

# DENJOY EQUALITY AND INFINITE BINARY SEQUENCES ASSOCIATED WITH CIRCLE HOMEOMORPHISMS

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INTRODUCTION

CHAPTER 1. SYMBOLIC DYNAMICS AND CIRCLE MAPS

CHAPTER 2. COMPLEXITY FUNCTIONS AND HITTING  
TIMES ASSOCIATED BY CIRCLE MAPS

CHAPTER 3. DENJOY EQUALITY AND STURMIAN WORDS  
FOR HERMAN'S MAPS

REFERENCES

At the present time in the world one of the interesting problems of the theory of dynamical systems are the problems associated with the maps of the circle. Fundamental results in the theory of circle homeomorphisms were obtained in the works of such outstanding mathematicians as A. Poincaré, A. Denjoy, A.N. Kolmogorov, V.I. Arnold, J. Moser, M. Erman, J.C. Yoccoz, J.G. Sinai, K.M. Khanin, D. Ornstein, J. Katznelson and others. A natural generalization of circle diffeomorphisms are critical maps and piecewise smooth homeomorphisms with break points. In the last 20 years such kind of circle maps with singularity points intensively studied by many authors (M. Herman, J. Yoccoz, G. Świątek, A. Avila, K. Khanin, D. Mayer, A. Dzhalilov and others).

Many problems of dynamical systems, information theory, geometry, cardiac problems<sup>1</sup>, biology and practice reduced to study symbolic dynamical systems. To study the complexity of infinite symbolic sequences is one of classical problems of symbolic dynamical systems. Infinite binary words associated by the irrational rotation of the circle such called Sturmian words are very important in information theory.

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<sup>1</sup>Courtemanche M. A circle map in a human heart. North-Holland, Amsterdam, Physica D 40 (1989).

## 1.1. Infinite binary words. Sturmian words.

Let  $A$  be a finite set (called **alphabet**). Denote by  $A^*$  the set of concatenation of the elements of  $A$ , which is called the **language** over  $A$ , any element  $\omega \in A^*$  is called a **finite word** if the length  $|\omega|$  of the word  $\omega$  is finite and denote by  $\varepsilon$  the empty word. Denote by  $A^{\mathbb{N}}$  the set of right infinite words (simply **infinite words**) i.e.

$$A^{\mathbb{N}} = \{\omega = (\omega_1\omega_2\dots\omega_n\dots) : \omega_i \in A, i \geq 1\}.$$

A word  $\omega$  is called a **binary word** over  $A$  if  $A$  has only two elements.

### Definition 1.1.2.<sup>2</sup>

An integer  $p \geq 1$  is a **period** of a word  $\omega = \omega_1\omega_2\dots\omega_n$  where  $\omega_i \in A$  for  $1 \leq i \leq n$  if  $\omega_i = \omega_{i+p}$  for  $i = 1, \dots, n - p$ . The smallest period of  $\omega = \omega_1\omega_2\dots\omega_n$  is called the period of  $\omega$ .

### Definition 1.1.3.

An infinite word  $\omega$  is called **ultimately periodic** if there exist  $N, T \in \mathbb{N}$  such that  $\omega_{n+T} = \omega_n$  for each  $n \geq N$ . Otherwise  $\omega$  is called aperiodic.

<sup>2</sup>Lothaire M. Combinatorics of Words. Encyclopedia of Mathematics and its Applications, 17, London, Addison-Wesley Publishing Company, (1983)

## 1.1. Infinite binary words. Sturmian words.

### Definition 1.1.4.

The **factor complexity** of an infinite word  $\omega$  is the function  $p_\omega(n)$  counting the number of its factors of length  $n$  which satisfies the following properties:

- non-decreasing function, i.e.,  $p_\omega(n+1) \geq p_\omega(n)$
- $p(1) = \#A$
- for a periodic word  $\omega$  there exists  $C \in \mathbb{N}$  such that  $p_\omega(n) \leq C$  for all  $n \in \mathbb{N}$ .

### Definition 1.1.5.

An infinite binary word  $s$  is called **Sturmian** if  $p_s(n) = n + 1$  for every natural  $n$ .

### Definition 1.1.7.

An infinite binary word  $\omega$  over the finite set  $A$  is called **balanced** if for any  $n$ -words  $u$  and  $v$  of  $\omega$  the following inequality holds:  $||u|_a - |v|_a| \leq 1$ .

where, we denote by  $|u|_a$  the number of  $a \in A$  of the word  $u$ .

## 1.1. Infinite binary words. Sturmian words.

Consider the irrational rotation  $f_\rho(x) = \{x + \rho\}$ . Iterating  $f_\rho$ , one gets

$$f_\rho^n(x) = \{x + n\rho\}.$$

Let the intervals  $I_0 = [0, 1 - \rho)$  and  $I_1 = [1 - \rho, 1)$  be a partition of  $[0, 1)$ . Then, for each  $n \geq 0$ , we define binary infinite word  $\omega(x) = \{\omega_1, \omega_2, \dots\}$  by the coding

$$\omega_n(x) := \begin{cases} 1, & \text{if } f_\rho^n(x) = \{x + n\rho\} \in I_1, \\ 0, & \text{if } f_\rho^n(x) = \{x + n\rho\} \in I_0. \end{cases}$$

The infinite word  $\omega(x) = \{\omega_1, \omega_2, \dots\}$  is called **irrational mechanical word**.

### Theorem 1.1.2.<sup>3</sup>

Let  $\omega$  be an infinite binary word. The followings are equivalent:

- (1)  $\omega$  is Sturmian.
- (2)  $\omega$  is irrational mechanical.
- (3)  $\omega$  is balanced and aperiodic.

