in\mathfrak{m}_{-1}.$$

Theorem. (Tanaka, J. Math. Kyoto Univ., (1970))

For each graded CR algebra $\mathfrak{m}:=\sum_{j=-\mu}^{-1}\mathfrak{m}_j$, let \mathfrak{g}_0 to be the space of all degree zero derivations of \mathfrak{m} which are \mathbb{C} -linear on \mathfrak{m}_{-1} and respect the complex structure map J. Then, there exists a transitive extension $(\mathfrak{m}+\mathfrak{g}_0)^\infty=\mathfrak{m}+\mathfrak{g}_0+\sum_{p\geq 1}\mathfrak{g}_p$, called Levi-Tanaka prolongation of the CR Tanaka algebra $\mathfrak{m}+\mathfrak{g}_0$, which is unique up to isomorphism and maximal among all transitive extessions of $\mathfrak{m}+\mathfrak{g}_0$.

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 \checkmark Set $\mathcal{G}^k := \mathfrak{m}_k$ for k < 0 and $\mathcal{G}^k := \mathfrak{g}_k$ for $k \ge 0$. For each $\ell > 0$ define inductively:

$$\label{eq:general_def} \begin{split} \mathfrak{g}_\ell &:= \Big\{ \mathsf{d} \in \bigoplus_{k \leqslant -1} \, \mathsf{Lin} \big(\mathcal{G}^k, \, \mathcal{G}^{k+\ell} \big) \colon \mathsf{d} \big([\mathsf{y}, \, \mathsf{z}] \big) = [\mathsf{d}(\mathsf{y}), \, \mathsf{z}] + [\mathsf{y}, \, \mathsf{d}(\mathsf{z})], \\ & \forall \, \mathsf{y}, \, \mathsf{z} \in \mathfrak{m} \Big\}. \end{split}$$

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Theorem. (Tanaka, J. Math. Kyoto Univ. (1970))

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• Simple observation. Let $(\mathfrak{m} + \mathfrak{g}_0)^{\infty} = \mathfrak{m} + \mathfrak{g}_0 + \sum_{p \geq 1} \mathfrak{g}_p$. If $\mathfrak{g}_{p_o} = 0$ for some $p_o \geq 1$, then $\mathfrak{g}_p = 0$ for every $p \geq p_o$.

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• Recall that: If M is a depth μ totally nondegenerate model with the associated Tanaka algebra $\mathfrak{m} := \mathfrak{m}_{-\mu} + \cdots + \mathfrak{m}_{-1}$ then:

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- \checkmark \mathfrak{m} is fundamental and CR with the complex structure map $J:\mathfrak{m}_{-1}\to\mathfrak{m}_{-1}$ induced by the complex structure map of M.
- ✓ The Lie algebra $\mathfrak{aut}_{CR}(M)$ is a transitive prolongation (cf. (1)):

$$\operatorname{\mathfrak{aut}}_{\operatorname{CR}}(M) := \mathfrak{m} + \mathfrak{g}_0 + \sum_{j=1}^{\nu} \mathfrak{g}_j$$

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Corollary

Let M be a CR model with the associated Tanaka algebra $\mathfrak{m}.$ Then,

$$\operatorname{\mathfrak{aut}}_{\operatorname{CR}}(M) \cong (\mathfrak{m} + \mathfrak{g}_0)^{\infty}.$$

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$$\mathfrak{aut}_{CR}(M) := \mathfrak{m} + \mathfrak{g}_0 + \sum_{j=1}^{\nu} \mathfrak{g}_j$$

of the Tanaka algebra \mathfrak{m}

Corollary

Let M be a CR model with the associated Tanaka algebra \mathfrak{m} . Then,

$$\operatorname{\mathfrak{aut}}_{\operatorname{CR}}(M) \cong (\mathfrak{m} + \mathfrak{g}_0)^{\infty}.$$

Algebraic version of the maximum conjecture

Let \mathfrak{m} be a totally nondegenerate algebra of depth $\mu \geq 3$, that is the Tanaka algebra associated with a totally nondegenerate CR manifold of this depth. Then

$$(\mathfrak{m}+\mathfrak{g}_0)^\infty=\mathfrak{m}+\mathfrak{g}_0.$$

• In order to prove the above algebraic conjecture, one may show equivalently that the first component \mathfrak{g}_1 of $(\mathfrak{m} + \mathfrak{g}_0)^{\infty}$ is trivial.

