

# Orbits of Algebraic Groups and Classification Problems

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- $G$  a connected linear algebraic group.
- $V$  a finite-dimensional algebraic  $kG$ -module. The action of  $G$  on  $V$  is denoted as

$$G \times V \rightarrow V, \quad (g, v) \mapsto g \cdot v.$$

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## Problem (\*)

*Given two points  $a$  and  $b \in V$ , how can one find out whether or not they lie in the same  $G$ -orbit?*

## Elucidation

By “finding out” we mean **decidability** of Problem (\*), i.e., the existence of an **algorithm** providing a correct yes-or-no answer to Problem (\*) by means of finitely many effectively feasible operations.

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## Warning

Finding an algorithm with the best parameters (running time and memory used) is a **separate topic** lying beyond the scope of this talk.



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$v = \sum f \otimes h \otimes \ell \in V = L^* \otimes L^* \otimes L$  determines  
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$\mathcal{A}(a)$  and  $\mathcal{A}(b)$  are isomorphic algebras  $\iff G \cdot a = G \cdot b$ .

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In general case, to find out whether  $\mathcal{A}_a$  is a degeneration of  $\mathcal{A}_b$  is considered a difficult problem. In some special cases degeneration are classified by means of ad hoc methods.

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In turn, if  $A$  is generated by the elements  $a_1, \dots, a_d$ , then  $\varphi$  is determined by the point

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Denote  $\mathcal{M}_s$  the  $A$ -module corresponding to this  $\varphi$ .



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$G = \text{GL}(L)$  diagonally acts on  $V$  by conjugation.

$\mathcal{M}_a$  and  $\mathcal{M}_b$  are isomorphic  $A$ -modules  $\iff G \cdot a = G \cdot b$ .

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In general case, to find out whether  $\mathcal{M}_a$  is a degeneration of  $\mathcal{M}_b$  is considered a difficult problem. In some cases it is solved by means of ad hoc methods (for instance, if  $A$  is the path algebra of an oriented extended Dynkin graph of a root system of type  $A_l$ ,  $D_l$ ,  $E_6$ ,  $E_7$ , or  $E_8$  (Bongartz, 1995)).

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Representations  $a, b \in V$  are equivalent  $\iff G \cdot a = G \cdot b$ .

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This implies:

If  $n \geq 4$  and both  $H_1$  and  $H_2$  are smooth, then

$H_1$  and  $H_2$  are isomorphic varieties  $\iff \text{GL}_{n+1} \cdot h_1 = \text{GL}_{n+1} \cdot h_2$ .

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  - *The Chow forms of  $\tilde{X}$  and  $\tilde{Y}$  lie in the same orbit of the corresponding  $\mathrm{GL}_N$ .*

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This yields

## Corollary

$$G \cdot a = G \cdot b \iff G \cdot a \subseteq \overline{G \cdot b} \text{ and } G \cdot b \subseteq \overline{G \cdot a}.$$

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This leads to a more general

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This conjecture can be seen as  
an algebraic version of Cook's famous  $P \neq NP$  hypothesis.

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$$d_m: M_m \rightarrow k \quad A \mapsto \det A,$$

$$p_n: M_m \rightarrow k \quad A \mapsto x_{1,1}^{m-n} \cdot \text{permanent of } A_n,$$

where  $A_n$  is the right down  $n \times n$ -corner of  $A$ .

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## Theorem

*There is  $n_0$  such that for all  $n \geq n_0$  and  $m$  large enough compared to  $n$ , namely,  $m = O(n^2 2^n)$ ,*

*$p_n$  lies in the closure of  $\text{GL}_{m^2} \cdot d_m$ . (!)*

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## Theorem

*Mulmuley–Sohoni’s conjecture  $\Rightarrow$  Valiant’s conjecture.*



# Plan

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- Describing the input of the algorithm.

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This set is constructed using the following

rational parametrization of  $G$ .