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<sup>3</sup>Lothaire M. Combinatorics of Words. Encyclopedia of Mathematics and its Applications, 17, London, Addison-Wesley Publishing Company, (1983)

## 1.2. Critical circle homeomorphisms and symbolic dynamics

### Definition 1.2.6.

A point  $x_{cr} \in S^1$  is called **critical point** of order  $(2d + 1)$ ,  $d \geq 1$  for homeomorphism  $f$ , if for some  $\varepsilon$ - neighborhood  $U_\varepsilon(x_{cr}) = (x_{cr} - \varepsilon, x_{cr} + \varepsilon)$ , the function  $f$  belongs to  $C^{2d+1}(U_\varepsilon(x_{cr}))$  and

$$\frac{df}{dx}(x_{cr}) = \frac{d^2f}{dx^2}(x_{cr}) = \dots = \frac{d^{2d}f}{dx^{2d}}(x_{cr}) = 0, \quad \frac{d^{2d+1}f}{dx^{2d+1}}(x_{cr}) \neq 0.$$

### Definition 1.2.7.

The map  $f$  is called **critical map**, if it has unique critical point of the odd order.

We consider the critical circle homeomorphism  $f \in Cr(\bar{\rho})$  with  $\bar{\rho} = \frac{\sqrt{5}-1}{2}$  and one critical point  $x_0 := x_{cr}$ . For  $n \geq 1$ , we write  $p_n/q_n = [1, 1, \dots, 1]$  the  $n$ -th convergent of  $\bar{\rho}$ , their denominators  $q_n$  satisfy the recurrent relation  $q_{n+1} = q_n + q_{n-1}$  with initial conditions  $q_0 = 1$ ,  $q_1 = 1$ . The forward orbit  $O_f^+(x_0) = \{x_i = f^i(x_0), i = 0, 1, 2, \dots\}$  of critical point defines a sequence of natural partitions of the circle<sup>4</sup>.

<sup>4</sup>Cornfeld I.P., Fomin S.V. and Sinai Ya.G., Ergodic Theory, Springer Verlag, Berlin, (1982).

## 1.2. Critical circle homeomorphisms and symbolic dynamics

Indeed, denote by  $I_0^{(n)} := I_0^{(n)}(x_0)$  the closed interval in  $S^1$  with endpoints  $x_0$  and  $x_{q_n} = f^{q_n}(x_0)$ . Notice that  $x_{q_n}$  lies to the left of  $x_0$  if  $n$  is odd, and to right of  $x_0$  if  $n$  is even. If  $I_i^{(n)} = f^i(I_0^{(n)})$ ,  $i \geq 1$ , denote the iterates of the interval  $I_0^{(n)}$  under  $f$ , it is well known, that the set  $\mathcal{P}_n := \mathcal{P}_n(x_0)$  of intervals with mutually disjoint interiors, defined as

$$\mathcal{P}_n = \{I_i^{(n)}, 0 \leq i < q_{n+1}\} \cup \{I_j^{(n+1)}, 0 \leq j < q_n\}$$

determines a partition  $\mathcal{P}_n$  of the circle for any  $n$ . The partition  $\mathcal{P}_n$  is called the  **$n$ -th dynamical partition** of  $S^1$  determined by the point  $x_0$  and the map  $f$ .



## 1.2. Critical circle homeomorphisms and symbolic dynamics

We construct symbolic coding on the sequence of partitions  $\mathcal{P}_n(x_c)$  for critical circle map  $f$ . Let  $x \notin \{f^i(x_c); i \geq 0\}$  be any point in  $S^1$  and uniquely define the infinite word  $(a_1, a_2, \dots, a_n, \dots)$  by

$$a_n = a \text{ for } x \in I_i^n, \quad 0 \leq i < q_{n-1},$$

$$a_n = 1 \text{ for } x \in I_{i+q_{n-1}}^{(n)}, \quad 0 \leq i < q_n,$$

$$a_n = 0 \text{ for } x \in I_i^{(n+1)}, \quad 0 \leq i < q_n.$$

We have constructed one-to-one correspondence

$$\begin{aligned} \phi : S^1 \setminus \{x_i, i \geq 0\} &\leftrightarrow \{(a_1, \dots, a_n, \dots); \ a_i = a, 0, 1; \ a_{i+1} = a \\ &\text{iff } a_i = 0, i \geq 1\} = X_+ \end{aligned}$$

Notice that every interval  $I^{(n)}$  of the dynamical partition  $\mathcal{P}_n$  corresponds to the unique finite word  $(a_0, a_2, \dots, a_n)$  of length  $n + 1$ .

### 1.3. Hitting times of critical circle maps

Consider the linear rotation  $f_\rho(x) = x + \rho \bmod 1$ ,  $x \in [0, 1)$  to the irrational angle  $\rho \in (0, 1)$ . Let  $A \subset S^1$  be a measurable subset with  $\ell(A) > 0$ .

Define the **first return time**  $R_A : A \rightarrow \mathbb{N}$  as

$$R_A(x) = \inf\{i \geq 1 : f_\rho^i(x) \in A\}.$$

Fix a point  $z \in S^1$  and consider the interval  $I_\varepsilon(z) = [z, z + \varepsilon] \subset S^1$ . Consider the first hitting time to the interval  $I_\varepsilon(z)$

$$R_\varepsilon(x) = \inf\{i \geq 1 : f^i(x) \in I_\varepsilon(z)\}.$$

Fix  $\theta \in (0, 1)$ . Let  $q_n$ ,  $n \geq 1$  be the first return times for  $f_\rho$ . For every  $n \geq 1$ , we define the points  $c_n(\theta)$  by:

$$\mu([x_0, c_n(\theta)]) = \theta \cdot \mu([x_0, f_\rho^{q_n}(x_0)]),$$

where  $\mu(A)$  is the invariant probability measure on  $S^1$ .

