

# Amoebas of the second kind

I.Krichever

Columbia University, Skotech, HSE

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The moduli space

$$\mathcal{S} := \{\Gamma, d\zeta_1, d\zeta_2\} \quad (1)$$

of smooth algebraic curves with a pair of *real (imaginary)* normalized differentials are central for many aspects of the algebraic-geometrical integration theory. They provide a unifying framework for

- the Hamiltonian theory of soliton equations,
- the Whitham equations,
- WDVV equations,
- Siberg-Witten solution of  $N = 2$  SUSY gauge models.
- Laplacian growth problem

# Imaginary normalized (IN) differentials

By definition an imaginary normalized meromorphic differential is a differential whose periods over any cycle on the curve are imaginary.

The universality of this notion is that:

## Lemma

*For any fixed singular parts of poles with pure real residues, there exists a unique meromorphic differential  $d\zeta$ , having prescribed singular part at  $p_\alpha$  and such that all its periods on  $\Gamma$  are real, i.e.*

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# IN differentials vs harmonic functions

The notion of IN differentials is almost "equivalent" to that of harmonic function. Indeed by definition the real part of the abelian integral

$$x(p) = \operatorname{Re} \int^p d\zeta$$

is a single valued harmonic function on  $\Gamma_0 := \Gamma \setminus \{p_\alpha\}$ .

Conversely: let  $x(p)$  be a harmonic function, then locally there exists a unique up to an additive constant conjugate harmonic function  $y(p)$ . Hence,  $x(p)$  uniquely defines the differential  $d\zeta = dx + idy$ , which by construction is *imaginary normalized* holomorphic differential on  $\Gamma_0$ . One can specify asymptotic behavior of  $x(p)$  near the marked point by the requirement that  $d\zeta$  is meromorphic on  $\Gamma$  and has a fixed singular part at the marked points.

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# Motivation

The imaginary (real) normalized differentials of the third kind per se are not new. They were probably known to Maxwell (the real part of such differential is a single valued harmonic function on  $\Gamma$  equal to the potential of electromagnetic field on  $\Gamma$  created by charged particles at the marked points); they were used in the, so-called, light-cone string theory, and played a crucial role in joint works of S. Novikov and the author on Laurent-Fourier theory on Riemann surfaces and on operator quantization of bosonic strings.

# IN differentials from the spectral theory

Imaginary normalization as defining property of **the quasi-momentum differentials in the spectral theory of linear operators** with quasi-periodic coefficients was introduced by the author at the end of 1980s (they were called then absolute normalized).

Recall: If  $L$  is a difference (differential) operator with periodic coefficients then its spectral curve parameterize Bloch solutions

$$L(\lambda)\psi = 0, \quad \psi(x + \ell, \lambda) = w(\lambda)\psi$$

By definition  $\ell^{-1} d \log w$  is a meromorphic differential on a spectral curve with periods that are

$$\oint \ell^{-1} d \log w \in \frac{2\pi i}{\ell} \mathbb{Z}$$

The imaginary normalization is an interpolation of the latter to the case of operators with quasi-periodic coefficients.



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# Vanishing properties of $\mathcal{M}_{g,n}$

Constructions with real normalized differentials have found applications to the study of geometry of moduli spaces of curves with punctures. Among results are:

- a new proof the Diaz' theorem on dimension of complete subvarieties of  $\mathcal{M}_g$  (Grushevsky-Kr, 2011).
- the proof of Arbarello's conjecture: the statement that any complete complex subvariety of  $\mathcal{M}_g$  of dimension  $g - n$  intersects the locus  $W_n$  of curves that have a Weierstrass point of weight at most  $n$  (Kr 2011).

