Relating Structure to Power: from categorical semantics to descriptive complexity

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- How we can master the complexity of computer systems and software?

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Mazur quoting Lenstra:

twenty years ago he was firm in his conviction that he DID want to solve Diophantine equations, and that he DID NOT wish to represent functors – and now he is amused to discover himself representing functors in order to solve Diophantine equations!

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Current EPSRC project with Anuj Dawar (Cambridge) on: Resources and Co-resources: a junction between categorical semantics and descriptive complexity.

Post-docs Dan Marsden, Luca Reggio (Marie-Curie Fellow), Tomáš Jakl Ph.D. students Tom Paine, Nihil Shah, Adam Ó Conghaile.

People

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Anuj Dawar

Dan Marsden

Luca Reggio

Tomáš Jakl







Nihil Shah

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Many potential benefits: generality, new connections, techniques and questions ...

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- At the basic level of computability, this happens when we assign a Turing machine description or a Gödel number to a recursive function. It is then meaningful to assign a complexity measure to the function.
- The same phenomenon arises in semantics: for example, the notion of sequentiality is applicable to a process computing a higher-order function.
 Reifying these processes in the form of game semantics led to a resolution of the famous full abstraction problem for PCF, and to a wealth of subsequent results.

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- The tree encodes a process for generating (parts of) the relational structure, to which resource notions can be applied.
- This allows us to apply resource notions to the objects of the extensional category via the adjunction.

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Our setting will be $\mathcal{R}(\sigma)$, the category of relational structures and homomorphisms.

This will be the extensional category.

First example

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Important combinatorial parameter, used extensively by Rossman in his Homomorphism Preservation Theorems.

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This functor has a right adjoint G_k , giving rise to a comonad $\mathbb{E}_k = U_k G_k$ on $\Re(\sigma)$.

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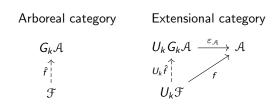
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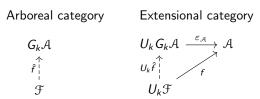
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Moreover, the adjunction is *comonadic*, meaning that the category of coalgebras for \mathbb{E}_k is exactly $\mathcal{R}_k^{\mathcal{E}}(\sigma)$.

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As we shall now see, this structure gives us directly:

- The Ehrenfeucht-Fraïssé game
- The quantifier-rank indexed fragments of FOL
- Equivalences of structures induced by:
 - ▶ the full fragment of q.r. $\leq k$
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This general pattern has been axiomatised in *Arboreal Categories and Resources*, SA and Luca Reggio, to appear in ICALP 2021, available at arXiv:2102.08109.

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Theorem

The following are equivalent:

- **1** There is a homomorphism $\mathbb{E}_k \mathcal{A} \to \mathcal{B}$.
- ② Duplicator has a winning strategy for the existential Ehrenfeucht-Fraissé game with k rounds, played from A to B.
- **③** For every existential positive sentence φ with quantifier rank $\leq k$, $\mathcal{A} \models \varphi \Rightarrow \mathcal{B} \models \varphi$.

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The key notions are

- paths, i.e. objects of $\mathcal{R}_k^E(\sigma)$ in which the order is linear (so the forest is a single branch), and
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These are special cases of notions which are axiomatised in the arboreal categories setting in great generality.

Pathwise embeddings and open maps

A morphism $f: X \to Y$ in $\mathfrak{R}_k^E(\sigma)$ is a *pathwise embedding* if, for all path embeddings $m: P \rightarrowtail X$, the composite $f \circ m$ is a path embedding.

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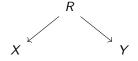
A morphism $f: X \to Y$ in $\mathfrak{R}_k^E(\sigma)$ is said to be *open* if it satisfies the following path-lifting property: Given any commutative square



with P,Q paths, there exists a diagonal filler $Q \to X$ (i.e. an arrow $Q \to X$ making the two triangles commute).

Bisimulations

A bisimulation between objects X,Y of $\mathcal{R}_k^{E}(\sigma)$ is a span of open pathwise embeddings



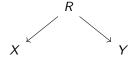
If such a bisimulation exists, we say that X and Y are bisimilar.

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Note that we use the *resource category* $\mathcal{R}_k^E(\sigma)$ to study logical properties of objects of the *extensional category* $\mathcal{R}(\sigma)$.

Connection to logic

Fragments of first-order logic:

- \mathcal{L}_k is the fragment of quantifier-rank $\leq k$.
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This follows from the comonadicity of the adjunction.

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Just as for EF-games, there is an existential-positive version, in which Spoiler only plays in \mathcal{A} , and Duplicator responds in \mathcal{B} .

We define a *k-pebble forest-ordered* σ -structure (A, \leq, p) to be a σ -structure A with a forest order \leq on A, and a pebbling function $p: A \rightarrow \{1, \ldots, k\}$.

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In addition to condition (E), it must also satisfy the following condition:

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These define a category $\mathcal{R}_k^P(\sigma)$ (where k bounds the number of pebbles, rather than the height of the forest order), and there is an evident forgetful functor $V_k: \mathcal{R}_k^P(\sigma) \to \mathcal{R}(\sigma)$.

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Theorem

For each k > 0, the functor V_k has a right adjoint H_k .