### 1.3. Hitting times of critical circle maps

Consider the hitting times  $R_{n,\theta}$ ,  $n \geq 1$  to the intervals  $[x_0, c_n(\theta))$  and **rescaled hitting times**

$$E_{n,\theta}^{(1)}(x) := \mu([x_0, c_n(\theta)) R_{n,\theta}(x).$$

There are two natural measures on the circle: invariant probability measure  $\mu$  and Lebesgue measure  $\ell$ . We define the distribution functions of  $E_{n,\theta}^{(1)}(x)$  :

$$F_{n,\theta}(t) = \mu \left( x \in S^1 : E_{n,\theta}^{(1)}(x) \leq t \right), \quad t \in S^1;$$

$$\Phi_{n,\theta}(t) = \ell \left( x \in S^1 : E_{n,\theta}^{(1)}(x) \leq t \right), \quad t \in S^1.$$

## 1.4. Circle maps with breaks

The class of  $P$ -homeomorphisms consists of orientation preserving circle homeomorphisms  $f$  which are differentiable except at a finite or countable number of break points  $x_b$ , at which the one-sided positive derivatives  $Df_-$  and  $Df_+$  exist, which do not coincide and for which there exist constants  $0 < c_1 < c_2 < \infty$ , such that

- $c_1 < Df_-(x_b) < c_2$  and  $c_1 < Df_+(x_b) < c_2$  for all  $x_b \in BP(f)$ , the set of break points of  $f$  in  $S^1$ ;
- $c_1 < Df(x) < c_2$  for all  $x \in S^1 \setminus BP(f)$ ;
- $\log Df$  has finite total variation in  $S^1$ .

The ratio  $\sigma_f(x_b) = \frac{Df_-(x_b)}{Df_+(x_b)}$  is called the **jump ratio** of  $f$  at  $x_b$ .

### Theorem 1.4.2.<sup>5</sup>

Let  $f$  be a  $P$ -homeomorphism with irrational rotation number  $\rho_f$ . Then for any  $x$  with  $f^s(x) \notin BP(f)$ ,  $0 \leq s < q_n$  the following inequality holds:

$$e^{-V} \leq Df^{q_n}(x) \leq e^V.$$

<sup>5</sup>Herman M.: Sur la conjugaison différentiable des difféomorphismes du cercle à des rotations, Inst. Hautes Etudes Sci. Publ. Math. 49 ,1979, p. 5-233. Ergodic Theory Dynamic. Systems 9, 1989.

## 1.4. Circle maps with breaks

### Definition 1.4.1.

Two P-homeomorphisms  $f_1$  and  $f_2$  of the circle are said to be **topologically equivalent**, if there exists a homeomorphism  $\varphi : S^1 \rightarrow S^1$  such that

$$\varphi(f_1(x)) = f_2(\varphi(x)), \quad \text{for any } x \in S^1.$$

The homeomorphism  $\varphi$  is called a **conjugacy**. Now, we formulate the following generalization of the classical **Denjoy's theorem**.

### Theorem 1.4.3.<sup>6</sup>

Suppose that a homeomorphism  $f$  satisfies the conditions of theorem 1.4.2. Then the homeomorphism  $f$  is topologically conjugate to the linear rotation  $f_\rho$ .

Herman considered P-homeomorphism with two break points(see Fig. 1.4.1).

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<sup>6</sup>Herman M.: Sur la conjugaison differentiable des diffeomorphismes du cercle a des rotations, Inst. Hautes Etudes Sci. Publ. Math. 49 ,1979, p. 5-233. Ergodic Theory Dynamic. Systems 9, 1989.

## 1.4. Circle maps with breaks

Let us given two real numbers  $\lambda > 1$  and  $\beta > 0$ , he defined for  $x \in [0, 1]$  the piecewise linear map  $H_{\beta, \lambda} : [0, 1] \rightarrow [0, 1]$  as

$$H_{\beta, \lambda}(x) = \begin{cases} \lambda x, & \text{if } 0 \leq x \leq c, \\ \lambda^{-\beta}(x - 1) + 1, & \text{if } c \leq x \leq 1, \end{cases}$$

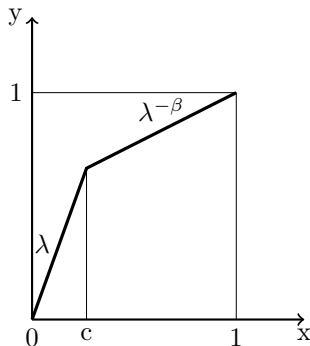


Fig. 1.4.1. Herman's maps  $H_{\beta, \lambda}(x)$ .

## 2.1. Main properties of infinite binary words associated with irrational rotations

We consider an irrational rotation  $f_\rho(x) = x + \rho \bmod 1$  on the interval  $[0, 1)$ . Fix a point  $b \in S^1$ . Consider the partition  $P = \{[0, b), [b, 1)\}$  of the circle. Put  $P_1 := P$ . In fact,

$$P_n := P \vee f_\rho^{-1}(P) \vee \dots \vee f_\rho^{-n+1}(P)\}$$

Next using the sequence of partitions  $P_n$ ,  $n \geq 0$  we construct some special kind of symbolic dynamics. Put  $I_1 := [0, b)$  and  $I_0 := [b, 1)$ . Define, the coding function  $\nu_b : S^1 \rightarrow \{0, 1\}$  : for all  $i \geq 0$

$$\nu_b(f_\rho^i(x)) := \begin{cases} 0, & \text{if } x \in f_\rho^{-i}(I_0), \\ 1, & \text{if } x \in f_\rho^{-i}(I_1). \end{cases}$$

## 2.1. Main properties of infinite binary words associated with irrational rotations

Take any  $x \in S^1$ . The corresponding infinite sequence  $\underline{\omega} := \underline{\omega}(x)$  of zeros and ones we define as

$$\underline{\omega} = (\omega_0\omega_1\dots\omega_n\dots) := (\nu_b(x)\nu_b(f_\rho(x))\dots\nu_b(f_\rho^n(x))\dots) \quad (2.1.3)$$

Recall, that an infinite sequence  $\underline{\omega}$  is called **uniformly recurrent** if any its factor appears infinitely many times in  $\underline{\omega}$  with bounded gaps.

Now, we formulate our main result of the section 2.1.

