

# THE STRENGTH OF SOME CONSEQUENCES OF $RT_2^2$ OVER $RCA_0^*$

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(Joint work with Leszek Kołodziejczyk and Katarzyna Kowalik)

## Reverse mathematics questions

- What is the exact strength needed to prove a mathematical theorem?
- Which are the appropriate axioms for mathematics?

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Subsystems of  $Z_2$

- Which are the appropriate axioms for mathematics?

$$\exists X \forall n (n \in X \leftrightarrow \varphi(n))$$

# Subsystems

$\Pi_1^1$ -CA



$\text{ATR}_0$



$\text{ACA}_0$



$\text{WKL}_0$



$\text{RCA}_0$

$\Pi_1^1$  comprehension

$\Sigma_1^1$ -separation

Arithmetic comprehension

$\Sigma_1^0$ -separation

Recursive  $(\Delta_1^0)$  comprehension +  $|\Sigma_1^0$

# Subsystems

$ACA_0$



$WKL_0$



$RCA_0$



$RCA_0^*$

Arithmetic comprehension

$\Sigma_1^0$ -separation

$\Delta_1^0$ -comprehension +  $|\Sigma_1^0$

$\Delta_1^0$ -comprehension +  $|\Delta_1^0$  + exp

## Why $I\Sigma_1^0$ ?

- $\text{RCA}_0$  (and  $\text{WKL}_0$ ) is  $\Pi_2^0$ -conservative over PRA
- $I\Sigma_1^0$  proves the totality of primitive recursive functions
- unique notion of infinite set
- $I\Sigma_1^0$  is equivalent to bounded  $\Sigma_1^0$ -CA,  $\forall k \exists X \forall m (m \in X \leftrightarrow \varphi(m) \wedge m < k)$

## Why not $\text{I}\Sigma_1^0$ ?

- which statements imply  $\text{I}\Sigma_1^0$ ?
- nice interplay with between first and second order arithmetic
- help to study conservativity issues
- study implications among theorems over a weaker base theory

$\text{RCA}_0^*$   $\Delta_1^0$ -comprehension +  $\Delta_1^0$ -induction + exp

$\text{RCA}_0$   $\Delta_1^0$ -comprehension +  $\Sigma_1^0$ -induction ( $I\Sigma_1^0$ )



S. Simpson and R. Smith

Factorization of polynomials and  $\Sigma_1^0$  induction.

Annals of Pure and Applied Logic, 1986



$RT_2^2$	For every $c: [\mathbb{N}]^2 \rightarrow 2$ there exists an unbounded homogeneous set
CAC	Each countable poset contains a $<$ -unbounded chain or a $<$ -unbounded antichain
ADS	Each linear order contains either an unbounded ascending or an unbounded descending chain
$CRT_2^2$	For every $c: [\mathbb{N}]^2 \rightarrow 2$ there exists an unbounded set $S \subseteq \mathbb{N}$ such that for each $x \in S$ there exists $y \in S$ such that $c(x, z) = c(x, y)$ holds for all $z \in S$ with $z \geq y$ .

## $\Sigma_1^0$ -cut

Let  $(M, \mathcal{X}) \models \text{RCA}_0^* + \neg \text{I}\Sigma_1^0$ .

Then there exists a  $\Sigma_1^0$  proper cut  $I \subsetneq M$ ,

i.e. a subset which is

- closed under successor and closed downwards
- $I \neq M$
- is  $\Sigma_1^0$ -definable in  $M$

Let  $\text{Cod}(M/I) = \{X \cap I \mid X \text{ is } M\text{-finite}\}$

When  $I$  is closed under  $\text{exp}$ ,  $(I, \text{Cod}(M/I)) \models \text{WKL}_0^*$ ,

## Main tool

### Theorem

Let  $(M, \mathcal{X}) \models \text{RCA}_0^*$  and  $I \subsetneq_e M$  be a  $\Sigma_1^0$ -cut.

Then  $(M, \mathcal{X}) \models \text{RT}_2^2/\text{CAC}/\text{ADS}/\text{CRT}_2^2$  if and only if  $(I, \text{Cod}(M/I)) \models \text{RT}_2^2/\text{CAC}/\text{ADS}/\text{CRT}_2^2$

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Let  $(M, \mathcal{X}) \models \text{RCA}_0^* + \neg \text{I}\Sigma_1(A) + \text{CAC}$  and  $I \subsetneq_e M$  be a  $\Sigma_1(A)$ -cut.

Then  $(I, \text{Cod}(M/I)) \models \text{CAC}$ .

Then  $(M, \Delta_1\text{-Def}(M; A)) \models \text{RCA}_0^* + \neg \text{I}\Sigma_1^0 + \text{CAC}$

### Corollary

$\text{RCA}_0^* + \text{RT}_2^2/\text{CAC}/\text{ADS}/\text{CRT}_2^2$  prove the following sentence:

“If  $\neg \text{I}\Sigma_1$ , then any computable instance of  $\text{RT}_2^2/\text{CAC}/\text{ADS}/\text{CRT}_2^2$  has a computable solution”

# Implications

## Theorem

$\text{RCA}_0^* \vdash \text{RT}_2^2 \rightarrow \text{CAC} \rightarrow \text{ADS}$  and  $\text{RCA}_0^* \vdash \text{RT}_2^2 \rightarrow \text{CRT}_2^2$ .