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The key elements of the approach for a study of geometry of the moduli space of smooth curves with marked points are:

- the moduli space  $\mathcal{M}_{g,k}^{(n)}$ ,  $n = (n_1, \dots, n_k)$  of smooth genus  $g$  Riemann surfaces with the fixed  $n_\alpha$ -jets of local coordinates in the neighborhoods of labeled points is the total space of a *real-analytic* foliation, whose leaves  $\mathcal{L}$  are locally smooth *complex subvarieties* of real codimension  $2g$ ;
- on  $\mathcal{M}_{g,k}^{(n)}$  there is an ordered set of  $(\dim_{\mathbb{R}} \mathcal{L})$  continuous functions, which restricted onto the leaves of the foliation are piecewise harmonic. Moreover, the first of these function restricted onto  $\mathcal{L}$  is a **subharmonic** function.

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The foliation structure arises through identification of  $\mathcal{M}_{g,k}^{(n)}$  with the moduli space of curves with fixed *real-normalized* meromorphic differential.

### Definition

*A leaf  $\mathcal{L}$  of the foliation on  $\mathcal{M}_{g,k}^{(n)}$  defined to be the locus along which the periods of the corresponding differentials remain (covariantly) constant.*

The leaves  $\mathcal{L}$  of the foliation can be regarded as a generalization of the Hurwitz spaces of  $\mathbb{P}^1$  covers.

It is basic fact of the Whitham theory:

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# Coordinates along a leaf

A set of holomorphic coordinates on  $\mathcal{M}_{g,k}^{(n)}$  are "critical" values of the corresponding abelian integral  $F(p) = c + \int^p \Psi$ ,  $p \in \Gamma$ :

At the generic point, where zeros  $q_s$  of  $\Psi$  are distinct, the coordinates on  $\mathcal{L}$  are the evaluation of  $F$  at these critical points:

$$\varphi_s = F(q_s), \quad \Psi(q_s) = 0, \quad s = 0, \dots, d = \dim \mathcal{L}, \quad (2)$$

normalized by the condition  $\sum_s \varphi_s = 0$ .

A direct corollary of the imaginary normalization is the statement that:

- *the real parts  $f_s = \operatorname{Re} \varphi_s$  of the critical values depend only on labeling of the critical points*

They can be arranged into decreasing order

$$f_0 \geq f_1 \geq \cdots \geq f_{d-1} \geq f_d.$$

After that  $f_j$  can be seen as a well-defined continuous function on  $\mathcal{M}_{g,k}^{(n)}$ , which restricted onto  $\mathcal{L}$  is a piecewise harmonic function. Moreover,  $f_0$  restricted onto  $\mathcal{L}$  is a **subharmonic function**.

# Diaz' theorem revisited

Let  $X$  be a complete cycle in  $\mathcal{M}_g$  and  $Z$  be its preimage under the forgetfull map:  $\mathcal{M}_{g,2} \subset \mathcal{C}_g^2 \mapsto \mathcal{M}_g$ .

→ On  $Z$  the function  $f_0$  (defined by critical values of real-normalized differential with two simple poles) must achieve its maximum at some point.

→ At this point the function  $f_0$  achieves its maximum on  $Z \cap \mathcal{L}$ .

→ Hence, it is a constant on  $Z \cap \mathcal{L}$ .

→ If  $f_0$  is a constant then (inductively) all the other functions  $f_j$  are constants.

→ Then,  $Z \cap \mathcal{L}$  is at most zero-dimensional, i.e.  $Z$  intersects  $\mathcal{L}$  transversally.

→  $\dim X \leq g - 2$

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The goal of the talk is to present some constructions and notions associated with algebraic curves with a pair of real normalized differentials. They generalize concepts of amoebas, Ronkin functions associated with plane curves. The latter have played crucial role in the theory of real algebraic curves (Mikhalkin) and in the theory of limiting shapes of random surfaces (Kenyon, Okounkov, Sheffield).