# On the input: Rational parametrization of $G$

## Notation

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- $\mathbb{A}^{r,s} := \{(\varepsilon_1, \dots, \varepsilon_{r+s}) \in \mathbb{A}^{r+s} \mid \varepsilon_1 \cdots \varepsilon_r \neq 0\}, \quad r, s \in \mathbb{N}.$

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The (ordered) set of all Laurent monomials

$$x_1^{i_1} \cdots x_{r+s}^{i_{r+s}}, \quad \text{where } i_1, \dots, i_r \in \mathbb{Z} \text{ and } i_{r+1}, \dots, i_{r+s} \in \mathbb{N},$$

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is a basis of the  $k$ -vector space

$$k[\mathbb{A}^{r,s}] = k[x_1, x_1^{-1}, \dots, x_r, x_r^{-1}, x_{r+1}, \dots, x_{r+s}]$$

of all regular functions on  $\mathbb{A}^{r,s}$ .

# On the input: Rational parametrization of $G$

## Lemma

*There is an open embedding*

$$\iota: \mathbb{A}^{r,s} \hookrightarrow G$$

*with  $r = \operatorname{rk} G$ .*

# On the input: Example of rational parametrization

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$$(\varepsilon_1, \varepsilon_2, \varepsilon_3) \mapsto \begin{bmatrix} 1 & \varepsilon_2 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} \varepsilon_1 & 0 \\ 0 & \varepsilon_1^{-1} \end{bmatrix} \begin{bmatrix} 1 & 0 \\ \varepsilon_3 & 1 \end{bmatrix} = \begin{bmatrix} \varepsilon_1^{-1} \varepsilon_2 \varepsilon_3 + \varepsilon_1 & \varepsilon_1^{-1} \varepsilon_2 \\ \varepsilon_1^{-1} \varepsilon_3 & \varepsilon_1^{-1} \end{bmatrix}$$

is an open embedding.

# On the input: Data determining $G$ -action on $V$

## Notation

$e_1, \dots, e_n$  a basis in  $V$  and

$$\rho_{i,j}: G \rightarrow k, \quad i, j \in \{1, \dots, n\}$$

the regular functions on  $G$  (**matrix coefficients**) such that the action of  $G$  on  $V$  is given in the basis  $e_1, \dots, e_n$  by the matrix representation

$$\rho: G \rightarrow \mathrm{GL}_n, \quad \rho(g) = \begin{bmatrix} \rho_{1,1}(g) & \cdots & \rho_{1,n}(g) \\ \vdots & \ddots & \vdots \\ \rho_{n,1}(g) & \cdots & \rho_{n,n}(g) \end{bmatrix}, \quad g \in G,$$

# On the input: Data determining $G$ -action on $V$

In other words,

$$g \cdot \left( \sum_{i=1}^n \gamma_i e_i \right) = \sum_{j=1}^n \left( \sum_{i=1}^n \rho_{j,i}(g) \gamma_i \right) e_j \quad \text{for all } g \in G \text{ and } \gamma_i \in k.$$

# On the input: Classical example of binary forms

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$\text{char } k = 0$ .

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$V = \mathcal{B}_h$  the  $(h+1)$ -dimensional space of binary forms of degree  $h$  in variables  $z_1, z_2$  over  $k$  with the  $G$ -action given by

$$g \cdot z_1 = \alpha z_1 + \gamma z_2, \quad g \cdot z_2 = \beta z_1 + \delta z_2 \quad \text{for } g = \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} \in G.$$



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As a basis  $e_1, \dots, e_n$  (with  $n = h+1$ ) in  $\mathcal{B}_h$  take

$$e_i = z_1^{h+1-i} z_2^{i-1}.$$

# On the input: Classical example of binary forms

## Example (continued)

Then

$$\begin{aligned} \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} \cdot e_j &= (\alpha z_1 + \gamma z_2)^{h+1-j} (\beta z_1 + \delta z_2)^{j-1} \\ &= \sum_{i=1}^{h+1} \rho_{i,j}(g) z_1^{h+1-i} z_2^{i-1} \end{aligned}$$

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For instance, if  $h = 2$ , then

$$\begin{aligned} \rho_{2,3}: \mathrm{SL}_2 &\rightarrow k, & \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} &\mapsto 2\beta\delta, \\ \rho_{2,2}: \mathrm{SL}_2 &\rightarrow k, & \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} &\mapsto \alpha\delta + \gamma\beta \end{aligned}$$

