

Let us consider the case of slowly varying (adiabatic) Hamiltonian  $\hat{H}(t)$ . We will assume that the energy levels  $E_n(t)$  are distinct and vary continuously with  $t$ , corresponding eigenvectors will be denoted by  $\phi_n(t)$ . We assume that these eigenvectors constitute an orthonormal system. Then it is easy to prove that in the first approximation the evolution operator  $\hat{U}(t)$  transforms eigenvector into eigenvector:

$$\hat{U}(t)\phi_n(0) = e^{-i\alpha_n(t)}\phi_n(t), \frac{d\alpha_n(t)}{dt} = E_n(t)$$

To verify this fact we check that the RHS satisfies the equation of motion if we neglect  $\dot{\phi}_n(t)$ .

More accurate consideration

Consider a smooth family of Hamiltonians  $\hat{H}(g)$  with eigenvectors  $\phi_n(g)$  and eigenvalues  $E_n(g)$  smoothly depending on  $g$ . The family

$$\hat{H}(t) = \hat{H}(g(t))$$

is an adiabatic family if  $\dot{g}(t)$  can be neglected.

(For example, we can fix a function  $g(t)$  and consider a family of functions  $g_a(t) = g(at)$  with  $a \rightarrow 0$ .) Then

$$\hat{U}(t)\phi_n(g(0)) = e^{-i\alpha_n(t)}\phi_n(g(t)), \frac{d\alpha_n(t)}{dt} = E_n(g(t))$$

because  $\dot{\phi}_n(g(t))$  can be neglected.

$U(t)$ -evolution operator of density matrix  $K$ .

$$\frac{dK}{dt} = H(t)K(t) - \frac{1}{i\hbar}[\hat{H}(t), K]$$

Introduce operators  $\psi_{mn}(t)$  by the formula  $\psi_{mn}(t)x = \langle x, \phi_n(t) \rangle \phi_m(t)$ . These operators are eigenvectors of the operator  $H(t)$ . In adiabatic approximation  $U(t)$  transforms eigenvector into eigenvector:

$$U(t)\psi_{mn}(0) = e^{-i\beta_{mn}(t)}\psi_{mn}(t), \quad \frac{d\beta_{mn}(t)}{dt} = E_m(t) - E_n(t)$$

(this equation is true up to terms that can be neglected for slowly varying Hamiltonian). Notice that  $\beta_{mm}$  does not depend on  $t$ .

It follows that  $K(t) = U(t)K$  where  $K = \sum k_{mn}\psi_{mn}$  is given by the formula  $K(t) = \sum k_{mn}(t)\psi_{mn}(t)$  where

$$k_{mn}(t) = e^{-i\beta_{mn}(t)}k_{mn},$$

$$\beta_{mn}(t) = \int_0^t (E_m(\tau) - E_n(\tau))d\tau$$

If  $\hat{H}(T) = \hat{H}(0)$  we can assume that  $\phi_n(T) = \phi_n(0)$ . Then we see that diagonal entries of  $K$  do not change, but non-diagonal entries are multiplied by a phase factor.

If  $\hat{H}(t)$  is an unknown adiabatic deformation of Hamiltonian  $\hat{H}$  the non-diagonal entries of  $K(T)$  are unpredictable.

If we consider linear combination (superposition)  $\alpha_0\phi_0 + \alpha_1\phi_1$  of eigenvectors of  $\hat{H} = \hat{H}(0)$  then evolving it with respect to the Hamiltonian  $\hat{H}$  we get phase factors :  $\alpha_k(t) = e^{-iE_k t}\alpha_k$ . If we evolve this linear combination with respect to unknown adiabatic deformation  $\hat{H}(t)$  the absolute value  $|\alpha_k(t)|$  remains constant ,but the phase factors are unpredictable.

Decoherence.

We model interaction with environment by random adiabatic perturbation of Hamiltonian  $\hat{H}$ . We assume that random time-dependent Hamiltonian depends on some parameters  $\lambda \in \Lambda$  with some probability distribution on  $\Lambda$ . If we start with density matrix (with matrix entries  $k_{mn}$  in  $\hat{H}$ -representation) then the density matrix  $K_\lambda(T)$  is equal to  $\sum C_{mn}(\lambda, T) k_{mn} \psi_{mn}$ , i.e. the matrix entries acquire phase factor  $C_{mn}(\lambda, T)$ . Now we should take the mixture  $\bar{K}(T)$  of states  $K_\lambda(T)$  (this means that we should take the average of phase factors). It is obvious that non-diagonal entries of  $\bar{K}(T)$  are smaller by absolute value than corresponding entries of  $K$ .