### Theorem 2.1.1.<sup>7</sup>

Let  $x, y \in S^1$  and  $\underline{\omega}(x)$ ,  $\underline{\omega}(y)$  be their infinite coding sequences defined by (2.1.3). Then followings are hold

1. For all  $n \geq 0$ , we have  $W_n(\underline{\omega}(x)) = W_n(\underline{\omega}(y))$ .
2.  $\underline{\omega}(x)$  is uniformly recurrent.
3.  $\underline{\omega}(x)$  is aperiodic for any  $x \in S^1$ .

<sup>7</sup>Jalilov A.A. The cardinality of factors of infinite binary words for irrational circle rotations// Uzbek mathematical journal, V 4, 2020 p. 52-63.



## 2.2. Complexity functions of infinite binary words

From theorem 1.1.2 follows that,

If  $b \neq \{\rho, 1 - \rho\}$ , then  $p_{\underline{\omega}}(n) \geq n + 1$  for all  $n \geq 1$ . Denote by  $r(n)$  the **number of right special factors** (r.s.f) of length  $n$  and let  $k_0 = \min\{n \geq 1 : r(n) = 2\}$ .

The  $r(n)$  is used to determine the complexity function:

$$p_{\underline{\omega}}(n + 1) = p_{\underline{\omega}}(n) + r(n).$$

First, we consider the case when the point  $b$  is not in the orbit of 0.

### Theorem 2.2.1.<sup>8</sup>

Let  $f_{\rho}$  be the linear rotation to the irrational angle  $\rho$ ,  $\rho \in (0, 1)$  and let  $b + n\rho \notin Z$ . Then the followings are hold

1. If  $0 < \rho < b$ , then  $p_{\underline{\omega}}(n) = 2n$  for all  $n \geq 1$
2. If  $0 < b < \rho$ , then

$$p_{\underline{\omega}}(n) := \begin{cases} n + 1, & \text{if } n < k_0, \\ 2n - k_0 + 1, & \text{if } n \geq k_0. \end{cases}$$

<sup>8</sup>Jalilov A.A. The cardinality of factors of infinite binary words for irrational circle rotations// Uzbek mathematical journal, V 4, 2020 p. 52-63.

## 2.2. Complexity functions of infinite binary words

Next, we consider the case when  $b$  lies in the orbit of 0.

### Theorem 2.2.2.<sup>9</sup>

Let  $f_\rho$  be same as theorem 2.2.1. and  $b + d\rho \in Z$ , for some  $d \in Z \setminus \{0\}$ . Then the followings are hold

1. If  $0 < \rho < b$  then

$$p_{\underline{\omega}}(n) := \begin{cases} 2n, & \text{if } n < |d|, \\ n + d, & \text{if } n \geq |d|. \end{cases}$$

2. If  $0 < b < \rho$ , then

$$p_{\underline{\omega}}(n) := \begin{cases} n + 1, & \text{if } n < k_0, \\ 2n - k_0 + 1, & \text{if } k_0 \leq n < |d| \\ n + d - k_0 - 1, & \text{if } n \geq |d|. \end{cases}$$

<sup>9</sup>Jalilov A.A. The cardinality of factors of infinite binary words for irrational circle rotations// Uzbek mathematical journal, V 4, 2020 p. 52-63.

## 2.3. Asymptotic behavior of hitting times for critical circle maps

Let,  $f \in Cr(\bar{\rho})$  be a critical circle maps with a single critical point of third order and irrational rotation number  $\rho = \rho_f$ . Let  $\mu = \mu_f$  be the unique invariant probability measure of  $f$ . Consider the rescaled hitting time function

$$E_{n,\theta}^{(1)}(x) := E_n^{(1)}(x) = \frac{1}{q_{n+3}} R_{n,\theta}(x)$$

is a random variable taking values in  $[0, 1]$  and distribution functions of it

$$\Phi_{n,\theta}(t) = \ell \left( x \in S^1 : E_n^{(1)}(x) \leq t \right), \quad t \in S^1.$$

We formulate the following theorem.

## 2.3. Asymptotic behavior of hitting times for critical circle maps

### Theorem 2.3.1.<sup>10</sup>

The distribution function of the rescaled hitting time function  $E_n^{(1)}(x)$  has the following form:

- i) if  $t < 1/q_{n+3}$ , then  $\Phi_{n,\theta}(t) = 0$ ,
- ii) if  $m/q_{n+3} \leq t \leq (m+1)/q_{n+3}$ ,  $1 \leq m \leq q_{n+1}$ , then

$$\Phi_{n,\theta}(t) = \sum_{i=q_{n+1}-m}^{q_{n+1}-1} |B_i^{(n)}| + \sum_{j=q_{n+2}-m}^{q_{n+2}-1} |A_j^{(n)}| + \sum_{k=q_{n+3}-m}^{q_{n+3}-1} |C_k^{(n)}|,$$

- iii) if  $m/q_{n+3} \leq t \leq (m+1)/q_{n+3}$ ,  $q_{n+1} \leq m \leq q_{n+2}$ , then

$$\Phi_{n,\theta}(t) = \sum_{i=0}^{q_{n+1}-1} |B_i^{(n)}| + \sum_{j=q_{n+2}-m}^{q_{n+2}-1} |A_j^{(n)}| + \sum_{k=q_{n+3}-m}^{q_{n+3}-1} |C_k^{(n)}|,$$

<sup>10</sup>Ayupov Sh.A., Jalilov A.A. Asymptotic distribution of hitting times for critical maps of the circle// Vestnik Udmurskogo Universiteta, V3, 2021. (3. Scopus, IF=1.0).