# The first component of the input

The first component of the input is the following system of  $n^2$  regular functions on  $\mathbb{A}^{r,s}$ :

$$\Theta_{i,j} := \rho_{i,j} \circ \iota \in k[x_1, x_1^{-1}, \dots, x_r, x_r^{-1}, x_{r+1}, \dots, x_{r+s}]$$

$$\begin{array}{ccccc} \mathbb{A}^{r,s} & \xrightarrow[\text{open embedding}]{\iota} & G & \xrightarrow[\text{matrix coefficient}]{\rho_{i,j}} & k \\ & \searrow & & \nearrow & \\ & \Theta_{i,j} & & & \end{array}$$

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$\text{char } k = 0, G = \text{SL}_2,$   
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Then  $\Theta_{i,j}$  is the coefficient of  $z_1^{h+1-i} z_2^{i-1}$  in the decomposition of

$$\left( (x_1 + x_1^{-1} x_2 x_3) z_1 + (x_1^{-1} x_3) z_2 \right)^{h+1-j} \left( (x_1^{-1} x_2) z_1 + (x_1^{-1}) z_2 \right)^{j-1}$$

as a linear combination of monomials in  $z_1, z_2$  with the coefficients in  $k[x_1, x_1^{-1}, x_2, x_3]$ .

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Therefore,

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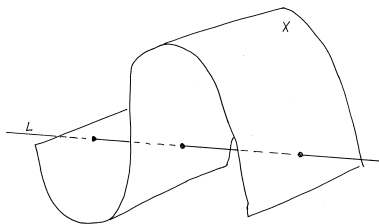
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This cardinality is called the **degree of  $X$**  and denoted by  $\deg X$ .



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As  $\rho(G)$  is a locally closed irreducible subset of the  $n^2$ -dimensional affine space  $\text{Mat}_{n,n}(k)$ , the integer  $\deg \rho(G)$  is defined.



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In this formula, the following notation is used.

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- $\mathcal{P}_V \subset E$  the convex envelope of 0 and all  $T$ -weights of  $V$ .

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where  $\langle \mid \rangle$  is a  $W$ -invariant inner product on  $E$ .

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$$R_+ = \emptyset, \quad |W| = 1, \quad m_1 = \dots = m_r = 0.$$

# Formula for $\deg \rho(G)$ : Theorem

## Theorem (B. Kazarnovskii)

Let  $\text{char } k = 0$ , let  $G$  be reductive, and let  $\ker \rho$  be finite. Then

$$\deg \rho(G) := \frac{\dim G!}{|W|(m_1! \cdots m_r!)^2 |\ker \rho|} \int_{\mathcal{P}_V} \prod_{\alpha \in R_+} (\alpha^\vee)^2 d\nu.$$

# Formula for $\deg \rho(G)$ :

## Classical example of binary forms

### Example

$\text{char } k = 0$ ,  $G = \text{SL}_2$ ,  $V = \mathcal{B}_h$  the  $kG$ -module of binary forms of degree  $h$  in variables  $z_1, z_2$ .

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Then

$$\dim G = 3, \quad r = 1, \quad E = \mathbb{R}, \quad X(T) = \mathbb{Z}, \quad |W| = 2, \quad m_1 = 1, \\ R_+ = \{\alpha = 2\},$$

$\alpha^\vee$  is the standard coordinate function  $x: \mathbb{R} \rightarrow \mathbb{R}$ ,  $a \mapsto a$ ,

$$\ker_V = \begin{cases} \text{trivial} & \text{if } h \text{ is odd,} \\ \text{cyclic group } (\text{diag}(-1, -1)) \text{ of order 2} & \text{if } h \text{ is even.} \end{cases}$$

# Formula for $\deg \rho(G)$ : Classical example of binary forms

## Example (continued)

Take

$$T = \{\text{diag}(t, t^{-1}) \mid t \in k \setminus \{0\}\}.$$

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$$\mathcal{P}_V = [-h, h].$$