Imposing some mild conditions on the probability distribution on  $\Lambda$  one can prove that the non-diagonal entries of  $\bar{K}(T)$  tend to zero when the adiabatic parameter  $\alpha$  tends to zero. In other words the matrix  $\bar{K}(T)$  tends to a diagonal matrix  $\bar{K}$  having the same diagonal entries as  $K$ . The matrix  $\bar{K}$  can be considered as a mixture of pure states, corresponding to the vectors  $\phi_n$  with probabilities  $k_{nn}$ .

Let us include the Hamiltonian  $\hat{H}$  in a family of Hamiltonians  $\hat{H}(g)$ , where  $g \in \Lambda$ . We assume that  $\hat{H}(0) = \hat{H}$ . Let us consider a time dependent Hamiltonian  $\hat{H}(g(t))$  where  $g(0) = 0, g(1) = 0$ . We can construct an adiabatic Hamiltonian by the formula

$$\hat{H}_\alpha(t) = \hat{H}(g(\alpha t)) \text{ where } \alpha \rightarrow 0.$$

It is clear that  $\hat{H}_\alpha(0) = \hat{H}_\alpha(T) = \hat{H}$  where  $T = \alpha^{-1}$ .



Denote by  $E_n(g)$  the eigenvalues of  $\hat{H}(g)$ . Then the eigenvalues of  $\hat{H}_\alpha(t)$  are equal to  $E_n(g(\alpha t))$ . The evolution of matrix entries of the density matrix with respect to the Hamiltonian  $\hat{H}_\alpha(t)$  is governed by phase factors  $e^{-i\beta_{mn}(t)}$  where for  $t = T$

$$\beta_{mn} = \int_0^T d\tau (E_m(g(\alpha\tau)) - E_n(g(\alpha\tau))) = \frac{1}{\alpha} \int_0^1 d\tau (E_m(g(\tau)) - E_n(g(\tau)))$$

Introducing probability distribution on the set  $\Lambda$  we obtain random adiabatic Hamiltonian.

The average phase factor vanishes for  $m \neq n$ .

## *Geometric approach to quantum theory*

We start with a bounded closed convex set  $\mathcal{C}_0 \subset \mathcal{L}$  (set of states ) and a subgroup  $\mathcal{V}$  of the automorphism group of  $\mathcal{C}_0$

Here  $\mathcal{L}$  is a Banach space and automorphisms of  $\mathcal{C}_0$  are invertible linear operators in  $\mathcal{L}$  mapping  $\mathcal{C}_0$  onto itself.

The evolution operator  $\sigma(t) \in \mathcal{V}$  transforms the state in the moment 0 into the state in the moment  $t$

## Equation of motion

$$\frac{d\sigma}{dt} = H(t)\sigma(t)$$

This formula can be considered as a definition of  $H(t)$  ("Hamiltonian"), but usually we want to find the evolution operator knowing the "Hamiltonian". If  $H$  does not depend on time then  $\sigma(t) = \exp(Ht)$ .

Observable - a pair  $(A, a)$  where  $A \in \text{Lie}(\mathcal{V})$  and  $a$  is an  $A$ -invariant linear functional on  $\mathcal{L}$

$A \in \text{Lie}(\mathcal{V})$  if  $A$  generates a one-parameter subgroup of  $\mathcal{V}$  denoted by  $\sigma_A(t) = \exp(At)$

In textbook QM  $\mathcal{C}_0$  consists of density matrices,  $\mathcal{V}$  is unitary group.

Observables are pairs  $(A, a)$  where  $A$  is self-adjoint operator,  $a(K) = \text{tr}(AK)$ .

In algebraic approach  $\mathcal{C}_0$  consists of positive linear functionals  $\omega$  on unital associative algebra with involution denoted by  $\mathcal{A}$  (positive means that  $\omega(A^*A) \geq 0$ ).

