

Kleene Star in Substructural Logics

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- The logics in question are **substructural logics**, which get their name due to the fact that they lack all or some of the **structural rules**:

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- These rules are called weakening, contraction, and permutation (exchange).
- Here they are formulated as Gentzen-style sequent rules, but they can also be written algebraically: $A \rightarrow 1$; $A \rightarrow A \otimes A$; $A \otimes B = B \otimes A$ (here \otimes is a sort of conjunction and 1 is constant “true”).

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- The most well-known **non-commutative** substructural logic is the **Lambek calculus** (1958), introduced for mathematical description of natural languages.
- Linguistic “resources,” i.e., syntactic structures, are in general non-commutative, since the word order matters (at least in English).

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- Such systems are usually not r.e., and have various complexity levels, ranging from Π_1^0 to Π_1^1 .
- Another interesting complexity measure is the **closure ordinal**, which we shall define later.
- In general, here we have a quite simple, purely propositional framework, in which we could obtain very high and interesting complexity bounds.

MALC: the Basic Substructural Logic

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- Its axioms and rules are as follows:

$$\begin{array}{c}
 \overline{A \rightarrow A} \text{ } Id \quad \overline{\Gamma, 0, \Delta \rightarrow B} \text{ } 0L \quad \frac{\Gamma, \Delta \rightarrow B}{\Gamma, 1, \Delta \rightarrow B} \text{ } 1L \quad \overline{\rightarrow 1} \text{ } 1R \\
 \\
 \frac{\Pi \rightarrow A \quad \Gamma, B, \Delta \rightarrow C}{\Gamma, \Pi, A \multimap B, \Delta \rightarrow C} \multimap L \quad \frac{A, \Pi \rightarrow B}{\Pi \rightarrow A \multimap B} \multimap R \quad \frac{\Gamma, A, B, \Delta \rightarrow B}{\Gamma, A \otimes B, \Delta \rightarrow B} \otimes L \\
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 \frac{\Gamma, A, \Delta \rightarrow C \quad \Gamma, B, \Delta \rightarrow C}{\Gamma, A \vee B, \Delta \rightarrow C} \vee L \quad \frac{\Pi \rightarrow A}{\Pi \rightarrow A \vee B} \vee R \quad \frac{\Pi \rightarrow B}{\Pi \rightarrow A \vee B} \vee R \\
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 \frac{\Gamma, A, \Delta \rightarrow C}{\Gamma, A \wedge B, \Delta \rightarrow C} \wedge L \quad \frac{\Gamma, B, \Delta \rightarrow C}{\Gamma, A \wedge B, \Delta \rightarrow C} \wedge L \quad \frac{\Pi \rightarrow A \quad \Pi \rightarrow B}{\Pi \rightarrow A \wedge B} \wedge R
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- The cut elimination argument can be further propagated (as a transfinite one) to infinitary extensions of **MALC**.

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- \multimap and $\circ-$ are **residuals** of \otimes w.r.t. \preceq :

$$A \preceq C \circ- B \iff A \otimes B \preceq C \iff B \preceq A \multimap B.$$

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- Notice that identifying \otimes and \wedge (two “conjunctions”) makes a residuated lattice a Heyting algebra.
- In other words, this restores all structural rules.
- There are, however, more interesting examples of residuated lattices.

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- In L-models, multiplication and residuals are defined as follows:

$$A \otimes B = \{uv \mid u \in A, v \in B\}$$

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- This corresponds to Lambek's original linguistic ideas.

- **R-models** are interpretations of **MALC** on residuated lattices of **binary relations** (on a set W), with the following multiplication and residuals:

$$A \otimes B = A \circ B = \{(x, z) \mid (\exists y \in W) ((x, y) \in A, (y, z) \in B)\}$$

$$A \multimap B = \{(y, z) \mid (\forall x \in W) ((x, y) \in A \Rightarrow (x, z) \in B)\}$$

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- **MALC** is of course sound w.r.t. both L- and R-models. Completeness is a subtle issue, we shall not discuss it now.

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- The $*$ -continuous definition of Kleene star gives a narrower class of **$*$ -continuous action lattices**.
- Non- $*$ -continuous action lattices exist, although such examples are artificial.

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- For the $*$ -continuous definition, we obtain **infinitary action logic** ACT_ω (Palka 2007):

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- Cut is eliminable in \mathbf{ACT}_ω (Palka 2007); for \mathbf{ACT} , constructing a cut-free system is an open question.

Theorem (Buszkowski & Palka 2007)

ACT_ω is Π_1^0 -complete.