$$g_{1} = \left\{ L \in \operatorname{Lin}(\mathfrak{m} = \sum_{j=-\mu}^{-1} \mathfrak{m}_{j}, \sum_{j=-\mu+1}^{-1} \mathfrak{m}_{j} + g_{0}) : [L(Y), Z] + [Y, L(Z)] = L([Y, Z]), \ \forall Y, Z \in \mathfrak{m} \right\}.$$

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$$\mathfrak{m}:=\mathfrak{m}_{-\mu}+\cdots+\mathfrak{m}_{-1}\quad\longrightarrow\quad\mathfrak{m}^{\mathbb{C}}:=\mathbb{C}\otimes\mathfrak{m}:=\mathfrak{m}_{-\mu}^{\mathbb{C}}+\cdots+\mathfrak{m}_{-1}^{\mathbb{C}}$$

$$\begin{split} \mathfrak{m} &:= \mathfrak{m}_{-\mu} + \dots + \mathfrak{m}_{-1} &\longrightarrow & \mathfrak{m}^{\mathbb{C}} := \mathbb{C} \otimes \mathfrak{m} := \mathfrak{m}_{-\mu}^{\mathbb{C}} + \dots + \mathfrak{m}_{-1}^{\mathbb{C}} \\ & \mathcal{J} : \mathfrak{m}_{-1} \to \mathfrak{m}_{-1} \end{split}$$

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$$J : \mathfrak{m}_{-1} \to \mathfrak{m}_{-1} \longrightarrow \widehat{J} : \mathfrak{m}_{-1}^{\mathbb{C}} \to \mathfrak{m}_{-1}^{\mathbb{C}}$$

$$\mathbb{D} \in \mathfrak{g}_0 : \mathfrak{m} \longrightarrow \mathfrak{m}$$

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Lemma

Denote by $\mathfrak{m}^{1,0}$ and $\mathfrak{m}^{0,1}$ the $\pm i$ -eigenspaces of \widehat{J} i.e. $\mathfrak{m}_{-1}^{\mathbb{C}} = \mathfrak{m}^{1,0} + \mathfrak{m}^{0,1}$. Let \mathbb{D} and \mathbb{L} be \mathbb{C} -linear extensions of some homomorphisms $D \in \mathfrak{g}_0$ and $L \in \mathfrak{g}_1$. Then:

$$\overline{(\mathbb{L}(X))(Y)} = (\mathbb{L}(\overline{X}))(Y)$$
 and $\overline{\mathbb{D}(X)} = \mathbb{D}(\overline{X})$

for each $X, Y \in \mathfrak{m}^{\mathbb{C}}$. In particular $\mathbb{D}(\mathfrak{m}^{1,0}) \subset \mathfrak{m}^{1,0}$.

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• The goal is to show that if \mathfrak{m} is the Tanaka algebra associated with a totally nondegenerate model of depth $\mu \geq 3$, if $(\mathfrak{m} + \mathfrak{g}_0)^{\infty} = \mathfrak{m} + \mathfrak{g}_0 + \sum_{j=1}^{\nu} \mathfrak{g}_j$ is its Levi-Tanaka prolongation and $L \in \mathfrak{g}_1$, then its \mathbb{C} -linear extension:

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 \bullet Recall that: if $\mathfrak{i}^{1,0}=[\mathfrak{m}^{1,0},\mathfrak{m}^{1,0}]$ and $\mathfrak{i}^{0,1}=[\mathfrak{m}^{0,1},\mathfrak{m}^{0,1}]$

$$\frac{\mathfrak{m}^{\mathbb{C}}}{\mathfrak{m}_{-\mu}^{\mathbb{C}}} \cong \frac{\mathfrak{f}_{2n,\mu-1}}{\mathfrak{i}^{1,0}+\mathfrak{i}^{0,1}} := \mathfrak{f}_{J}: \quad \text{free CR algebras}.$$

Then, the basis elements of $\mathfrak{m}^{\mathbb{C}}$ are the basis elements of $\frac{\mathfrak{f}_{2n,\mu-1}}{\mathfrak{i}^{1,0}+\mathfrak{i}^{0,1}}$ together with the basis elements of the Abelian subalgebra $\mathfrak{m}_{-\mu}$.