## 2.3. Asymptotic behavior of hitting times for critical circle maps

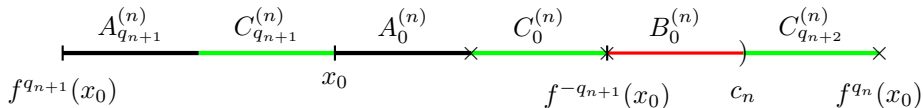
### Theorem 2.3.1.(continue)

iv) if  $m/q_{n+3} \leq t \leq (m+1)/q_{n+3}$ ,  $q_{n+2} \leq m \leq q_{n+3}$ , then

$$\Phi_{n,\theta}(t) = \sum_{i=0}^{q_{n+1}-1} |B_i^{(n)}| + \sum_{j=0}^{q_{n+2}-1} |A_j^{(n)}| + \sum_{k=q_{n+3}-m}^{q_{n+3}-1} |C_k^{(n)}|,$$

v) if  $t \geq 1$ , then  $\Phi_{n,\theta}(t) = 1$ ,

where  $|L_i^{(n)}|$  is Lebesgue measure of  $L_i^{(n)}$ . The intervals  $A_j^{(n)}$ ,  $B_j^{(n)}$  and  $C_j^{(n)}$  are defined as (1.3.5). (see figure below)



## 2.3. Asymptotic behavior of hitting times for critical circle maps

We formulate the main result of this section.

Theorem 2.3.2.<sup>11</sup>

Let  $\bar{\rho} = \frac{\sqrt{5}-1}{2}$  and let  $f \in Cr(\bar{\rho})$  be critical circle map. Consider for  $\theta \in (0, 1)$  the sequence of distribution functions  $\{\Phi_{n,\theta}(t)\}_{n=1}^{\infty}$  with respect to Lebesgue measure on circle corresponding to the first rescaled hitting times  $E_{n,\theta}^{(1)}(x)$  to interval  $[x_c, c_n(\theta)]$ . Then

1) for all  $t \in S^1$  there exists the finite limit

$$\lim_{n \rightarrow \infty} \Phi_{n,\theta}(t) = \Phi_{\theta}(t),$$

where  $\Phi_{\theta}(t) = 0$ , if  $t \leq 0$ , and  $\Phi_{\theta}(t) = 1$ , if  $t > 1$ ;

2) the limit function  $\Phi_{\theta}(t)$  is a strictly increasing on  $[0,1]$  and continuous distribution function on  $S^1$ ;

3)  $\Phi_{\theta}(t)$  is singular on  $[0,1]$  i.e.  $\frac{d\Phi_{\theta}(t)}{dt} = 0$  a.e. with respect to Lebesgue measure  $\ell$  on the circle.

<sup>11</sup>Ayupov Sh.A., Jalilov A.A. Asymptotic distribution of hitting times for critical maps of the circle// Vestnik Udmurskogo Universiteta, V3, 2021. (3. Scopus, IF=1.0).

## Chapter 3. DENJOY EQUALITY AND STURMIAN WORDS FOR HERMAN'S MAPS

Consider, an arbitrary  $P$ -homeomorphism  $f$  with irrational rotation number  $\rho_f$  and two break points  $a_0$  and  $c_0$ , which are not on the same orbit. Obviously, the map  $f^{q_n}$  has  $2q_n$  break points denoted by

$$BP_f^n := BP_f^n(a_0) \cup BP_f^n(c_0)$$

with

$$BP_f^n(a_0) := \{a_0^*, a_{-1}^*, \dots, a_{-q_n+1}^*\},$$

respectively

$$BP_f^n(c_0) := \{c_0^*, c_{-1}^*, \dots, c_{-q_n+1}^*\}.$$

It is clear, that these break points of the map  $f^{q_n}$  define a partition  $B_n(f)$  of the circle  $S^1$  into  $2q_n$  intervals with pairwise non-intersecting interior.

## Chapter 3. DENJOY EQUALITY AND STURMIAN WORDS FOR HERMAN'S MAPS

Let  $\mathcal{P}_n(a_0^*)$  be the  $n$ -th dynamical partition determined by the break point  $a_0^* = a_0$  with respect to the map  $f$ . Assume that breaks points  $c_0^*$  and  $a_0^*$  are in different orbits, then the following three cases hold for the second break point  $c_0^*$ :

**Case I.**  $c_0^* \in I_{i_0}^{(n)}(a_0)$ , for some  $0 \leq i_0 < q_{n-1}$ ;

**Case II.**  $c_0^* \in f^{i_0}((a_0, a_{-q_n}])$ , for some  $0 \leq i_0 < q_n$ ;

**Case III.**  $c_0^* \in f^{i_0}((a_{-q_n}, a_{q_{n-1}}))$ , for some  $0 \leq i_0 < q_n$ ;

Also it is possible the case when both breaks points  $c_0^*$  and  $a_0^*$  are in the same orbit i.e.

**Case IV.**  $c_0^* = f^{i_0}(a_0^*)$ , for some  $0 \leq i_0 < q_n$ .



## 3.1. CASE I and CASE III

In section 3.1. we consider the cases I and III.

The following lemma shows the location of break points of  $f^{q_n}$  for the Case I.

### Lemma 3.1.1.

Assume  $c_0^* \in I_{i_0}^{(n)}(a_0^*)$  for some  $i_0$  with  $0 \leq i_0 < q_{n-1}$ . Then the break points  $a_{-i}^*, c_{-i}^*$ ,  $0 \leq i \leq q_n - 1$  of  $f^{q_n}$  belong to the following elements of the dynamical partition  $\mathcal{P}_n(a_0^*)$  (see also Fig 3.1.1):

- $a_0^* \in I_0^{(n)}(a_0^*)$ ;
- $c_{-i_0+s}^* = f^s(c_{-i_0}^*) \in I_s^{(n)}(a_0^*), 0 \leq s \leq i_0$ ;
- $a_{-q_n+s}^* = f^s(a_{-q_n}) \in f^s(a_0^*, a_{-q_n}] \subset I_s^{(n-1)}(a_0^*), 1 \leq s \leq i_0$ ;
- $a_{-q_n+s}^*, c_{-q_n-i_0+s}^* = f^s(c_{-q_n-i_0}^*) \in f^s((a_0^*, a_{-q_n}]) \subset I_s^{(n-1)}(a_0^*), i_0 + 1 \leq s \leq q_n - 1$