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Take

$$T = \{\text{diag}(t, t^{-1}) \mid t \in k \setminus \{0\}\}.$$

Then  $e_i = z_1^{h+1-i} z_2^{i-1}$  is the  $T$ -weight vector of the weight  $h - 2i + 2$ . Hence  $\{h, h - 2, \dots, -h + 2, -h\}$  is the  $T$ -weight system of  $V$ . Whence

$$\mathcal{P}_V = [-h, h].$$

This yields

$$\deg \rho(\text{SL}_2) = \frac{3!}{2|\ker \rho|} \int_{-h}^h x^2 dx = \begin{cases} 2h^3 & \text{if } h \text{ is odd,} \\ h^3 & \text{if } h \text{ is even.} \end{cases}$$

# Reducing Problem (\*\*) to the case of conical orbits

Now we explain that when searching for an algorithmic solution to

## Problem (\*\*)

*Given two points  $a$  and  $b \in V$ , how can one find out whether or not  $G \cdot a$  lies in  $\overline{G \cdot b}$ ?*

one can assume that the orbits  $G \cdot a$  and  $G \cdot b$  are conical.

# Reducing Problem (\*\*) to the case of conical orbits

## Definition

A subset  $C$  of a vector space  $L$  over  $k$  is called conical if it is stable with respect to scalar multiplication by every nonzero element of  $k$ :

$$\lambda C = C \quad \text{for every } \lambda \in k \setminus \{0\}.$$

# Reducing Problem $(**)$ to the case of conical orbits: Step 1

The reduction is performed in two steps.

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## Step 1

Consider the  $G$ -action on the projective space  $\mathbb{P}^n$  given by

$$g \cdot (\alpha_0 : \alpha_1 : \cdots : \alpha_n) := \left( \alpha_0 : \sum_{i=1}^n \rho_{1,i}(g) \alpha_i : \cdots : \sum_{i=1}^n \rho_{n,i}(g) \alpha_i \right).$$

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and

the  $G$ -equivariant embedding of varieties  $V \hookrightarrow \mathbb{P}^n$

whose image is the standard principal open subset

$$\{(\alpha_0 : \alpha_1 : \cdots : \alpha_n) \mid \alpha_0 \neq 0\}.$$



# Reducing Problem (\*\*) to the case of conical orbits: Step 2

Consider the natural surjections

$$\tau: \mathrm{GL}_{n+1} \rightarrow \mathrm{Aut} \mathbb{P}^n \quad \text{and} \quad \pi: k^{n+1} \setminus \{0\} \rightarrow \mathbb{P}^n.$$

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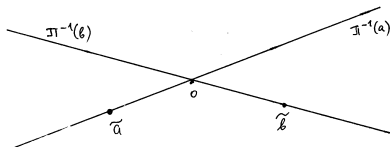
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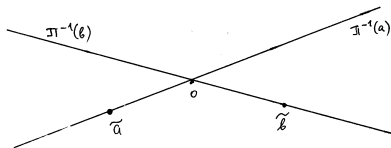
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$\tilde{G}$  is connected.  $\tilde{G}$  is reductive if  $G$  is.

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*The orbits  $\tilde{G} \cdot \tilde{a}$  and  $\tilde{G} \cdot \tilde{b}$  are conical.*

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As every normal quasiprojective  $G$ -variety can be equivariantly embedded in some  $\mathbb{P}^m$ , it frequently arises the problem analogous to Problem  $(**)$  but for a  $G$ -action on some projective space.

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The above lemma shows that **this problem is reduced to Problem (\*\*) for linear actions on vector spaces.**

## Algorithm for solving Problem (\*\*)

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$$n = \dim V, \quad d = \deg \rho(G), \quad r = \operatorname{rank} G, \quad s = \dim G - r,$$

$$\Theta_{i,j} \in k[x_1, x_1^{-1}, \dots, x_r, x_r^{-1}, x_{r+1}, \dots, x_{r+s}]$$

(the restrictions of matrix coefficients of  $\rho$  to the open subset  $\iota(\mathbb{A}^{r,s})$  of  $G$ )

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Therefore, in what follows we assume

$$n \geq 2 \quad \text{and} \quad \dim G \cdot b < n.$$

## Step 1

Following the procedure described above, reduce Problem (\*\*) to the case of **conical orbits**  $G \cdot a$  and  $G \cdot b$ .