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- Hall bases (M. Hall, Proc. AMS, (1950)) Let $\mathfrak{f}:=\mathfrak{f}_{-1}+\mathfrak{f}_{-2}+\cdots$ be a free Lie algebra of rank n generated by the abstract elements $V:=\{v_1,\ldots,v_n\}$. The Hall basis $\mathcal{H}:=\bigsqcup_{i\geq 1}\mathcal{H}^i$ of \mathfrak{f} consists of the basis elements defined inductively as:
 - $\checkmark \mathcal{H}^{-1} := V.$
 - ✓ Suppose that all sets \mathcal{H}^{ℓ} , $\ell < k_o$, are defined. Set the order \prec on $\bigsqcup_{1 < \ell < k_o 1} \mathcal{H}^{\ell}$ such that:

$$\operatorname{degree}(X) < \operatorname{degree}(Y) \Rightarrow X \prec Y$$

- $\checkmark \mathcal{H}^{k_o} := \text{the collection of all monomials}$ of degree k_o of the form [U, V] with $U, V \in \bigsqcup_{1 \le \ell \le k_o 1} \mathcal{H}^{\ell}$, with:
 - (i) $V \prec U$;
 - (ii) if $U = [U_1, U_2]$ for some $U_i \in \mathcal{H}$, then $U_2 \leq V$.

• Witt formula. Let $\mathfrak{f} := \mathfrak{f}_{-1} + \mathfrak{f}_{-2} + \cdots$ be a free algebra of rank n. Assume that $\dim \mathfrak{f}_{-\ell} := n_{\ell}$. Then, the following induction relation holds for each $\ell > 1$:

$$n_{\ell}-n_{\ell-1}=rac{1}{\ell}\sum_{d|\ell}\mu(d)n^{rac{\ell}{d}}$$

where μ is the Möbius function

$$\mu(d) := \left\{ egin{array}{ll} 1, & ext{if } d = 1 \ 0, & ext{if } d ext{ contains square integer factors} \ (-1)^{
u}, & ext{if } d = p_1 \cdots p_{
u} \end{array}
ight.$$

• Example. Let $V := \{v_1, v_2, \overline{v}_1, \overline{v}_2\}$ with the order $v_1 \prec v_2$, $\overline{v}_1 \prec \overline{v}_2$ and $\overline{v}_i \prec v_i$ for i, j = 1, 2. Then,

 \checkmark $[[v_1, \overline{v}_1], v_2]$ and $[[v_2, \overline{v}_1], v_1]$ are two distinct elements of $\mathcal{H}^3 \subset \mathcal{H}$.

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- \checkmark $[[v_1, \overline{v}_1], v_2]$ and $[[v_2, \overline{v}_1], v_1]$ are two distinct elements of $\mathcal{H}^3 \subset \mathcal{H}$.
- \checkmark Adding the CR relation $[v_1, v_2] = [\overline{v}_1, \overline{v}_2] = 0$ then we have: $[[v_1, \overline{v}_1], v_2] = [[v_2, \overline{v}_1], v_1].$

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CR Hall Bases

Definition

Let \mathfrak{f} be a free algebra generated by the n abstract elements v_1, \ldots, v_n . For each monomial $X \in \mathfrak{f}$, define the type of X as the n-tuple:

$$\operatorname{type}(X) := (t_1, \ldots, t_n)$$

where t_j is the number of the times the corresponding basis element v_i is used in construction of the monomial X.

• Recall that if:

- \checkmark $\mathfrak{m} := \mathfrak{m}_{-\mu} + \cdots + \mathfrak{m}_{-1}$: a totally nondegenerate algebra of depth μ with the generators $v_1, \cdots, v_n, \overline{v}_1, \cdots, \overline{v}_n$ of $\mathfrak{m}_{-1}^{\mathbb{C}}$
- $\checkmark~\mathfrak{f}:$ a depth $\mu-1$ complex free algebra generated by

$$v_1, \cdots, v_n, \overline{v}_1, \cdots, \overline{v}_n$$

$$\checkmark$$
 $\mathfrak{i}^{1,0} := \langle [v_i, v_j] : i, j = 1, \dots, n \rangle$ and $\mathfrak{i}^{0,1} := \langle [\overline{v}_i, \overline{v}_j] : i, j = 1, \dots, n \rangle$

Then:

$$\mathfrak{m}_{-\mu+1}^{\mathbb{C}} + \ldots + \mathfrak{m}_{-1}^{\mathbb{C}} \cong \frac{\mathfrak{m}^{\mathbb{C}}}{\mathfrak{m}_{-\mu}^{\mathbb{C}}} \cong \mathfrak{f}_{J} = \frac{\mathfrak{f}}{\mathfrak{i}_{10} + \mathfrak{i}_{01}}.$$

Proposition

In agreement with the above notations, if X_1, \ldots, X_ℓ are monomials in \mathfrak{f} (of degree $< \mu$), with distinct type and none of them in $\mathfrak{i} = \mathfrak{i}_{10} + \mathfrak{i}_{01}$, then their classes $X_1 + \mathfrak{i}, \ldots, X_\ell + \mathfrak{i}$ constitute a linearly independent set in $\mathfrak{m}^{\mathbb{C}}$.