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# Amoebas and the Ronkin function of plane curves

- The amoeba  $\mathcal{A}_f$  of a holomorphic function  $f : (\mathbb{C}^*)^n \rightarrow \mathbb{C}$  (where  $\mathbb{C}^* = \mathbb{C} \setminus 0$ ) is, by definition, the image in  $\mathbb{R}^n$  of the zero locus of  $f$  under the mapping  $\text{Log} : (z_1, \dots, z_n) \rightarrow (\log |z_1|, \dots, \log |z_n|)$ . The terminology was introduced by Gelfand, Kapranov and Zelevinsky and reflects the geometric shape of typical amoebas, that is a semianalytic closed subset of  $\mathbb{R}^n$  with tentacle-like asymptotes going off to infinity.
- All connected components of the amoeba complement  $\mathcal{A}_f^c = \mathbb{R}^n \setminus \mathcal{A}_f$ , are convex. When  $f$  is a Laurent polynomial, then there is a natural injective map from the set of connected components of  $\mathcal{A}_f^c$  to the set of integer points of Newton polytop  $\Delta_f$  of  $f$  (Forsberg, Passare, Tsikh).

This injective map is defined by the gradient  $\nabla \mathcal{R}_f$  of, the so-called Ronkin function:

$$\mathcal{R}_f(x) = \frac{1}{(2\pi i)^n} \int_{\text{Log}^{-1}(x)} \frac{\log |f(z_1, \dots, z_n)| dz_1 \cdots dz_n}{z_1 \cdots z_n} \quad (3)$$

The Ronkin function  $\mathcal{R}_f(x)$  is convex.

Recall, that each convex function  $u$  defines the associated Monge-Ampère measure  $Mu$ . If  $u$  is a smooth convex function on  $\mathbb{R}^n$ , then  $Mu = \det(\text{Hess}(u))v$ , where  $\text{Hess}(u)$  is the Hessian matrix and  $v$  denotes the Lebesgue measure on  $\mathbb{R}^n$ . If  $u$  is convex but not necessary smooth  $\nabla u$  can still be defined as a multifunction, and the Monge-Ampère measure of  $u$  is defined as in the smooth case.

Since  $\mathcal{R}_f(x)$  is affine linear in a connected component of  $\mathcal{A}_f^c$ , the support of the associated Monge-Ampère measure  $\mu := M\mathcal{R}_f$  is in  $\mathcal{A}_f$ .

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# Extremal properties of Harnack curves

For  $n = 2$  the area of amoeba is always bounded (Passare, H. Rullgard):

$$\text{Area}(\mathcal{A}_f) \leq \pi^2 \text{Area}(\Delta_f) \quad (4)$$

Theorem (Mikhalkin, Rullgard)

*Suppose that  $\text{Area}(\Delta) > 0$ . Then the following conditions are equivalent.*

1.

$$\text{Area}(\mathcal{A}) = \pi^2 \text{Area}(\Delta)$$

2. *The map  $\text{Log}$  is at most 2:1 and the curve  $f(z_1, z_2) = 0$  is real up to multiplication by a constant.*

3. *The curve  $f(z_1, z_2) = 0$  is real up to multiplication by a constant and its real part is a (possibly singular) simple Harnack curve for the Newton polygon  $\Delta$ .*

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# Amoebas of the third kind

Let us fix a pair of  $n$ -tuples of real numbers  $a_j = \{a_{\alpha,j}\}$ ,  $j = 1, 2$ . Then for each smooth algebraic curve  $\Gamma$  with  $n$  marked points  $p_\alpha$  we have two associated imaginary normalized differential  $d\zeta_j$  with  $\text{Res}_{p_\alpha} d\zeta_j = a_{\alpha,j}$ .

## Definition

*The amoeba  $\mathcal{A}_S \subset \mathbb{R}^2$  associated with the data  $S = \{\Gamma, p_\alpha, a_{\alpha,j}\}$  is the image of the map  $\chi : \Gamma_0 \rightarrow \mathbb{R}^2$ ,  $\chi(p) = (x_1(p), x_2(p))$ , where  $x_j(p)$  are harmonic functions on  $\Gamma_0$  defined by the imaginary normalized meromorphic differentials  $d\zeta_j$ , i.e.*

$$x_a(p) = \text{Re} \left( \int^p d\zeta_a + c \right) \quad (5)$$

The following result shows that the geometric shape of generalized amoebas is the same as that of amoebas of plane curves:

### Theorem

*All connected components of the complement  $\mathcal{A}_S^c$  are convex. There are  $n$  unbounded components separated by tentacle-like asymptotes of the amoeba.*

