

Non-Markovian Quantum Stochastic Models

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Papers

- *Quantum feedback control of a two-level atom network closed by a semi - infinite waveguide*
H. Ding, G. Zhang, Mu-Tian Cheng, Guoqing Cai [arXiv:2306.06373v2](#)
- *Quantum coherent and measurement feedback control based on atoms coupled with a semi -infinite waveguide*
H. Ding, N.H. Amini, G. Zhang, JG
SIAM Journal on Control and Optimization, pp. 231-257.
- *On the control of non-Markovian quantum dynamics based on cavity-QED systems*
H. Ding, N.H. Amini, G. Zhang, JG [arXiv:2408.09637](#)
- *Reproducing Kernel Hilbert Space Approach to Non-Markovian Quantum Stochastic Models*
JG, H. Ding, N.H. Amini (to appear **Journal of Mathematical Physics**) [arXiv:2407.07231v1](#)

Open Quantum Systems

- Master Equation (Hamiltonian and Dissipation)

$$\frac{d}{dt}\rho_t = i[\rho_t, H] + \sum_l \left(L_k \rho_t L_k^* - \frac{1}{2} L_k^* L_k \rho_t - \frac{1}{2} \rho_t L_k^* L_k \right), \quad \rho_0 = |\psi_0\rangle\langle\psi_0|.$$

- Unravellings

Let (\mathcal{F}_t) be a filtration of sigma-algebras for a probability space $(\Omega, \mathcal{F}, \mathbb{P})$.

Adapted vector-state valued process : $(t, \omega) \mapsto |\Psi_t(\omega)\rangle$.

$$\rho_t = \mathbb{E}[|\Psi_t\rangle\langle\Psi_t|].$$

Stochastic Differential Form

- It is useful to consider a *linear* Ito SDE of the form

$$d|\chi_t\rangle = A |\chi_t\rangle dt + \sum_k B_k |\chi_t\rangle dW_k(t),$$

where $A, (B_k)$ are linear operators and the $(W_k(t))$ are martingales.

- The *normalized* state giving the unravelling is then

$$|\Psi_t\rangle = \frac{1}{\sqrt{\langle\chi_t|\chi_t\rangle}}|\chi_t\rangle.$$

Motivation

- Quantum Monte-Carlo
(H. Carmichael, K. Mølmer, etc.)
- Quantum Filtering
(V.P. Belavkin, A.S. Holevo, R.L. Stratonovich, etc.)
- Theory of Decoherence and «collapse of the wave-function»
(Ghirardi, Rimini, Pearle, Gisin, Diósi, Percival, etc.)

Markov vs Non-Markov

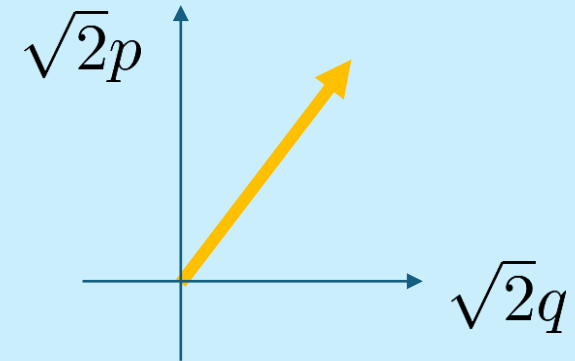
- Markovianity is only ever an approximation in physical models, but the assumption is made for convenience whenever viable.
- For cavity QED, it is justified to the point of being mandatory.
- Systems with feedback loop implemented via time-delayed photonic waveguides are clearly non-Markovian (H. Ding and G. Zhang).
- May be of interest to include a non-markovian bath in an otherwise markovian model.

Mathematical Concepts

- Quantum Harmonic Oscillator

$$[a, a^*] = 1, \quad a |\text{vac}\rangle = 0.$$

Complex amplitude $\alpha = \alpha' + i\alpha''$



- Bargmann/ Exponential vectors** (normalized = coherent states)

$$|\alpha\rangle = e^{\alpha a^*} |\text{vac}\rangle = \sum_{n=0}^{\infty} \frac{(\alpha^*)^n}{\sqrt{n!}} |n\rangle.$$

- Properties

$$(a - \alpha) |\alpha\rangle = 0, \quad \langle \alpha | \beta \rangle = e^{\alpha^* \beta}, \quad \int_{\mathbb{C}} e^{-|\alpha|^2} \frac{d\alpha' d\alpha''}{\pi} |\alpha\rangle \langle \alpha| = I.$$