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Two Algebraic Questions

- Question 1. Is there a certain basis for \mathfrak{f}_J like that of Hall for the free algebra \mathfrak{f} ?
- Question 2. Is there any certain formula for computing the dimension of the components of \mathfrak{f}_J like that of Witt for the free algebra \mathfrak{f} ?

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CR version of Warhurst' lemma

• Notations. Let $\mathfrak{m} + \mathfrak{g}_0 + \sum_{p \geq 1} \mathfrak{g}_p$ be the Levi-Tanaka prolongation of a CR algebra \mathfrak{m} . Let $X, Y \in \mathfrak{m}$ and $L \in \mathfrak{g}_t$ for $t \geq 0$. Denote

$$\checkmark X^{r} \cdot Y := \underbrace{[X, [X, [\cdots, [X, Y] \cdots]]]}_{r-times},$$

$$\checkmark L_{X} := [L, X] \text{ and } L_{X|Y} := [[L, X], Y].$$

CRW Lemma. (cf. Warhurst, Geom. Ded. (2007))

Let $\mathfrak{m} = \sum_{t=-\mu}^{-1} \mathfrak{m}_t$ be a CR algebra with $\mathfrak{m}_{-1}^{\mathbb{C}} := \mathfrak{m}^{1,0} + \mathfrak{m}^{0,1}$. Given $E \in \mathfrak{m}^{1,0}$ and $L \in \mathfrak{g}^1$ (indeed a \mathbb{C} -linear extension), then for any other element $W \in \mathfrak{m}^{\mathbb{C}}$ and $r \geq 1$,

$$L_{E^r \cdot W} = E^{r-1} \cdot \left(r L_{E|W} - L_{W|E} \right) + \frac{r(r-1)}{2} E^{r-2} \cdot \left(L_{E|E} \cdot W \right) \, .$$

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.

• Somple simple relations.

Let $\mathfrak{m} := \mathfrak{m}_{-\mu} + \cdots + \mathfrak{m}_{-1}$ be a totally nondegenerate algebra with the Levi-Tanaka prolongation

$$(\mathfrak{m} + \mathfrak{g}_0)^{\infty} = \mathfrak{m} + \mathfrak{g}_0 + \sum_{j \geq 1} \mathfrak{g}_j.$$

Let $L \in \mathfrak{g}_1$ and $E, F \in \mathfrak{m}^{1,0}$ (and hence $\overline{E}, \overline{F} \in \mathfrak{m}^{0,1}$). Then:

$$\begin{split} [E,F] &= 0, \qquad [\overline{E},\overline{F}] = 0, \\ L_{E|F} &\in \mathfrak{m}^{1,0}, \qquad L_{\overline{E}|F} &\in \mathfrak{m}^{1,0}, \\ L_{E|\overline{F}} &= \overline{L_{\overline{E}|F}} &\in \mathfrak{m}^{0,1} \qquad L_{\overline{E}|\overline{F}} &= \overline{L_{E|F}} &\in \mathfrak{m}^{0,1} \end{split}$$

Let $\mathfrak{m} := \mathfrak{m}_{-\mu} + \cdots + \mathfrak{m}_{-1}$ be a totally nondegenerate algebra of depth $\mu \geq 3$. Let $(\mathfrak{m} + \mathfrak{g}_0)^{\infty} = \mathfrak{m} + \mathfrak{g}_0 + \sum_{j>0} \mathfrak{g}_j$ be its Levi-Tanaka prolongation.

- \heartsuit To prove: if $L \in \mathfrak{g}_1$ then $L \equiv 0$.
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- \spadesuit Sketch of the proof: Let $\mathfrak{m} := \mathfrak{m}_{-\mu} + \cdots + \mathfrak{m}_{-1}$ be a totally nondegenerate algebra with the basis elements X_1, \cdots, X_n of $\mathfrak{m}^{1,0}$. Let $L \in \mathfrak{g}_1$.
 - 1) Set:

$$L_{X_i|X_j} := \sum_{k=1}^n a_{ij}^k X_k$$
 and $L_{X_i|\overline{X}_j} := \sum_{k=1}^n b_{ij}^k \overline{X}_k$

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- 3) Equating to zero the coefficients of the CR Hall basis elements in $\mathfrak{m}_{-\mu+1}^{\mathbb{C}}$ in the expression $L_{L_X}=0$ and constructing a certain system of equations with the unknowns a_{ij}^k , b_{ij}^k .