Observable is a pair  $(A, a)$  where  $A$  is a self-adjoint elements of  $\mathcal{A}$  and  $a(\omega) = \omega(A)$ .

## *Decoherence in geometric approach*

Let us fix a time independent "Hamiltonian"  $H$ .

Evolution operator  $\sigma(t) = e^{tH}$

We assume that  $H$  is diagonalizable (there exists a basis of  $\mathcal{L}$  consisting of eigenvectors of  $H$ ). Let us denote by  $(\psi_j)$  such a basis:

$$H\psi_j = \epsilon_j\psi_j$$

The eigenvalues  $\epsilon_j$  are purely imaginary.

Let us assume that  $H(g)$  is a family of "Hamiltonians" such that  $H(0) = H$  and there exists a basis  $(\psi_j(g))$  depending smoothly on  $g$  in such a way that  $\psi_j(0) = \psi_j$  and

$$H\psi_j(g) = \epsilon_j(g)\psi_j(g)$$

*We say  $\psi_j$  is a robust zero mode of  $H$  if  $\epsilon_j(g) \equiv 0$ .*

Let us model the interaction with environment by random "Hamiltonian"  $H(g(t))$ . Then neglecting  $\dot{g}(t)$  ( in the adiabatic approximation) we obtain

$$\sigma(t)\psi_j = e^{\rho_j(t)}\psi_j(g(t)),$$

where  $\frac{d\rho_j}{dt} = \epsilon_j(g(t))$ .

If  $\psi_j$  is a robust zero mode of  $H$  then  $\sigma(t)\psi_j = \psi_j(g(t))$ . If  $g(T) = g(0)$  we have  $\sigma(T)\psi_j = \psi_j$ .

All other modes acquire phase factors. If we have an unknown adiabatic perturbation it is impossible to predict these phase factors.

Imposing some conditions on the random Hamiltonian  $H(t)$  we can prove that in average the random phase factors  $e^{\rho_j(t)}$  vanish unless  $\phi_j$  is a robust zero mode of  $H$ .

In textbook quantum mechanics robust zero modes of  $H$  are diagonal entries of density matrix in  $\hat{H}$ -representation.

Decoherence



Let us denote by  $P'$  a linear operator leaving intact robust zero modes of  $H$  and sending to zero all other eigenvectors of  $H$ . If  $x \in \mathcal{C}_0$  one can prove that  $P'x \in \mathcal{C}_0$ . One can represent  $P'x$  as a mixture of extreme points  $u_i$  of  $P'(\mathcal{C}_0)$  (of pure robust zero modes):  $P'x = \sum p_i u_i$ . The coefficients  $p_i$  should be interpreted as probabilities.

If all zero modes of  $H$  are robust  $P' = P$  where

$$P = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T \sigma(t) dt$$

Take observable  $(A, a)$  where  $A \in \mathcal{V}$ ,  $a$  is a functional obeying  $a(Ax) = 0$ .

$x$  is a robust zero mode of  $A$  if  $Ax = 0$  and for every  $A'$  that is close to  $A$  there exists  $x'$  that is close to  $x$  and obeys  $A'x' = 0$ .

Assume that all zero modes of  $A$  are robust.

Consider the set  $\mathcal{C}_A$  of all states that are zero modes of  $A$ :

$\mathcal{C}_A = (Ker A) \cap \mathcal{C} = Im(P_A)$  where

$$P_A = \lim_{T \rightarrow \infty} \frac{1}{T} \int_0^T dt e^{At}.$$

Represent  $P_A(x)$  as a mixture of pure zero modes of  $A$  ( of extreme points of  $\mathcal{C}_A$ ):

$$P_A(x) = \sum p_i u_i.$$

In non-degenerate case  $p_i$  is the probability of  $a(u_i)$  in the state  $x$ .