**Open problem:** upper bound on the number of *connected components of  $\mathcal{A}_S^c$*

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# Critical points of the amoeba map

## Lemma

*The locus  $\gamma \subset \Gamma$  of critical points of the amoeba map is a union of the locus  $\gamma_0$ , where the function  $R(p) = \frac{d\zeta_2}{d\zeta_1}$  is real and the finite set (possibly empty) of the common zeros of the differentials  $d\zeta_j$ .*

Let  $p$  be a regular point of the map  $\chi$ . Then in the neighborhood of  $p$  we can write  $\zeta_1 = x_1 + iy_1(x_1, x_2)$ ,  $\zeta_2 = x_2 + iy_2(x_1, x_2)$ . Using the fact that the ratio  $R$  is a meromorphic function on  $\Gamma$  it is easy to prove the equations:

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# Critical points of the amoeba map

## Lemma

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The latter imply

$$4dx_1 \wedge dx_2 = -2i(\operatorname{Im} R) d\zeta_1 \wedge d\bar{\zeta}_1 = -2i\operatorname{Im} R^{-1} d\zeta_2 \wedge d\bar{\zeta}_2. \quad (7)$$

Moreover: there exists a "generating" function  $\tilde{\rho}(x_1, x_2)$  such that

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# Generalized Ronkin function

For each  $x = (x_1, x_2) \in \mathbb{R}^2$  let us define the closed subset  $\Gamma_x \subset \Gamma$ :

$$\Gamma_x := \{p \in \Gamma_x \mid x_1(p) \leq x_1, x_2(p) \leq x_2\}. \quad (8)$$

## Definition

*The generalized Ronkin function  $\rho_S$ , associated with a smooth algebraic curve with two imaginary normalized differentials, i.e. associated with the data  $S$  is given by:*

$$\rho_S(x) = \frac{1}{8\pi i} \int \int_{\Gamma_x} \operatorname{sgn}(\operatorname{Im} R)(d\zeta_1 \wedge d\bar{\zeta}_2 - d\bar{\zeta}_1 \wedge d\zeta_2). \quad (9)$$

## Theorem

*The generalized Ronkin function  $\rho_S(x)$  given by (9) is a convex function on  $\mathbb{R}^2$ . It is affine linear in each connected component of  $\mathcal{A}_S^c$ . It is smooth at the regular points of the amoeba, i.e. outside of the set  $F$  of critical values of  $\chi$ , and furthermore at  $x \in \mathcal{A}_S \setminus F$*

$$\text{Hess } \rho_S(x) = \frac{1}{2\pi} \sum_{p \in \chi^{-1}(x)} \frac{1}{|\text{Im}R(p)|} \begin{pmatrix} 1 & \text{Re}R(p) \\ \text{Re}R(p) & |R(p)|^2 \end{pmatrix}. \quad (10)$$

## Corollary

*The area of the amoeba  $\mathcal{A}_S$  is not greater than*

$$\text{Area}(\mathcal{A}_S) \leq \pi^2 \text{Area}(\Delta_S) \quad (11)$$

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# Newton polygon

The image of the gradient map  $\nabla \rho_S$  is the polygon  $\Delta_S$  that is a convex hull of the set of points  $(v_{\alpha,1}, v_{\alpha,2}) \in \mathbb{R}^2$ , which are the image under  $\nabla \mathcal{R}_S$  of the unbounded components of  $\mathcal{A}_S$ .

If the vectors  $a_\alpha$  and  $a_\beta$  are not collinear i.e.,

$\varepsilon_{\alpha,\beta} := a_{\beta,1}a_{\alpha,2} - a_{\beta,2}a_{\alpha,1} \neq 0$ , then the vertices of  $\Delta_S$  equal

$$\pm a_{\alpha,2} > 0 \Rightarrow v_{\alpha,1} = \pm \sum_{\beta \in I_\alpha^\pm} a_{\beta,2}, I_\alpha^\pm := \{\beta \in I_\alpha^\pm \mid \pm a_{\beta,2} > 0, \pm \varepsilon_{\alpha,\beta} > 0\}$$
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(13)

The formulae (12) and (13) continuously extended to the general case when some of vectors  $a_\alpha$  might be collinear.