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- 3) Equating to zero the coefficients of the CR Hall basis elements in $\mathfrak{m}_{-\mu+1}^{\mathbb{C}}$ in the expression $L_{L_X}=0$ and constructing a certain system of equations with the unknowns a_{ii}^k , b_{ii}^k .
- 4) showing that the solution of the constructed system is nothing but $a_{ij}^k = b_{ij}^k = 0$.

- ♠ Sketch of the proof: Let $\mathfrak{m} := \mathfrak{m}_{-\mu} + \cdots + \mathfrak{m}_{-1}$ be a totally nondegenerate algebra with the basis elements X_1, \dots, X_n of $\mathfrak{m}^{1,0}$. Let $L \in \mathfrak{g}_1$.
 - 1) Set:

$$L_{X_i|X_j} := \sum_{k=1}^n a_{ij}^k X_k \text{ and } L_{X_i|\overline{X}_j} := \sum_{k=1}^n b_{ij}^k \overline{X}_k$$

- 2) Computing $L_{L_X} \in \mathfrak{m}_{-\mu+1}^{\mathbb{C}}$ by means of the CRW lemma where $X \equiv 0$ is some monomial of degree $\mu + 1$.
- 3) Equating to zero the coefficients of the CR Hall basis elements in $\mathfrak{m}_{-\mu+1}^{\mathbb{C}}$ in the expression $L_{L_X}=0$ and constructing a certain system of equations with the unknowns a_{ii}^k , b_{ii}^k .
- 4) showing that the solution of the constructed system is nothing but $a_{ii}^k = b_{ii}^k = 0$.

Proof in CR dimension 2.

Let \mathfrak{m} be a totally nondegenerate algebra of depth $\mu > 3$ and CR dimension 2, with $\mathfrak{m}_{-1}^{\mathbb{C}} = \langle X_1, X_2, \overline{X}_1, \overline{X}_2 \rangle$. Let $L \in \mathfrak{g}^1$. Set:

$$\begin{array}{ll} L_{X_{1}|X_{1}} := a_{1} X_{1} + b_{1} X_{2}, & L_{X_{1}|\overline{X}_{1}} := a_{5} \overline{X}_{1} + b_{5} \overline{X}_{2}, \\ L_{X_{1}|X_{2}} := a_{2} X_{1} + b_{2} X_{2}, & L_{X_{1}|\overline{X}_{2}} := a_{6} \overline{X}_{1} + b_{6} \overline{X}_{2}, \\ L_{X_{2}|X_{1}} := a_{3} X_{1} + b_{3} X_{2}, & L_{X_{2}|\overline{X}_{1}} := a_{7} \overline{X}_{1} + b_{7} \overline{X}_{2}, \\ L_{X_{2}|X_{2}} := a_{4} X_{1} + b_{4} X_{2}, & L_{X_{2}|\overline{X}_{2}} := a_{8} \overline{X}_{1} + b_{8} \overline{X}_{2}. \end{array} \tag{2}$$

✓ Observation:

$$0 = L_{[X_1, X_2]} = L_{X_1|X_2} - L_{X_2|X_1} = (a_2 - a_3)X_1 + (b_2 - b_3)X_2$$

$$\downarrow \downarrow$$

$$a_3 = a_2, \qquad b_3 = b_2$$

✓ first application of CRW.

$$0 = L_{X_1^{\mu} \cdot \overline{X}_1} = \mu \left(\left(a_5 + \frac{\mu - 1}{2} a_1 \right) X_1^{\mu - 1} \cdot \overline{X}_1 + b_5 X_1^{\mu - 1} \cdot \overline{X}_2 + \frac{\mu - 1}{2} b_1 X_2 \cdot X_1^{\mu - 2} \cdot \overline{X}_1 \right).$$

✓ Observation:

$$0 = L_{[X_1, X_2]} = L_{X_1|X_2} - L_{X_2|X_1} = (a_2 - a_3)X_1 + (b_2 - b_3)X_2$$

$$\downarrow \downarrow$$

$$a_3 = a_2, \qquad b_3 = b_2$$

✓ first application of CRW.