Theorem (K. 2019–20)

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- \mathbf{ACT}_ω is not r.e., thus, it is not axiomatizable by finite means; the usage of infinitary (somewhat model-theoretic) mechanisms like ω -rules is inevitable.
- On the other hand, \mathbf{ACT}_ω (unlike \mathbf{ACT}) enjoys the finite model property (Buszkowski & Palka 2007).

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Theorem (K. 2020–22)

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Minsky Machines

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- Instructions of \mathcal{M} can be of the following sorts:

$\text{INC}(p, r, q)$

being in state p , increase register r by 1
and move to state q ;

$\text{JZDEC}(p, r, q_0, q_1)$

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- ... thus, *non-halting* is Π_1^0 -complete.
- Sometimes it is more convenient to use three counters.

Encoding Minsky Instructions

- Each instruction I of M is encoded by a formula A_I :

$$A_{\text{INC}}(p,r,q) = p \multimap (q \otimes r)$$

$$A_{\text{JZDEC}}(p,r,q_0,q_1) = ((p \otimes r) \multimap q_1) \wedge (p \multimap (q_0 \vee z_r)).$$

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$$A_{\text{INC}}(p,r,q) = p \multimap (q \otimes r)$$

$$A_{\text{JZDEC}}(p,r,q_0,q_1) = ((p \otimes r) \multimap q_1) \wedge (p \multimap (q_0 \vee z_r)).$$

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- The encoding is due to Lincoln et al. 1992.
- However, we now consider *non-halting* instead of halting, and model it using Kleene star instead of exponential.
- Also, in succedents of our sequents we now have to represent an *arbitrary* configuration of the Minsky machine being encoded, which is also implemented using Kleene star.

$$E = \bigwedge_I A_I \wedge N_a \wedge N_b \wedge N_c$$

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Lemma

$E^*, a^a, b^b, c^c, q \rightarrow D$ is derivable iff the machine runs infinitely starting from (q, a, b, c) .

- $E^*, a^a, b^b, c^c, q \rightarrow D$ is derivable if and only if so is $E^n, a^a, b^b, c^c, q \rightarrow D$ for any $n \geq 0$.

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Encoding Infinite Computation

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- This corresponds to n steps of execution.
- Since our machine is deterministic, partial computations form an infinite one. (In the non-deterministic case, use König's lemma.)
- Base case: $n = 0$, and $a^a, b^b, c^c, q \rightarrow D$ is derivable ($((q, a, b, c)$ is a valid configuration).

Encoding $\text{INC}(p, a, q)$

$$\begin{array}{c}
 \frac{p \rightarrow p \quad \frac{E^{k-1}, a^{a+1}, b^b, c^c, q \rightarrow D}{E^{k-1}, a^a, b^b, c^c, q \otimes a \rightarrow D} \otimes L}{E^{k-1}, A_{\text{INC}}(p, a, q), a^a, b^b, c^c, p \rightarrow D} \multimap L (A_{\text{INC}}(p, a, q) = p \multimap (q \otimes a)) \\
 \hline
 E^k, a^a, b^b, c^c, p \rightarrow D \quad \wedge L \text{ several times}
 \end{array}$$

Encoding JZDEC(p, a, q)

- $a \neq 0$

$$\begin{array}{c}
 \frac{p \rightarrow p \quad a \rightarrow a}{p, a \rightarrow p \otimes a} \otimes R \quad E^{k-1}, a^{a-1}, b^b, c^c, q_1 \rightarrow D \\
 \hline
 E^{k-1}, (p \otimes a) \multimap q_1, a^a, b^b, c^c, p \rightarrow D \\
 \hline
 E^{k-1}, A_{\text{JZDEC}(p, a, q_0, q_1)}, a^a, b^b, c^c, p \rightarrow D \\
 \hline
 E^k, a^a, b^b, c^c, p \rightarrow D
 \end{array}
 \begin{array}{l}
 \\
 \multimap L \\
 \wedge L \\
 \wedge L \text{ several times}
 \end{array}$$

Encoding JZDEC(p, a, q)