# Amoebas of $M$ -curves

A smooth genus  $g$  algebraic curve  $\Gamma$  with antiholomorphic involution  $\tau : \Gamma \rightarrow \Gamma$  is  $M$ -curve if  $\tau$  has  $g + 1$  (maximal possible number) of fixed ovals.

## Definition

*The set of data  $(\Gamma, p_\alpha, a_{\alpha,j})$  is called Harnack: (i)  $\Gamma$  is a  $M$ -curve; (ii) the marked points  $p_\alpha$  are on one of the fixed ovals  $A_0, \dots, A_g$  of the antiinvolution  $\tau$ , say  $p_\alpha \in A_0$ ; (iii) The cyclic order  $p_\alpha$  along the cycle  $A_0$  coincides with counterclockwise order of the vertices of the polygon  $\Delta_S$ .*

The antiinvolution  $\tau$  on an  $M$ -curve is always of the separating type, i.e.  $\Gamma = \Gamma^+ \cup \Gamma^-$ ,  $\tau : \Gamma^+ \rightarrow \Gamma^-$ ,  $\Gamma^+ \cap \Gamma^- = \bigcup_{j=0}^g A_j$ ,

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# Extremal properties of Harnack data

## Lemma

*The amoeba map defined by imaginary normalized differentials  $d\zeta_j$  corresponding to Harnack data restricted to  $\Gamma^+ \subset \Gamma$  is a diffeomorphism of  $\Gamma^+ \setminus \{p_\alpha\}$  with  $\mathcal{A}_S$ .*

## Theorem

*The following conditions are equivalent.*

*1.*

$$\text{Area}(\mathcal{A}_S) = \pi^2 \text{Area}(\Delta_S)$$

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# Spectral theory of 2D difference operators

In the framework of the spectral theory of two-dimensional difference operators

$$(L\psi)_{n,m} = \psi_{n+1,m} + \psi_{n,m+1} + u_{n,m}\psi_{n,m} \quad (14)$$

the plane curves of degree  $d$  arise as the spectral curves of  $d$ -periodic operators,  $u_{n,m} = u_{n+d,m} = u_{n,m+d}$ .

The points of the spectral curve parameterize Bloch solution of the equation  $L\psi = 0$ , i.e.

$$\psi_{n+d,m} = z_1\psi_{n,m}, \quad \psi_{n,m+d} = z_2\psi_{n,m} \quad (15)$$

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# Quasi-periodic integrable operators

## Theorem (Kr 1985)

*Let  $p$  be a point of  $\Gamma$ . Then for any  $g$ -dimensional vector  $Z$  the function*

$$\psi_{m,n} = \frac{\theta(A(p) + mU + nV + Z)}{\theta(mU + nV + Z)} e^{m\Omega_1(p) + n\Omega_2(p)}, \quad (17)$$

*where  $U = A(p_1) - A(p_3)$ ,  $V = A(p_2) - A(p_3)$ , satisfies the difference equation*

$$\psi_{m,n+1} = \psi_{m+1,n} + u_{m,n}\psi_{m,n} \quad (18)$$

*with*

$$u_{m,n} = \frac{\tau_{m+1,n+1}\tau_{m,n}}{\tau_{m+1,n}\tau_{m,n+1}} \quad (19)$$

*where*

$$\tau_{m,n} = c_1^m c_2^n \theta(mU + nV + Z) \quad (20)$$

- In general the coefficient of the difference equation is a complex quasiperiodic function (possibly singular) of the variables  $(m, n)$ .
- If the vectors  $U$  and  $V$  are  $d$ -periodic points of the Jacobian, then  $u_{m,n}$  are  $d$ -periodic. (The later condition is equivalent to the condition that on  $\Gamma$  there exist functions  $z_1$  and  $z_2$  having pole of order  $d$  at  $p_3$  and zeros of order  $d$  at  $p_1$  and  $p_2$ , respectively).
- If on  $\Gamma$  there is an antiholomorphic involution and if the marked points  $p_j$  are fixed by the involution, then  $u$  is real for real  $Z$  (but still might be singular).
- If  $\Gamma$  is  $M$ -curve, and the marked points are on one of the fixed ovals of the involution, then for all real  $Z$  the coefficients of the difference equation are non-singular for all  $(n, m)$ .