$$0 = L_{X_1^{\mu} \cdot \overline{X}_1} = \mu \left(\left(a_5 + \frac{\mu - 1}{2} a_1 \right) X_1^{\mu - 1} \cdot \overline{X}_1 + b_5 X_1^{\mu - 1} \cdot \overline{X}_2 + \frac{\mu - 1}{2} b_1 X_2 \cdot X_1^{\mu - 2} \cdot \overline{X}_1 \right).$$

✓ once again CRW.

$$\begin{split} 0 &= L_{L_{\chi_{1}^{\mu} \cdot \overline{X}_{1}}} \\ &= \left(-a_{5}^{2} - \frac{1}{2} \, a_{1}^{2} + a_{5} \, a_{1} \mu^{2} - \frac{5}{2} \, a_{5} \, a_{1} \mu + b_{5} \, a_{6} \mu - \frac{3}{2} \, b_{1} \, a_{2} \mu + \frac{1}{2} \, b_{1} \, a_{7} \mu + \frac{1}{2} \, b_{1} \, a_{2} \mu^{2} \right. \\ &+ a_{5}^{2} \mu + \frac{3}{2} \, a_{5} \, a_{1} + \frac{1}{4} \, a_{1}^{2} \mu^{3} - a_{1}^{2} \mu^{2} + \frac{5}{4} \, a_{1}^{2} \mu - b_{5} \, a_{6} + b_{1} \, a_{2} - \frac{1}{2} \, b_{1} \, a_{7} \right) \, X_{1}^{\mu - 2} \cdot \overline{X}_{1} + \\ &+ \left(a_{5} \, b_{5} \mu + a_{1} \, b_{5} \mu^{2} - \frac{5}{2} \, a_{1} \, b_{5} \mu + b_{5} \, b_{6} \mu + \frac{1}{2} \, b_{1} \, b_{7} \mu - a_{5} \, b_{5} + \frac{3}{2} \, a_{1} \, b_{5} - b_{5} \, b_{6} - \right. \\ &- \left. \frac{1}{2} \, b_{1} \, b_{7} \right) X_{1}^{\mu - 2} \cdot \overline{X}_{2} + \left(a_{5} \, b_{1} \mu^{2} - 3 \, a_{5} \, b_{1} \mu + \frac{1}{2} \, b_{1} \, a_{1} \mu^{3} - \frac{5}{2} \, a_{1} \, b_{1} \mu^{2} + \right. \\ &+ \left. 4 \, a_{1} \, b_{1} \mu - \frac{3}{2} \, b_{1} \, b_{2} \mu + \frac{1}{2} \, b_{1} \, b_{2} \mu^{2} + 2 \, a_{5} \, b_{1} - 2 \, a_{1} \, b_{1} + b_{1} \, b_{2} \right) \, X_{1}^{\mu - 3} \cdot X_{2} \cdot \overline{X}_{1} + \\ &+ \left. \left. \left(b_{1} \, b_{5} \mu^{2} - 3 \, b_{1} \, b_{5} \mu + 2 \, b_{1} \, b_{5} \right) \, X_{1}^{\mu - 3} \cdot X_{2} \cdot \overline{X}_{2} \right. \\ &+ \left. \left(\frac{3}{2} \, \, b_{1}^{2} + \frac{3}{2} \, b_{1}^{2} \mu^{2} - \frac{11}{4} \, b_{1}^{2} \mu - \frac{1}{4} \, b_{1}^{2} \mu^{3} \right) \, X_{2} \cdot X_{1}^{\mu - 4} \cdot \overline{X}_{1} \cdot X_{2}. \end{split}$$

In the pre-assumptions (2) we have:

$$a_4 = b_1 = 0$$

✓ second application of CRW.

$$0 = L_{X_{1}^{\mu} \cdot \overline{X}_{2}} = \mu \left(a_{6} X_{1}^{\mu-1} \cdot \overline{X}_{1} + \right.$$

$$\left. + \left(b_{6} + \frac{\mu - 1}{2} a_{1} \right) X_{1}^{\mu-1} \cdot \overline{X}_{2} + \frac{\mu - 1}{2} b_{1} X_{2} \cdot X_{1}^{\mu-2} \cdot \right.$$

$$0 = L_{X_{1}^{\mu-2} \cdot \overline{X}_{2} \cdot X_{1} \cdot \overline{X}_{2}} = \left(2\overline{a}_{7} + \overline{b}_{4} \right) X_{1}^{\mu-1} \cdot \overline{X}_{2} + 2\overline{b}_{7} X_{1}^{\mu-2} \cdot X_{2} \cdot \overline{X}_{2} +$$

$$\left. + 2 \left(\mu - 2 \right) a_{6} X_{1}^{\mu-3} \cdot \overline{X}_{2} \cdot X_{1} \cdot \overline{X}_{1} +$$

$$\left. + \left((\mu - 2)(a_{1} + 2b_{6}) + \frac{(\mu - 2)(\mu - 3)}{2} a_{1} \right) X_{1}^{\mu-3} \cdot \overline{X}_{2} \cdot X_{1} \right.$$