## *L-functionals*

Weyl algebra with generators  $\hat{u}^i$  obeying

$$\hat{u}^k \hat{u}^l - \hat{u}^l \hat{u}^k = i\hbar \sigma^{k,l}$$

$K$ -density matrix in representation of Weyl algebra

$$L_K(\alpha) = \text{tr} e^{i\alpha_k \hat{u}^k} K = \text{tr} V_\alpha K$$

$V_\alpha = e^{i\alpha_k \hat{u}^k} = e^{i\alpha u}$  where  $\alpha_k$  are real is a unitary operator

$$V_\alpha V_\beta = e^{-i\frac{\hbar}{2}\alpha\sigma\beta} V_{\alpha+\beta} \text{ where } \alpha\sigma\beta = \alpha_k \sigma^{k,l} \beta_k.$$

$\mathcal{L}$  -space of all linear functionals on Weyl algebra

$L_K \in \mathcal{L}$  specifies a positive functional (state). It is normalized:  $L_K(0) = 1$ .

Every element  $A$  of an algebra with involution specifies two operators on linear functionals: one (denoted by the same symbol) transforms the functional  $\omega(x)$  into the functional  $(A\omega)(x) = \omega(Ax)$ , another (denoted by  $\tilde{A}$ ) transforms it into the functional  $(\tilde{A}\omega)(x) = \omega(xA^*)$ .

Denote by  $\mathcal{C}$  the cone of positive (not necessary normalized) linear functionals.

The operator  $\tilde{A}A$  transforms  $\mathcal{C}$  into  $\mathcal{C}$ .

If  $A = e^{tH}$  then  $\tilde{A} = e^{t\tilde{H}}$ . Hence the evolution operator defined as solution of equation

$$d\sigma/dt = (H + \tilde{H})\sigma$$

also acts in  $\mathcal{C}$ .

It is easy to calculate that

$$(V_\beta L)(\alpha) = e^{-i\frac{\hbar}{2}\alpha\sigma\beta} L(\alpha + \beta),$$

$$(\tilde{V}_\beta L)(\alpha) = e^{i\frac{\hbar}{2}\alpha\sigma\beta} L(\alpha - \beta).$$

An element of Weyl algebra  $\hat{H} = \int d\beta h(\beta) V_\beta$  is self-adjoint if  $h(-\beta) = h(\beta)^*$ . Taking  $H = -\frac{i}{\hbar} \hat{H}$  we obtain the equation of motion

$$i\hbar \frac{d\sigma}{dt} = (\hat{H} - \tilde{\hat{H}})\sigma, \text{ hence}$$

$$\begin{aligned}
i\hbar \frac{dL}{dt} &= \\
&\int d\beta h(\beta) e^{-i\frac{\hbar}{2}\alpha\sigma\beta} L(\alpha+\beta) - \int d\beta h(-\beta) e^{-i\frac{\hbar}{2}\alpha\sigma\beta} L(\alpha-\beta) \\
&= \int d\beta h(\beta) (e^{-i\frac{\hbar}{2}\alpha\sigma\beta} - e^{i\frac{\hbar}{2}\alpha\sigma\beta}) L(\alpha + \beta)
\end{aligned}$$

Finally,

$$\frac{dL}{dt} = \int d\beta h(\beta) \frac{2 \sin(\frac{\hbar}{2}\alpha\sigma\beta)}{\hbar} L(\alpha + \beta)$$

*L-functionals. Another definition.*

Take representation of Weyl algebra (of CCR) in Hilbert space  $\mathcal{H}$ . (We understand CCR as relations  $[a_k, a_l^+] = \delta_{kl}$ ,  $[a_k, a_l] = [a_k^+, a_l^+] = 0$ , where  $k, l$  run over a discrete set  $M$ .)

To a density matrix  $K$  (or more generally to any trace class operator in  $\mathcal{H}$ ) we can assign a functional  $L_K(\alpha^*, \alpha)$  defined by the formula

$$L_K(\alpha^*, \alpha) = \text{tr} e^{-\alpha a^+} e^{\alpha^* a} K = e^{\frac{1}{2} \alpha^* \alpha} \text{tr} e^{-\alpha a^+ + \alpha^* a} K$$

Here  $\alpha a^+$  stands for  $\sum \alpha_k a_k^+$  and  $\alpha^* a$  for  $\sum \alpha_k^* a_k$ , where  $k$

One can say that  $L_K$  is a generating functional of correlation functions.