### 3.1. CASE I

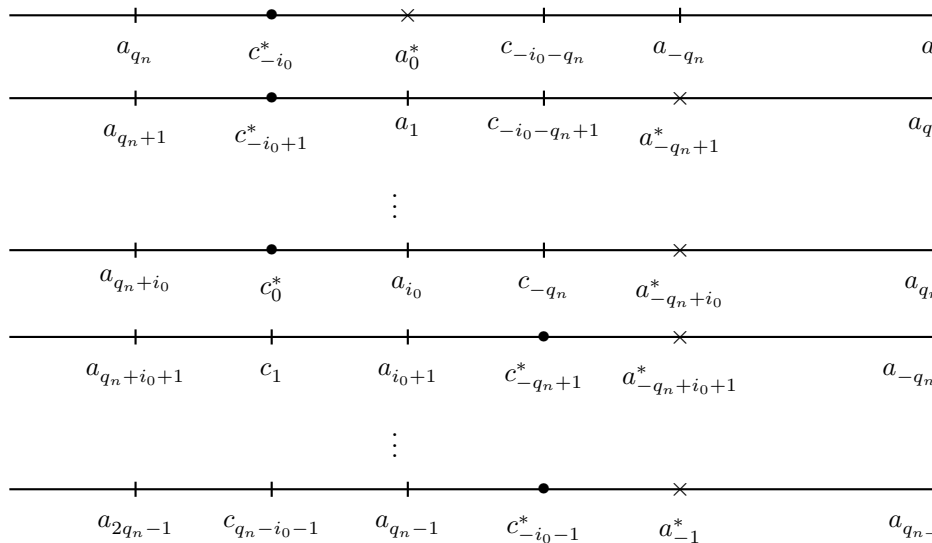


Fig. 3.1.1.  $c_0^* \in I_{i_0}^{(n)}(a_0^*)$ .

### 3.1. Case III

In the next lemma, we can see the locations of all break points of  $f^{q_n}$  for the case III.

Lemma 3.1.2.

If  $c_0^* \in f^{i_0}((a_{-q_n}, a_{q_{n-1}}])$  for some  $i_0$  with  $0 \leq i_0 < q_n$ , the break points of  $f^{q_n}$  are located in the following elements of the dynamical partition  $\mathcal{P}_n(a_{-q_n+1}^*)$  of the break point  $a_{-q_n+1}^*$  (see also Fig 3.1.2):

- $a_{-q_n+1+s}^* = f^s(a_{-q_n+1}^*), c_{-i_0+1+s}^* = f^s(c_{-i_0+1}^*) \in I_s^{(n-1)}(a_{-q_n+1}^*), 0 \leq s \leq i_0 - 1;$
- $a_{-q_n+i_0+1+s}^* = f^s(a_{-q_n+i_0+1}^*), c_{-q_n+1+s}^* = f^s(c_{-q_n+1}^*) \in I_{i_0+s}^{(n-1)}(a_{-q_n+1}^*), 0 \leq s \leq q_n - i_0 - 1.$

### 3.1. Case III

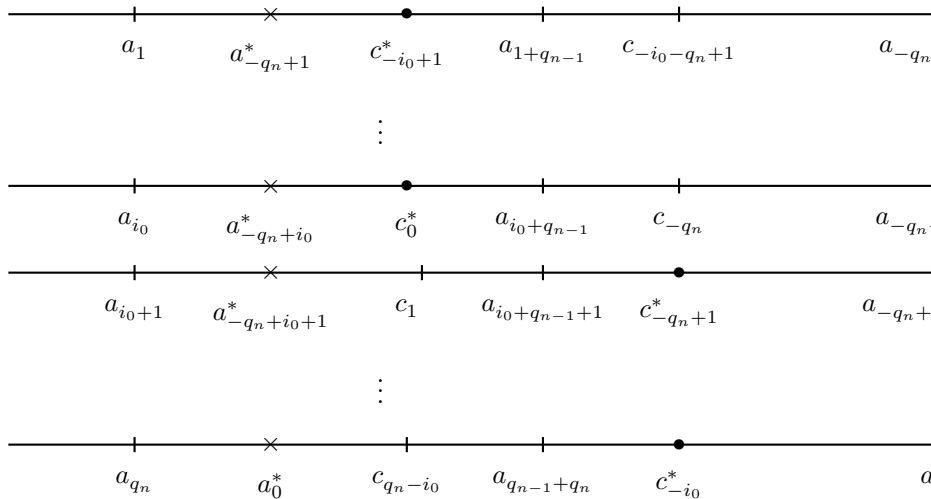


Fig. 3.1.2.  $c_0^* \in f^{i_0}((a_{-q_n}, a_{q_{n-1}}])$

### 3.1. Case I and Case III

From theorem 1.4.3 we know that, any Herman's map  $h$  topologically conjugated by linear rotation  $f_\rho$ . We apply these Lemmas to a Herman's maps  $h$  with irrational rotation number  $\rho_h$ .

Let  $n$  be odd. Using the break points of  $h^{q_n}$ , we define the following subintervals:

$$A_n := \bigcup_{s=1}^{i_0} [c_{-i_0+s}^*, a_{-q_n+s}^*], \quad B_n := \bigcup_{s=i_0+1}^{q_n} [c_{-i_0-q_n+s}^*, a_{-q_n+s}^*].$$

In Case III the subintervals are given by

$$[a_{-q_n+s}^*, c_{-i_0+s}^*], \quad 1 \leq s \leq i_0,$$

respectively

$$[a_{-q_n+s}^*, c_{-i_0-q_n+s}^*], \quad i_0 + 1 \leq s \leq q_n,$$

which we combine to the subsets

$$A_n := \bigcup_{s=1}^{i_0} [a_{-q_n+s}^*, c_{-i_0+s}^*], \quad B_n := \bigcup_{s=i_0+1}^{q_n} [a_{-q_n+s}^*, c_{-i_0-q_n+s}^*].$$