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$$\begin{array}{c}
 \frac{p \rightarrow p \quad a \rightarrow a}{p, a \rightarrow p \otimes a} \otimes R \quad \frac{E^{k-1}, a^{a-1}, b^b, c^c, q_1 \rightarrow D}{E^{k-1}, (p \otimes a) \multimap q_1, a^a, b^b, c^c, p \rightarrow D} \multimap L \\
 \frac{E^{k-1}, (p \otimes a) \multimap q_1, a^a, b^b, c^c, p \rightarrow D}{E^{k-1}, A_{\text{JZDEC}(p,a,q_0,q_1)}, a^a, b^b, c^c, p \rightarrow D} \wedge L \\
 \frac{E^{k-1}, A_{\text{JZDEC}(p,a,q_0,q_1)}, a^a, b^b, c^c, p \rightarrow D}{E^k, a^a, b^b, c^c, p \rightarrow D} \wedge L \text{ several times}
 \end{array}$$

- $a = 0$

$$\begin{array}{c}
 \frac{E^{k-1}, b^b, c^c, q_0 \rightarrow D \quad \frac{(z_a \multimap z_a)^{k-1}, b^b, c^c, z_a \rightarrow D}{E^{k-1}, b^b, c^c, z_a \rightarrow D} \wedge L \text{ s.t.}}{E^{k-1}, q_0 \vee z_a, b^b, c^c \rightarrow D} \vee L \\
 \frac{p \rightarrow p \quad E^{k-1}, q_0 \vee z_a, b^b, c^c \rightarrow D}{E^{k-1}, p \multimap (q_0 \vee z_a), b^b, c^c, p \rightarrow D} \multimap L \\
 \frac{E^{k-1}, p \multimap (q_0 \vee z_a), b^b, c^c, p \rightarrow D}{E^{k-1}, A_{\text{JZDEC}(p,a,q_0,q_1)}, b^b, c^c, p \rightarrow D} \wedge L \\
 \frac{E^{k-1}, A_{\text{JZDEC}(p,a,q_0,q_1)}, b^b, c^c, p \rightarrow D}{E^k, b^b, p \rightarrow D} \wedge L \text{ s.t.}
 \end{array}$$

Circular Proofs for Circular Computations

Lemma

If the machine runs **circularly** starting from (q, a, b, c) , then $E^*, a^a, b^b, c^c, q \rightarrow D$ admits a circular proof, thus, a proof in **CommACT**.

$$\begin{array}{c}
 \frac{E^*, p, a^a, b^b, c^c \rightarrow D}{\vdots} \\
 \frac{p, a^a, b^b, c^c \rightarrow D \quad \frac{E^*, E, p, a^a, b^b, c^c \rightarrow D}{*L}}{E^*, p, a^a, b^b, c^c \rightarrow D} \\
 \vdots \\
 \frac{q \rightarrow D \quad \frac{E^*, E, q \rightarrow D}{*L}}{E^*, q \rightarrow D}
 \end{array}$$

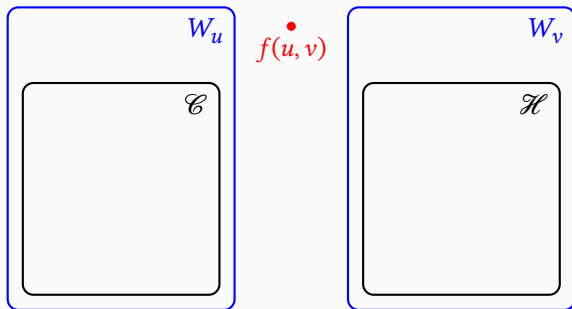
- The converse, however, is not true. For example, if the machine just increases one counter, then $E^*, a^a, b^b, c^c, q \rightarrow D$ is also derivable in **CommACT**.

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- Let \mathcal{C} be the set of machines and input data such that the machine works circularly, and let \mathcal{H} be the one where the machine halts.

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- Therefore, we use an indirect technique for proving complexity, based on **effective inseparability**.
- Let \mathcal{C} be the set of machines and input data such that the machine works circularly, and let \mathcal{H} be the one where the machine halts.
- **Folklore:** \mathcal{C} and \mathcal{H} are effectively inseparable.

Effective Inseparability



If one tries to separate \mathcal{C} from \mathcal{H} by a pair of disjoint r.e. sets W_u and W_v (where W_x is “the x -th r.e. set”), aiming make a decidable separator $W_u = \overline{W_v}$, the other player can falsify this separation via a computable function f .

Effective Inseparability and Σ_1^0 -Completeness

- It follows from a result by Myhill (1955) that if A separates two effectively inseparable sets (e.g., \mathcal{C} and \mathcal{H}) and A is itself r.e., then A is Σ_1^0 -complete.

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- A direct encoding would not work, since there is no way of moving formulae around.

- The Π_1^0 upper bound for infinitary (commutative) action logic can be proved by Palka's ***-elimination** method.