In the pre-assumptions (2) we have:

$$a_4=b_1=0$$

✓ second application of CRW.

$$\begin{split} 0 &= \mathit{L}_{X_{1}^{\mu} \cdot \overline{X}_{2}} = \mu \big(\mathit{a}_{6} \, X_{1}^{\mu-1} \cdot \overline{X}_{1} + \\ &\quad + \big(\mathit{b}_{6} + \frac{\mu-1}{2} \, \mathit{a}_{1} \big) \, X_{1}^{\mu-1} \cdot \overline{X}_{2} + \frac{\mu-1}{2} \, \mathit{b}_{1} \, X_{2} \cdot X_{1}^{\mu-2} \cdot \\ 0 &= \mathit{L}_{X_{1}^{\mu-2} \cdot \overline{X}_{2} \cdot X_{1} \cdot \overline{X}_{2}} = \big(2\overline{\mathit{a}}_{7} + \overline{\mathit{b}}_{4} \big) \, X_{1}^{\mu-1} \cdot \overline{X}_{2} + 2\overline{\mathit{b}}_{7} \, X_{1}^{\mu-2} \cdot X_{2} \cdot \overline{X}_{2} + \\ &\quad + 2 \, (\mu-2) \, \mathit{a}_{6} \, X_{1}^{\mu-3} \cdot \overline{X}_{2} \cdot X_{1} \cdot \overline{X}_{1} + \\ &\quad + \big((\mu-2)(\mathit{a}_{1} + 2 \, \mathit{b}_{6}) + \frac{(\mu-2)(\mu-3)}{2} \, \mathit{a}_{1} \big) \, X_{1}^{\mu-3} \cdot \overline{X}_{2} \cdot X_{1} \end{split}$$

✓ once again CRW.

$$\begin{split} 0 &= L_{L_{X_{1}^{\mu}},\overline{X}_{2}} = \\ &\left(a_{6}a_{5}\mu - a_{6}a_{5} + a_{6}a_{1}\mu^{2} - \frac{5}{2}a_{6}a_{1}\mu + \frac{3}{2}a_{6}a_{1}\right. \\ &+ b_{6}a_{6}\mu - b_{6}a_{6}\right) X_{1}^{\mu-2} \cdot \overline{X}_{1} \\ &+ \left(b_{5}a_{6}\mu - b_{5}a_{6} + b_{6}^{2}\mu - b_{6}^{2} + b_{6}a_{1}\mu^{2} - \frac{5}{2}b_{6}a_{1}\mu + \frac{3}{2}b_{6}a_{1} + \frac{1}{4}a_{1}^{2}\mu^{3} \right. \\ &- a_{1}^{2}\mu^{2} + \frac{5}{4}a_{1}^{2}\mu - \frac{1}{2}a_{1}^{2}\right) X_{1}^{\mu-2} \cdot \overline{X}_{2}, \end{split}$$

$$0 = ((2\overline{a}_{7} + \overline{b}_{4})(a_{6}\mu - a_{6}) + 2 a_{8}\overline{b}_{7} + (2(\mu - 2))a_{6}(\overline{a}_{7} + \overline{a}_{2})) X_{1}^{\mu - 2} \cdot \overline{X}_{1}$$

$$+ ((2\overline{a}_{7} + \overline{b}_{4})(\mu b_{6} - b_{6} + \frac{1}{2} a_{1}\mu^{2} - \frac{3}{2} a_{1}\mu + a_{1})$$

$$+ 2\overline{b}_{7}(a_{2}\mu - 2a_{2} + b_{8}) + (2(\mu - 2))a_{6}(\overline{b}_{2} + \overline{a}_{5}) +$$

$$+ (-\frac{3}{2} a_{1}\mu + 2\mu b_{6} + a_{1} - 4 b_{6} + \frac{1}{2} a_{1}\mu^{2})(2\overline{a}_{7} + \overline{b}_{4})) X_{1}^{\mu - 2} \cdot \overline{X}_{2}$$

$$+ (2\overline{b}_{7}(a_{6}\mu - 2a_{6}) + (2(\mu - 2))a_{6}\overline{b}_{7}) X_{1}^{\mu - 3} \cdot X_{2} \cdot \overline{X}_{1}$$