### 3.1. Case I and Case III

For  $n$  even, the orientation of the above intervals has to be reversed. Therefore in Case I we have the following system of disjoint intervals

$$[a_{-q_n+s}^*, c_{-i_0+s}^*], \quad 1 \leq s \leq i_0,$$

respectively,

$$[a_{-q_n+s}^*, c_{-i_0-q_n+s}^*], \quad i_0 + 1 \leq s \leq q_n.$$

In Case III one finds

$$[c_{-i_0+s}^*, a_{-q_n+s}^*], \quad 1 \leq s \leq i_0,$$

respectively

$$[c_{-i_0-q_n+s}^*, a_{-q_n+s}^*], \quad i_0 + 1 \leq s \leq q_n.$$

In Case I and  $n$  even, respectively in Case II and  $n$  odd, the subsets  $A_n$  and  $B_n$  can be defined as before. The above constructions show, that the boundaries of every interval in the subsets  $A_n$  and  $B_n$  is an interval whose boundaries consist of break points from  $PB_n(a_0^*)$  respectively,  $PB_n(c_0^*)$ .

### 3.1. Case I and Case III

We put  $\sigma = \sigma_h(a_0)$  and formulate the main result of the section 3.1.

#### Theorem 3.1.1.<sup>12</sup>

Let  $h$  be a PL circle homeomorphism with irrational rotation number  $\rho_h$  and two break points  $a_0^* = 0$  and  $c_0^* := c_0$ , whose total jump ratio  $\sigma_h = 1$ , and which lie on different orbits. Assume  $c_0^*$  fulfills the assumptions of Case I respectively Case II for some  $i_0$  with  $0 \leq i_0 < q_{n-1}$ . Then in Case I

$$(Dh^{q_n}(x))^{(-1)^n} = \begin{cases} \sigma^{\mu_h(A_n \cup B_n)-1}, & \text{if } x \in A_n \cup B_n \\ \sigma^{\mu_h(A_n \cup B_n)}, & \text{if } x \in S^1 \setminus (A_n \cup B_n); \end{cases}$$

respectively in Case III,

$$(Dh^{q_n}(x))^{(-1)^{n+1}} = \begin{cases} \sigma^{\mu_h(A_n \cup B_n)-1}, & \text{if } x \in A_n \cup B_n \\ \sigma^{\mu_h(A_n \cup B_n)}, & \text{if } x \in S^1 \setminus (A_n \cup B_n). \end{cases}$$

<sup>12</sup>A. Dzhililov, A. Jalilov, D.Mayer, A remark on Denjoy's inequality for Pl circle homeomorphisms with two break points, J. Math. Anal. Appl. (2017) <http://dx.doi.org/10.1016/j.jmaa.2017.09.003>

## 3.2. CASE II and CASE IV

In section 3.2 we consider cases II and IV.

**Case II.** Let  $c_0^* \notin O(a_0^*)$  and  $c_0^* \in f^{j_0}((a_0, a_{-q_n}])$ , for some  $0 \leq j_0 < q_n$ ;

**Case IV.**  $a_{i_0}^* := f^{i_0}(a_0) = c_0^*$ ,  $0 < i_0 < q_n$ .

The following lemma shows the locations of break points of  $f^{q_n}$  for the case II.

### Lemma 3.2.1.

Assume  $c_0^* \in f^{i_0}((a_0^*, a_{-q_n}])$  for some  $0 \leq i_0 < q_n$ . Then the break points of  $f^{q_n}$  belong to the following elements of the dynamical partition  $\mathcal{P}_n(c_{-i_0}^*)$  of the break point  $c_{-i_0}^*$ :

- $c_{-i_0}^*, a_0^* \in I_0^{(n)}(c_{-i_0}^*)$ ;
- $c_{-i_0+s}^* = f^s(c_{-i_0}^*), a_{-q_n+s}^* = f^s(a_{-q_n}) \in f^s([c_{-i_0}^*, a_{-q_n}]) \subset I_s^{(n-1)}(c_{-i_0}^*),$   
 $1 \leq s \leq i_0$ ;
- $c_{-q_n-i_0+s}^* = f^s(c_{-q_n-i_0}^*), a_{-q_n+s}^* = f^s(a_{-q_n}) \in f^s([c_{-i_0}^*, c_{-q_n}]) \subset$   
 $I_s^{(n-1)}(c_{-i_0}^*), i_0 + 1 \leq s \leq q_n - 1.$



## 3.2. CASE II

Then the following theorem holds for the Case II.

### Theorem 3.2.1<sup>13</sup>

Let  $h$  be a Herman's map with two break points  $a_0^* = a_0$  and  $c_0^* = c_0$  with  $\sigma_h = 1$ , which lie on different orbits. Assume  $c_0^*$  fulfills the assumption of Lemma 3.2.1. for some  $i_0$  with  $0 \leq i_0 < q_n$ . Then for all  $n \geq 1$

$$(Dh^{q_n}(x))^{(-1)^n} = \begin{cases} \sigma^{\mu_h(A_n) - \mu_h(B_n) - 1}, & \text{if } x \in A_n, \\ \sigma^{\mu_h(A_n) - \mu_h(B_n) + 1}, & \text{if } x \in B_n, \\ \sigma^{\mu_h(A_n) - \mu_h(B_n)}, & \text{if } x \notin A_n \cup B_n. \end{cases}$$

<sup>13</sup>A. Dzhalilov, A. Jalilov, D.Mayer, A remark on Denjoy's inequality for Pl circle homeomorphisms with two break points, J. Math. Anal. Appl. (2017) <http://dx.doi.org/10.1016/j.jmaa.2017.09.003>

## 3.2. Case IV

Finally, we consider a  $P$ -homeomorphism  $f$  with irrational rotation number  $\rho_f$  and two break points  $a_0^* := a_0$ ,  $c_0^* := f^{i_0}(a_0)$ ,  $i_0 > 0$ , on the same orbit.