These implications do not reverse, even over  $\text{RCA}_0^* + \neg \text{I}\Sigma_1^0$

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## Theorem

$\text{RCA}_0^* \vdash \text{RT}_2^2 \rightarrow \text{CAC} \rightarrow \text{ADS}$  and  $\text{RCA}_0^* \vdash \text{RT}_2^2 \rightarrow \text{CRT}_2^2$ .

These implications do not reverse, even over  $\text{RCA}_0^* + \neg \text{IS}_1^0$

## Question

Over  $\text{RCA}_0^*$ , does CAC or ADS imply  $\text{CRT}_2^2$ ?

# Conservation

## Theorem

CAC/ADS/CRT<sub>2</sub><sup>2</sup> are  $\Pi_3^0$ -conservative over  $\text{RCA}_0^*$ .

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CAC/ADS are not  $\Pi_4^0$ -conservative over  $\text{RCA}_0^*$ .

CRT<sub>2</sub><sup>2</sup> is not  $\Pi_5^0$ -conservative over  $\text{RCA}_0^*$ .

## Question

Is CRT<sub>2</sub><sup>2</sup>  $\Pi_4^0$ -conservative over  $\text{RCA}_0^*$ ?

## Closure property

$$I_1^0 = \{x \in \mathbb{N} \mid \text{every unbounded } S \subseteq \mathbb{N} \text{ has a subset of cardinality } x\}$$

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$$l_1^0 = \{x \in \mathbb{N} \mid \text{every unbounded } S \subseteq \mathbb{N} \text{ has a subset of cardinality } x\}$$

### Theorem (Kołodziejczyk, Wong, Yokoyama)

$RT_2^2$  proves that  $l_1^0$  is closed under exp

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CAC, and hence ADS, does not prove that  $I_1^0$  is closed under exp

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$RT_2^2$  proves that  $I_1^0$  is closed under exp

### Theorem

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### Question

Does  $CRT_2^2$  prove that  $I_1^0$  is closed under exp?

## Normal vs long version: CAC

CAC	Each countable poset $(\mathbb{N}, \prec)$ contains a $\prec$ -cofinal chain or a $\prec$ -cofinal antichain
long-CAC	Each countable poset $(\mathbb{N}, \prec)$ contains a chain of cardinality $\mathbb{N}$ or an antichain of cardinality $\mathbb{N}$

## What is an $\omega$ chain?

ADS | Each countable linear order  $(\mathbb{N}, \prec)$  contains an  $\omega$  or an  $\omega^*$  chain.

- a set  $S \subseteq \mathbb{N}$  which is increasingly ordered with respect to both  $<$  and  $\prec$
- a sequence  $(s_i)_{i \in \mathbb{N}}$  which is strictly  $\prec$ -increasing
- a set  $S \subseteq \mathbb{N}$  such that each element of  $S$  has only finitely many predecessors

## Normal vs long version: ADS

ADS

For each linear order  $(\mathbb{N}, \prec)$  there exists a cofinal set  $S \subseteq \mathbb{N}$  such that  
either for all  $x, y \in S$  it holds that  $x < y$  iff  $x \prec y$   
or for all  $x, y \in S$  it holds that  $x < y$  iff  $x \succ y$

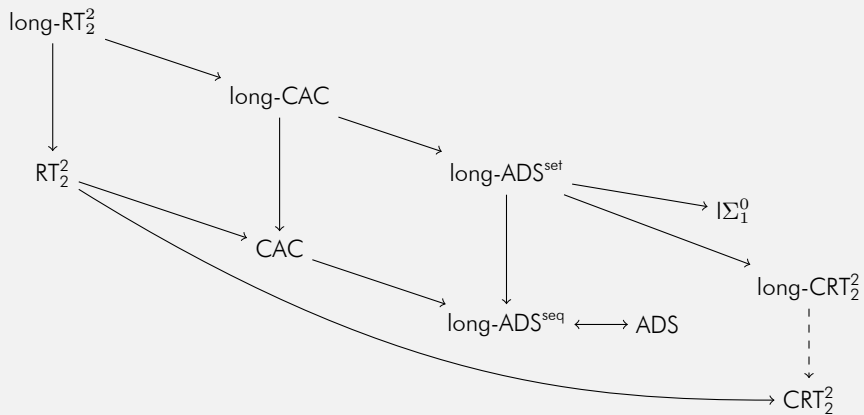
long-ADS<sup>set</sup>

For each linear order  $(\mathbb{N}, \prec)$  there exists a set  $S \subseteq \mathbb{N}$  of universe cardinality such that  
either for all  $x, y \in S$  it holds that  $x < y$  iff  $x \prec y$   
or for all  $x, y \in S$  it holds that  $x < y$  iff  $x \succ y$

long-ADS<sup>seq</sup>

For each linear order  $(\mathbb{N}, \prec)$  there exists a sequence  $(s_i)_{i \in \mathbb{N}}$   
which is either strictly  $\prec$ -increasing or strictly  $\prec$ -decreasing





Marta Fiori-Carones, Leszek Kołodziejczyk, Katarzyna W. Kowalik  
Weaker cousins of Ramsey's theorem over a weak base theory  
2021

Leszek Kołodziejczyk, Katarzyna W. Kowalik, and Keita Yokoyama  
How strong is Ramsey's theorem if infinity can be weak?  
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Leszek Kołodziejczyk, Tin Lok Wong, Keita Yokoyama  
Ramsey's theorem for pairs, collection, and proof size  
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Stephen Simpson and Richard Smith  
Factorization of polynomials and  $\Sigma_1^0$  induction  
Annals of Pure and Applied Logic 1986