The corresponding comonad is \mathbb{P}_k , the pebbling comonad.

Given a structure \mathcal{A} , the universe of $\mathbb{P}_k \mathcal{A}$ is $(k \times A)^+$, the set of finite non-empty sequences of moves (p, a). Note this will be infinite even if \mathcal{A} is finite.

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Same same . . .

We can now run exactly the same script as for the Ehrenfeucht-Fraissé case:

- We can define paths, pathwise embeddings, open maps, bisimilarity in $\mathcal{R}_k^P(\sigma)$ in exactly the same fashion as we did for $\mathcal{R}_k^E(\sigma)$.
- Hence we can define bisimulations between object of the extensional category $\mathcal{R}(\sigma)$ using the resource category $\mathcal{R}_k^P(\sigma)$.
- We can define the equivalence relations $\mathcal{A} \rightleftharpoons_k \mathcal{B}$, $\mathcal{A} \leftrightarrow_k \mathcal{B}$, $\mathcal{A} \cong_k \mathcal{B}$ with respect to $\mathcal{R}_k^P(\sigma)$.

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We now take \mathcal{L}_k to be the k-variable fragment of first-order logic. $\exists \mathcal{L}_k$ is the existential-positive part of this fragment, $\mathcal{L}_k(\#)$ its extension with counting quantifiers.

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With this notation, we get verbatim the same result as before:

Theorem

For structures A and B:

- (1) $\mathcal{A} \equiv^{\exists \mathcal{L}_k} \mathcal{B} \iff \mathcal{A} \rightleftharpoons_k \mathcal{B}.$ (2) $\mathcal{A} \equiv^{\mathcal{L}_k} \mathcal{B} \iff \mathcal{A} \leftrightarrow_k \mathcal{B}.$
- (3) $A \equiv^{\mathcal{L}_k(\#)} \mathcal{B} \iff A \cong_k \mathcal{B}.$

We can define the coalgebra number for the pebbling comonad exactly as done before for the Ehrenfeucht-Fraïssé comonad.

A slightly more subtle argument is needed to show:

Theorem

For the pebbling comonad \mathbb{P}_k , the coalgebra number of A corresponds precisely to the tree-width of A.

The modal comonad

We can replay the whole script again for basic modal logic.

- In this case, the modal comonad \mathbb{M}_k corresponds to k-level unravelling of a Kripke structure.
- Open pathwise embedding bisimulation recovers standard modal bisimulation.
- The logical equivalences are the modal versions of those previously considered:
 - full modal logic of depth $\leq k$,
 - the diamond-only positive fragment, and
 - graded modal logic for the counting case.
- The coalgebra number in this case recovers the *property* of being a synchronization tree of height $\leq k$.
- The fact that it is a property rather than a structure in this case follows from the fact that this comonad is idempotent, and hence corresponds to a coreflective subcategory.

We now have a considerable number of examples of game comonads corresponding to various notions of model comparison game:

- pebbling comonad
- EF comonad
- modal comonad
- comonads for hybrid logic and other extensions of basic modal logic
- guarded quantifier comonads (atom, loose and clique guards)
- generalized quantifier comonads
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We get direct descriptions of the coalgebras in terms of *comonadic forgetful functors*. These are important both for formulating bisimulation, and for the connection with combinatorial invariants.

Summary table

\mathbb{C}_k	Logic	$\kappa^{\mathbb{C}}$	$ ightarrow_{k}^{\mathbb{C}}$	$\leftrightarrow_{k}^{\mathbb{C}}$	$\cong^{\mathbb{C}}_{k}$
\mathbb{E}_k [AS20]	FOL w/ qr $\leq k$	tree-depth	√	√	√
\mathbb{P}_k	k-variable logic	$treewidth\ +1$	✓	√	√
[ADW17]					
\mathbb{M}_k [AS20]	ML w/ md $\leq k$	sync. tree-depth	√	√	√
$\mathbb{G}_k^{\mathfrak{g}}$ [AM20]	g-guarded logic w/	guarded	√	√	?
	$width \leq k$	treewidth			
$\mathbb{H}_{n,k}$	k -variable logic w/ \mathbf{Q}_{n} -	<i>n</i> -ary general	√	√	√
[CD20]	quantifiers	treewidth			
\mathbb{PR}_k	<i>k</i> -variable logic	pathwidth $+1$	√	?	?
	restricted-∧				
\mathbb{LG}_k	<i>k</i> -conjunct guarded	hypertree-width	√	?	?
	logic				

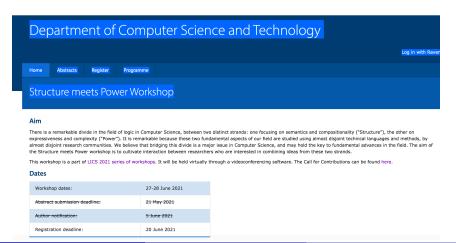
Further developments

- Arboreal categories [AR21]: axiomatic development
- General versions of model-theoretic results such as van Benthem-Rosen theorems, preservation theorems
- Lovasz-type theorems on counting homomorphisms [DJR21]
- Combinatorial parameters: concrete cases, axiomatic approach via density comonads

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Structure meets Power workshop affiliated with LiCS 2021

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