### Lemma 3.2.2.

Assume  $f$  is a  $P$ -homeomorphism with irrational rotation number  $\rho_f$  and two break points  $a_0^* := a_0$ ,  $a_{i_0}^* := f^{i_0}(a_0)$ ,  $i_0 > 0$ , on the same orbit. Choose  $n > n_{i_0}$ .

- 1) If  $\sigma_f = 1$ , then one finds for the break points  $a_{-q_n+s+1}^*$ ,  $a_{s+1}^*$  of  $f^{q_n}$  that  $a_{-q_n+s+1}^*$ ,  $a_{s+1}^* \in f^s([a_1^*, a_{-q_n+1}^*]) \subset I_{s+1}^{(n-1)}(a_0^*) \in \mathcal{P}_n(a_0^*)$ ,  $0 \leq s \leq i_0 - 1$ ;
- 2) if  $\sigma_f \neq 1$ , we have

- $a_0^* \subset I_0^{(n-1)}(a_0^*)$ ;
- $a_{-q_n+1+s}^*$ ,  $a_{1+s}^* \in f^s([a_{i_0+1}^*, a_{-q_n+i_0+1}^*]) \subset I_{i_0+1+s}^{(n-1)}(a_0^*)$ ,  $0 \leq s \leq q_n - i_0 - 2$ ;
- $a_{s+1}^* \in f^s([a_1^*, a_{-q_n+1}^*]) \subset I_{1+s}^{(n-1)}(a_0^*)$ ,  $i_0 \leq s \leq q_n - i_0 - 1$ .

## 3.2. Case IV

Denote by  $U_n(a_s^*)$ ,  $1 \leq s \leq i_0$ , the closed intervals with endpoints  $a_s^*$  and  $a_{-q_n+s}^*$ . Obviously these subintervals are disjoint. Lemma 3.2.2 implies, that  $U_n(a_s^*) \subset I_s^{(n-1)}(a_0^*)$ ,  $1 \leq s \leq i_0$ . Next we define for every  $n \geq 1$

$$U_n = \bigcup_{s=1}^{i_0} U_n(a_s^*).$$

Then one has.

**Theorem 3.2.2.**<sup>14</sup>

Let  $h$  be a Herman's map with two break points  $a_0^* = 0$  and  $a_{i_0}^* = h^{i_0}(a_0)$ ,  $i_0 > 0$ , with  $\sigma_h = 1$ , which lie on the same orbit. Put  $n_{i_0} := \min\{n : q_n \geq i_0\}$ . For  $n > n_{i_0}$  one finds

$$(Dh^{q_n}(x))^{(-1)^{n+1}} = \begin{cases} \sigma^{\mu_h(U_n)}, & \text{if } x \in U_n \\ \sigma^{\mu_h(U_n)-1}, & \text{if } x \in S^1 \setminus U_n, \end{cases}$$

<sup>14</sup>A. Dzhalilov, A. Jalilov, D.Mayer, A remark on Denjoy's inequality for Pl circle homeomorphisms with two break points, J. Math. Anal. Appl. (2017) <http://dx.doi.org/10.1016/j.jmaa.2017.09.003>

### 3.3. Herman maps and sturmian sequences

We consider Herman's maps  $h(x) := h_{\beta,\lambda,\theta}(x) = H_{\beta,\lambda}(x) + \theta \pmod{1}$ ,  $x \in S^1$ . for some  $\lambda > 1$ ,  $\beta > 0$  and the parameter  $0 \leq \theta \leq 1$ .

Let us denote

$$\rho_\beta := \frac{\beta}{\beta+1} \text{ and } D_n := \frac{1}{\log \sigma} \log Dh^n(x)$$

for all  $n \geq 1$  and  $D_0 = x$ ,  $x \in S^1$ .

Next, we define the following intervals:

$$I_0(\beta, \lambda, \theta) := [0, \rho_{\beta,\lambda,\theta}), \quad I_1(\beta, \lambda, \theta) := [\rho_{\beta,\lambda,\theta}, 1)$$

corresponding to the map  $h$  and

$$J_0(\beta) := [0, \rho_\beta), \quad J_1(\beta) := [\rho_\beta, 1)$$

corresponding to the linear rotation  $f_{\rho_\beta}(x) = x + \rho_\beta \pmod{1}$ , for some irrational  $\rho_\beta$ .

We introduce the following two coding functions  $\nu_1$  and  $\nu_2$ :

$$\nu_1(x) := \begin{cases} 0, & \text{if } x \in I_0, \\ 1, & \text{if } x \in I_1 \end{cases} \quad \text{and} \quad \nu_2(x) := \begin{cases} 0, & \text{if } x \in J_0, \\ 1, & \text{if } x \in J_1. \end{cases}$$

### 3.3. Herman maps and sturmian sequences

Fix arbitrary  $x \in S^1$ . Now, using these coding functions we define two infinite binary sequences corresponding to the orbit  $\{h^n(x), n \geq 0\}$  and sequence  $\{D_n, n \geq 0\}$ , correspondingly:

$$\omega_1(x) := (\nu_1(x), \nu_1(h(x)), \dots, \nu_1(h^n(x)), \dots) \quad (3.3.1)$$

and

$$\omega_2(x) := (\nu_2(x), \nu_2(D_1), \dots, \nu_2(D_n), \dots) \quad (3.3.2)$$

Now we formulate the main result<sup>15</sup> of this section.

#### Theorem 3.3.2.

Let  $h$  be an Herman's map with two break points  $a_0$  and  $c_0$  with  $\sigma_h = 1$ , which lie on different orbits. Suppose the rotation number  $\rho_{\beta, \lambda, \theta}$  and  $\beta$  are irrational numbers. Then the sequences  $\omega_1(x)$ ,  $\omega_2(x)$  in (3.3.1) and (3.3.2) are Sturmian sequences.

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<sup>15</sup>Jalilov A.A. Herman's Maps and Sturmian Sequences, Bulletin of Institute of mathematics, V2, 2020.

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