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Under the gauge transformation  $\Psi_{m,n} = (-1)^n \tau_{m,n} \psi_{m,n}$ , equation (18) takes the following form

$$\tau_{m+1,n} \Psi_{m,n+1} + \tau_{n,n+1} \Psi_{m+1,n} + \tau_{m+1,n+1} \Psi_{m,n} = 0 \quad (21)$$

### Corollary

*The coefficients of equation (21) are real positive numbers if and only if the corresponding set of algebraic-geometrical data is a Harnack set.*

# Amoebas versus mushrooms and other creatures.

In the most general form the amoeba map  $\chi : \Gamma_0 \rightarrow \mathbb{R}^2$  of a smooth algebraic curve  $\Gamma$  with punctures  $p_\alpha$  can be defined by any pair of IN differentials (second kind if they have no residues)

- *Example 1.* The elliptic Calogero-Moser system  
 $d\zeta_1, d\zeta_2$  be imaginary normalized differentials on  $\Gamma$  having pole at a marked point  $p_0$  of the form  $(z^{-2} + O(1))dz$  and  $i(z^{-2} + O(1))dz$ . Notice, that a different choice of the local coordinate  $z$  corresponds to a linear transformation of the pair of differentials.

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## Example 2. KP2 hierarchy

The following example of a pair of imaginary normalized differentials having poles of the form  $d\zeta_1 = (-z^{-2} + O(1))$  and  $d\zeta_2 = (-2z^{-3} + O(1))dz$  is connected with the spectral theory of nonstationary Shrödinger equation.

- The corresponding map  $\chi$  is of degree zero.
- There is one infinite connected component of a complement of the image of  $\chi$ . It is bounded by a curve which asymptotically is the parabola  $x_2 = x_1^2$ .
- For the case of  $M$ -curves and one puncture fixed under anti-involution  $\tau$  the map  $\chi$  is  $2 : 1$  outside of images of fixed ovals, which are boundaries of compact connected components of  $\mathcal{A}^c$ . The gradient map  $\nabla\rho$  restricted to  $\Gamma^+$  is one-to-one with the upper half plane of  $\mathbb{R}^2$  with  $g$  points removed.

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# Common zeros of real normalized differentials

Solutions of the Whitham equations are singular at points of the moduli space where the differentials  $dE, dQ$  have common zeros. The study of the singularity locus is ongoing project with Grushevsky.

Central problem:

- *What is the maximal number of common zeros of two real normalized differentials having fixed orders of poles?*

is connected with a study of singularities of families of generalized Jacobians over singular spectral curves ...

Conjecture (Grushevsky-Kr)

*Two real normalized meromorphic differentials with  $d > 1$  poles of order 2 on a smooth genus  $g$  algebraic curve can not have more than  $\frac{3}{2}g + 2d - 1$  common zeros.*

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- *What is the maximum number  $s(d)$  of cusps on degree  $d$  plane curve ?*

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Kulikov constructed a families of curves with large number of cusps that give

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# Upper bound

For a long time the best upper bound was than obtained by Hirzebruch

$$s(d) \leq \frac{5}{16}d^2 - \frac{3}{8}d \simeq 0.3125d^2 + O(d)$$

In 2004 Lander using generalization of Bogomolov-Miyaoka-Yau inequality proved

$$s(d) \leq \frac{125 + \sqrt{73}}{432}d^2 \simeq 0.309d^2$$

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The induction step in proving this conjecture via degenerations of IN differentials near stable singular curves is completed by now.

The initial step requires the proof of the

### Conjecture

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The induction step in proving this conjecture via degenerations of IN differentials near stable singular curves is completed by now.

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