$$+ (2\overline{b}_{7}(\mu a_{6} + \mu b_{2} - 2a_{6} - 2b_{2} + \frac{1}{2} a_{1}\mu^{2} - \frac{5}{2} a_{1}\mu + 3 a_{1}) + (2(\mu - 2))a_{6}\overline{b}_{7})$$

$$+ (2(-\frac{3}{2} a_{1}\mu + 2\mu b_{6} + a_{1} - 4 b_{6} + \frac{1}{2} a_{1}\mu^{2}))\overline{b}_{7}) X_{1}^{\mu - 3} \cdot X_{2} \cdot \overline{X}_{2}$$

$$+ (2(\mu - 2))(\mu - 3)a_{6}^{2} X_{1}^{\mu - 4} \cdot \overline{X}_{1} \cdot \overline{X}_{1} \cdot \overline{X}_{1} + \cdots$$

In the pre-assumptions (2) we have:

$$a_1 = b_4 = a_5 = a_6 = b_6 = a_7 = b_7 = b_8 = 0.$$

• Similar argument on:

$$\checkmark X := X_1^{\mu-2} \cdot \overline{X}_1 \cdot X_1 \cdot \overline{X}_1 \Rightarrow \overline{b_5 = a_8 = 0}.$$

$$\checkmark X := X_1^{\mu-2} \cdot X_1 \cdot X_2 \cdot \overline{X}_2 \Rightarrow \boxed{a_2 = b_2 = 0}.$$

In the pre-assumptions (2) we have:

$$a_1 = b_4 = a_5 = a_6 = b_6 = a_7 = b_7 = b_8 = 0.$$

• Similar argument on:

$$\checkmark X := X_1^{\mu-2} \cdot \overline{X}_1 \cdot X_1 \cdot \overline{X}_1 \Rightarrow \overline{b_5 = a_8 = 0}.$$

$$\checkmark X := X_1^{\mu-2} \cdot X_1 \cdot X_2 \cdot \overline{X}_2 \Rightarrow \boxed{a_2 = b_2 = 0}.$$

$\mathsf{Theorem}$

The maximum conjecture is correct in CR dimension 2.

In the pre-assumptions (2) we have:

$$a_1 = b_4 = a_5 = a_6 = b_6 = a_7 = b_7 = b_8 = 0.$$

• Similar argument on:

$$\checkmark X := X_1^{\mu-2} \cdot \overline{X}_1 \cdot X_1 \cdot \overline{X}_1 \Rightarrow \overline{b_5 = a_8 = 0}.$$

$$\checkmark X := X_1^{\mu-2} \cdot X_1 \cdot X_2 \cdot \overline{X}_2 \Rightarrow \boxed{a_2 = b_2 = 0}.$$

Theorem

The maximum conjecture is correct in CR dimension 2.

Further investigations

• Conjecture (Beloshapka, Russian J. Math. Phys. (2020)). Let

$$\mathfrak{g}_{-\mu} + \cdots + \mathfrak{g}_{-1} + \mathfrak{g}_0 + \cdots + \mathfrak{g}_{\nu}$$

be the infinitesimal CR automorphism algebra associated with a certain regular nondegenerate (not necessarily total) manifold. Is it correct that:

$$\mu \geq \nu$$
?

Proof in CR dimension n = 1

Proposition (Medori-Nacinovich, Compos. Math. (1997))

Let $\mathfrak{m} = \sum_{j=-\mu}^{-1} \mathfrak{m}_j$ be a nondegenerate CR algebra of CR dimension $n = \frac{1}{2} \text{dim} \mathfrak{m}_{-1} = 1$. Then, the Levi-Tanaka prolongation $(\mathfrak{m} + \mathfrak{g}_0)^{\infty} = \mathfrak{m} + \mathfrak{g}_0 + \sum_{j \geq 1} \mathfrak{g}_j$ is either solvable with $\mathfrak{g}_j = 0$ for every $j \geq 1$ or is simple and isomorphic to $\mathfrak{su}(2,1) = \mathfrak{m}_{-2} + \mathfrak{m}_{-1} + \mathfrak{g}_0 + \mathfrak{g}_1 + \mathfrak{g}_2$.

As long as Algebra and Geometry proceeded along seperate paths, their advance was slow and their applications limited. But when these sciences joined company they drew from each other fresh vitality and thenceforward marched on at rapid pace towards perfection

Joseph L. Lagrange (1736-1813)

Thanks for your attention...