One can consider also a more general case when CCR are written in the form

$$[a(k), a^+(k')] = \hbar \delta(k, k'), [a(k), a(k')] = [a^+(k), a^+(k')] = 0$$

$k, k'$  run over a measure space  $M$ . We are using the exponential form of CCR; in this form a representation of CCR is specified as a collection of unitary operators  $e^{-\alpha a^+ + \alpha^* a}$  obeying appropriate commutation relations. Here  $\alpha(k)$  is a complex function on the measure space  $M$ , the expressions of the form  $\alpha^* a, \alpha a^+$  can be written as integrals  $\int \alpha^*(k) a(k) dk, \int \alpha(k) a^+(k) dk$  over  $M$ . We assume that  $\alpha$  is square-integrable, then the expression for  $L_K$  is well defined.



An action of Weyl algebra  $\mathcal{A}$  on  $\mathcal{L}$  (on the space of  $L$ -functionals) can be specified by operators

$$b^+(k) = \hbar c_1^+(k) - c_2(k), b(k) = c_1(k)$$

obeying CCR. Here  $c_i^+(k)$  are multiplication operators by  $\alpha_k^*, \alpha_k$  and  $c_i(k)$  are derivatives with respect to  $\alpha_k^*, \alpha_k$ . This definition is prompted by relations

$$L_{a(k)K} = b(k)L_K, L_{a^+(k)K} = b^+(k)L_K,$$

Another representation of  $\mathcal{A}$  on  $\mathcal{L}$ . is specified by the operators

$$\tilde{b}^+(k) = -\hbar c_2^+(k) + c_1(k), \tilde{b}(k) = -c_2(k),$$

obeying CCR and satisfying

$$L_{Ka^+(k)} = \tilde{b}(k)L_K, L_{Ka(k)} = \tilde{b}^+(k)L_K,$$

Let us consider a Hamiltonian  $\hat{H}$  in a space of representation of CCR. We will write  $\hat{H}$  in the form

$$\hat{H} = \sum_{m,n} \sum_{k_i, l_j} H_{m,n}(k_1, \dots, k_m | l_1, \dots, l_n) a_{k_1}^+ \dots a_{k_m}^+ a_{l_1} \dots a_{l_n} \quad (1)$$

There are two operators in  $\mathcal{L}$  corresponding to  $\hat{H}$ :

$$\hat{H} = \sum_{m,n} \sum_{k_i, l_j} H_{m,n}(k_1, \dots, k_m | l_1, \dots, l_n) b_{k_1}^+ \dots b_{k_m}^+ b_{l_1} \dots b_{l_n} \quad (2)$$

(we denote it by the same symbol) and

$$\tilde{H} = \sum_{m,n} \sum_{k_i, l_j} H_{m,n}(k_1, \dots, k_m | l_1, \dots, l_n) \tilde{b}_{k_1}^+ \dots \tilde{b}_{k_m}^+ \tilde{b}_{l_1} \dots \tilde{b}_{l_n} \quad (3)$$

The equation of motion for the  $L$ -functional  $L(\alpha^*, \alpha)$  has the form

$$i\hbar \frac{dL}{dt} = HL = \hat{H}L - \tilde{H}L$$

(We introduced the notation  $H = \hat{H} - \tilde{H}$ .)  
It corresponds to the equation for density matrices.

The equations of motion for  $L$ -functionals make sense even in the situation when the equations of motion in the Fock space are ill-defined (but there are no ultraviolet divergences). This is related to the fact that vectors and density matrices from all representations of CCR are described by  $L$ -functionals. This means that applying the formalism of  $L$ -functionals we can avoid the problems related to the existence of inequivalent representations of CCR.

In perturbation theory for translation-invariant Hamiltonians these problems appear as divergences related to infinite volume. Therefore in the standard formalism it is necessary to consider at first a Hamiltonian in finite volume  $V$  (to make volume cutoff or, in another terminology, infrared cutoff ) and to take the limit  $V \rightarrow \infty$  in physical quantities.

In the formalism of  $L$ -functionals we can work directly in infinite volume. We can define adiabatic  $S$ -matrix and adiabatic generalized Green functions repeating the standard definitions. If the adiabatic parameter  $a$  tends to zero then the adiabatic  $S$ -matrix multiplied by some factors tends to inclusive scattering matrix. The adiabatic Green functions in the formalism of  $L$ -functionals tend to GGreen functions. This gives a very simple derivation of the diagram techniques for the calculation of GGreen functions.