Upper Bounds and Closure Ordinals

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- The idea is to replace each negative occurrence of A^* with its “ n -th approximation” $1 \vee A \vee A^2 \vee \dots \vee A^n$, and then show that a sequent is derivable iff all its approximations (“ $\forall n$ ” quantifier) are.

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- Another proof of Π_1^0 -boundedness was given by Das & Pous (2017) in terms of non-well-founded derivations.
- We shall show yet another, more robust approach, based on **closure ordinals**.

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- The smallest ordinal α_0 at which the sequence stabilizes ($S_{\alpha_0} = S_{\alpha_0+1} = \dots$) is called the **closure ordinal** for \mathcal{D} ; the set S_{α_0} is exactly the set of all derivable sequents.

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- The closure ordinal is yet another complexity measure, along with the undecidability degree.
- For finitary calculi, like **ACT**, the closure ordinal is trivially ω , so we now consider only infinitary calculi.

- A general folklore result: for any monotone Π_1^1 operator $F : \mathcal{P}(\mathbb{N}) \rightarrow \mathcal{P}(\mathbb{N})$ its least fixed point is Π_1^1 -bounded and its closure ordinal is $\leq \omega_1^{\text{CK}}$ (Church–Kleene ordinal, the first non-constructive one).

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- This applies to our \mathcal{D} , which yields $\alpha_0 \leq \omega_1^{\text{CK}}$.
- However, for \mathbf{ACT}_ω a better bound can be obtained.
- Namely, there exists an ordinal measure (**rank**) on sequents, $\eta : \text{Seq} \rightarrow \omega^\omega$, such that each rule strictly increases this measure.

- For each formula or sequent, η yields a polynomial of ω (a.k.a. Cantor normal form)

$$\omega^n c_n + \dots + \omega c_1 + c_0, \quad c_i \in \mathbb{N}.$$

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- $\eta(A^*) = \omega \cdot \eta(A)$, and for binary operations we take componentwise sum plus 1 ($c_i = c'_i + c''_i$ for $i > 0$ and $c_0 = c'_0 + c''_0 + 1$).

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- Each rule strictly increases η . Hence, if $\Pi \rightarrow C$ is derivable and $\alpha = \eta(\Pi \rightarrow C)$, then $(\Pi \rightarrow C) \in S_\alpha$.
- Therefore, $\alpha_0 \leq \omega^\omega$.
- **Conjecture:** the closure ordinal for \mathbf{ACT}_ω is *exactly* ω^ω .

- The ω^ω bound on the closure ordinal is used in yet another proof of the Π_1^0 upper bound for \mathbf{ACT}_ω (K. & Speranski 2022).

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- Moreover, the Π_1^0 formula defining this set is uniform, i.e., computable from α by a computable function g .

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- Namely, we prove that the set of (Gödel numbers of) sequents of rank α derivable in \mathbf{ACT}_ω is Π_1^0 .
- Moreover, the Π_1^0 formula defining this set is uniform, i.e., computable from α by a computable function g .
- Then we define the set of all derivable sequents uniformly using Π_1^0 -SAT, which is also Π_1^0 :

$$\Pi_1^0\text{-SAT}(x, g(\dot{\eta}(x))).$$

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- The same argument works for **CommACT** $_\omega$.

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- This modality locally allows structural rules (permutation, contraction, weakening), which are absent in the original system.
- For simplicity, we consider only one exponential modality, denoted by $!A$, but the arguments are easily extendable to polymodal systems with **subexponentials** (Danos et al. 1993, Kanovich et al. 2019).

(Sub)exponentials

- Now let us extend \mathbf{ACT}_ω with the **exponential** modality taken from linear logic (Girard 1987).
- This modality locally allows structural rules (permutation, contraction, weakening), which are absent in the original system.
- For simplicity, we consider only one exponential modality, denoted by $!A$, but the arguments are easily extendable to polymodal systems with **subexponentials** (Danos et al. 1993, Kanovich et al. 2019).
- (Sub)exponential extensions are considered both for \mathbf{ACT}_ω and for $\mathbf{CommACT}_\omega$.

- The rules for ! are as follows:

$$\frac{\Gamma, A, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C} !L \qquad \frac{!A_1, \dots, !A_n \rightarrow B}{!A_1, \dots, !A_n \rightarrow !B} !R$$

$$\frac{\Gamma, !A, !A, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C} !C \qquad \frac{\Gamma, \Delta \rightarrow C}{\Gamma, !A, \Delta \rightarrow C} !W$$

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- The extension of **MALC** with the exponential (without Kleene star) is Σ_1^0 -complete (Lincoln et al. 1992).
- We show that for the corresponding extension of **ACT**_ω (denoted by **!ACT**_ω) complexity raises dramatically.