## Step 2

Find the coordinates of  $a$  and  $b$  in the basis  $e_1, \dots, e_n$ :

$$a = \alpha_1 e_1 + \dots + \alpha_n e_n, \quad b = \beta_1 e_1 + \dots + \beta_n e_n,$$

## Step 2

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$$a = \alpha_1 e_1 + \dots + \alpha_n e_n, \quad b = \beta_1 e_1 + \dots + \beta_n e_n,$$

and, replacing  $e_1, \dots, e_n$  by another basis if necessary, **ensure that**

$$\beta_1 \cdots \beta_n \neq 0.$$

## Step 3

Consider the “generic” polynomials  $F_1, \dots, F_n$  of degree  $2d - 2$  in the variables  $y_1, \dots, y_n$ ,

$$F_s := \sum_{\substack{q_1, \dots, q_n \in \mathbb{N} \\ q_1 + \dots + q_n \leq 2d-2}} c_{s, q_1, \dots, q_n} y_1^{q_1} \cdots y_n^{q_n}, \quad s = 1, \dots, n,$$

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where the coefficients  $c_{s, q_1, \dots, q_n}$  are indeterminates over  $k$ , and then put

$$H := (y_1 - \alpha_1)F_1 + \cdots + (y_n - \alpha_n)F_n - 1.$$



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Replace every  $y_i$  in  $H$  with

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The result of this is a sum

$$\sum_{(i_1, \dots, i_{r+s}) \in M} \ell_{i_1, \dots, i_{r+s}} x_1^{i_1} \cdots x_{r+s}^{i_{r+s}},$$

where  $M$  is a finite subset of  $\mathbb{Z}^r \times \mathbb{N}^s$  and **every**  $\ell_{i_1, \dots, i_{r+s}}$  is a linear combination of  $c_{s, q_1, \dots, q_n}$ 's with the coefficients in  $k$ .

## Step 5

Consider the following system of linear equations in variables  $c_{s,q_1,\dots,q_n}$  with the coefficients in  $k$ :

$$\ell_{i_1,\dots,i_{r+s}} = 0 \quad \text{where } (i_1, \dots, i_{r+s}) \text{ runs over } M.$$

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Denote this system by  $(\star)$ .

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- *the system (★) of linear equations is inconsistent.*



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Let  $A$  be the coefficient matrix of  $(\star)$  and let  $\tilde{A}$  be the augmented matrix obtained from  $A$  by adding the column of free terms.

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Let  $A$  be the coefficient matrix of  $(\star)$  and let  $\tilde{A}$  be the augmented matrix obtained from  $A$  by adding the column of free terms. Then

$$G \cdot a \subseteq \overline{G \cdot b} \iff \text{rank } A \neq \text{rank } \tilde{A}.$$

# Example: Binary forms

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The number of variables  $c_{S,q_1,\dots,q_n}$  in system  $(\star)$  is

$$\begin{aligned} (h+1) \binom{2h^3 + h - 1}{h+1} & \quad \text{if } h \text{ is even,} \\ (h+1) \binom{4h^3 + h - 1}{h+1} & \quad \text{if } h \text{ is odd.} \end{aligned}$$

# Algorithm: Replacing $d$ by a smaller integer

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In some cases the degrees of orbits indeed have been computed.

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Every nonzero  $v \in \mathcal{B}_h$  decomposes as

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If  $m \geq 3$  and  $h/s_i \geq 2$  for every  $i$ , then the  $G$ -stabilizer  $G_v$  of  $v$  is finite. Then, according to Moser-Jauslin (1992),

$$\begin{aligned} |G_v| \deg G \cdot v = & -2(m-1)h^3 - 4 \sum_{i=1}^m (h-s_i)^3 \\ & + 3h^2 \sum_{i=1}^m (h-s_i) + 3h \sum_{i=1}^m (h-s_i)(h-2s_i) \end{aligned}$$

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This formula can also be deduced from a calculation made by Enriques and Fano in 1897. This has been done in 1983 by Mukai and Umemura (with a gap fixed in 1992 by Moser-Jauslin).