Theorem (K. & Speranski 2022)

$!ACT_\omega$ is Π_1^1 -complete, and its closure ordinal is ω_1^{CK} .

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- The latter is Π_1^1 -complete due to Kozen (2002), by encoding of well-foundedness of recursively defined graphs on \mathbb{N} .
- We conjecture that a variant of Kozen's argument works in the commutative setting, thus yielding the same complexity results for !CommACT_ω .

- As for the closure ordinal of $!ACT_\omega$, we prove that if it were $< \omega_1^{CK}$, then the derivability problem would be hyperarithmetical, thus not Π_1^1 -hard.

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- Therefore, it is in $\Pi_1^1 \cap \Sigma_1^1 = \Delta_1^1$, i.e., it is hyperarithmetical.

Multiplexing

- An intermediate complexity can be obtained with a subexponential allowing the **multiplexing** rule:

$$\frac{\Gamma, \overbrace{A, \dots, A}^n, \Delta \rightarrow C}{\Gamma, !^m A, \Delta \rightarrow C} !^m M, n \geq 0 \qquad \frac{A \rightarrow B}{!^m A \rightarrow !^m B} !^m R$$

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- These are the only rules for ! in the commutative situation; in the non-commutative case, we add another modality for local commutativity:

$$\frac{\Gamma, A, \Delta \rightarrow C}{\Gamma, \nabla A, \Delta \rightarrow C} \nabla L \quad \frac{A \rightarrow B}{\nabla A \rightarrow \nabla B} \nabla R \quad \frac{\Gamma, B, \nabla A, \Delta \rightarrow B}{\Gamma, \nabla A, B, \Delta \rightarrow C} \nabla P \quad \frac{\Gamma, \nabla A, B, \Delta \rightarrow C}{\Gamma, B, \nabla A, \Delta \rightarrow B} \nabla P$$

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- In the infinitary situation, however, multiplexing is significantly weaker than contraction.

- Namely, we can use the same ranking function as for \mathbf{ACT}_ω , extending it with $\eta(!^m A) = \omega \cdot \eta(A)$.

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- Informally, we iterate the ω -rule and “enumerable” proof search with finite rules, at most ω^ω times.

Multiplexing: Lower Bound

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- For $!^m \text{CommACT}_\omega$ we encode the following problem:

$$\forall n_1 > 0 \exists n_2 > 0 \forall n_3 > 0 \dots \exists n_{2k} > 0$$

(machine \mathcal{M} does not halt when started from $(q_0; n_1, \dots, n_{2k}, 0, 0, 0)$)

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- This is encoded using the “key-and-lock” technique.

Multiplexing: Lower Bound

- The quantifier is encoded as follows:

$$K_m = \begin{cases} p_{m-1} \multimap (a_m^+ \cdot p_m) & \text{if } m \text{ is odd;} \\ p_{m-1} \multimap !(a_m \cdot !p_m) & \text{if } m \text{ is even.} \end{cases}$$

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- Next, we have

$$a_1^{n_1}, \dots, a_{2k}^{n_{2k}}, E^* \rightarrow D$$

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- The “keys” p_i guarantee that * and $!$ are decomposed in the correct order.

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- For the non-commutative case, we use ∇ to encode the commutative system.
- Complexity without ∇ remains an open question.
- Thus, for systems with multiplexing we have non-trivial upper and lower bound, but they still do not match.
- It could be the case that the real complexity class is properly hyperarithmetical.

Independence Condition

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- The lower bound could probably be raised to arithmetical.
- For the upper one, we use *non-well-founded proofs*.
- As there are no “ Δ_1^1 -complete” problems, the upper bound should also be lowered.

Non-well-founded Proofs

- The rules for $*$ are now as follows:

$$\frac{\Gamma \rightarrow C \quad \Gamma, A, A^* \rightarrow C}{\Gamma, A^* \rightarrow C} *L \qquad \frac{}{\rightarrow A^*} *R0 \qquad \frac{\Pi \rightarrow A \quad \Delta \rightarrow A^*}{\Pi, \Delta \rightarrow A^*} *Rn$$

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- A lower bound of Π_2^0 could also be proved.

- If we manage to make the infinite proof *computable*, we would get even Σ_3^0 .

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- We conjecture that the stronger criterion would work: on each infinite branch, there is a trace of *the same* A^* .
- However, this does not give complexity gain.

Thanks*