Microscopic Model - Bath

- Bose Fock space $\mathfrak{H}_B = \Gamma(\mathfrak{f}_B)$ e.g., $\mathfrak{f}_B = L^2(\mathbb{R}_+, d\omega)$.
- We refer to \mathfrak{f}_B as the **one-particle space**.
- Creation/annihilation operators

$$[\hat{a}_\omega, \hat{a}_{\omega'}^*] = \delta(\omega - \omega').$$

- For $g \in \mathfrak{f}_B$,

$$\hat{a}(g)^* = \int_0^\infty g(\omega) \hat{a}_\omega^* d\omega, \quad \hat{a}(g) = \int_0^\infty g(\omega)^* \hat{a}_\omega d\omega$$

- For a given vector $|f\rangle \in \mathfrak{f}_B$, its *exponential vector* is defined as

$$|e^f\rangle_B = e^{\hat{a}(f)^*} |\text{vac}\rangle_B \equiv |\text{vac}\rangle \oplus |f\rangle \oplus \left(\frac{1}{\sqrt{2!}} |f\rangle \otimes |f\rangle \right) \oplus \cdots .$$

- In particular, the vacuum state corresponds to $|\text{vac}\rangle_B \equiv |e^0\rangle_B$.
- The exponential vectors form a total subset in \mathfrak{H}_B and we note that

$$\langle e^f | e^g \rangle_B = e^{\langle f | g \rangle} .$$

- The **Complex Wave representation** of a vector $|\Psi\rangle \in \mathfrak{H}_B$ is given by

$$\tilde{\Psi}(f) = \langle e^f | \Psi \rangle.$$

- The mapping $f \mapsto \langle e^f | \Psi \rangle$ is naturally anti-holomorphic.
- The pre-measure $\tilde{\mathbb{P}}$ on the one-particle space \mathfrak{f}_B by

$$\int_{\mathfrak{f}_B} e^{\langle g_1 | f \rangle} e^{\langle f | g_2 \rangle} \tilde{\mathbb{P}}[df] = e^{\langle g_1 | g_2 \rangle}.$$

- For the case where the bath is a finite assembly of oscillators, $\tilde{\mathbb{P}}$ will define a Gaussian measure:

$$\mathfrak{f}_B \cong \mathbb{C}^N, \quad f(\omega) = f(\omega)' + if(\omega)''$$

$$\tilde{\mathbb{P}}[df] = \prod_{\omega} \left(\frac{1}{\pi} e^{-|f(\omega)|^2} df(\omega)' df(\omega)'' \right).$$

- In the infinite-dimensional case, we should extend $\tilde{\mathbb{P}}$ to a σ -additive measure over a larger space $\mathfrak{f}_B^>$. See J.Kupsch and O.G. Smolyanov (Doklady Math., April 2009) or the book by J.G. and J. Kupsch.

- In general, we have

$$\langle \Phi | \Psi \rangle_B = \int_{\mathfrak{f}_B} \tilde{\Phi}(f)^* \tilde{\Psi}(f) \tilde{\mathbb{P}}[df].$$

- We also have the resolution of identity

$$\int_{\mathfrak{f}_B} |e^f\rangle \langle e^f| \tilde{\mathbb{P}}[df] = \hat{I}_B.$$

System-Bath Interaction

- Total Hamiltonian

$$\hat{H}_{\text{Tot.}} = \hat{H} \otimes \hat{I}_B + \hat{I}_S \otimes \hat{H}_B + i\hat{L} \otimes \hat{a}(g)^* - i\hat{L}^* \otimes \hat{a}(g).$$

- The bath Hamiltonian is just oscillatory

$$e^{it\hat{H}_B} \hat{a}(g) e^{-it\hat{H}_B} \equiv \hat{a}(g_t), \text{ where } |g_t\rangle = e^{it\hat{h}_B} |g\rangle.$$

- The bath correlation function (**kernel**) is

$$K(t, s) \triangleq \langle g_t | g_s \rangle = \langle g | e^{-i(t-s)\hat{h}_B} | g \rangle.$$

- Move into the interaction picture with respect to the bath

$$\frac{d}{dt}\hat{U}_t = -i\hat{\Upsilon}_t \hat{U}_t, \quad -i\hat{\Upsilon}_t = -i\hat{H} \otimes \hat{I}_B + \hat{L} \otimes \hat{Z}(t) - \hat{L}^* \otimes \hat{Z}(t)^*.$$

- Here we introduce the “*bath processes*”

$$\hat{Z}(t) = \hat{a}(g_t)^*.$$

- The commutation relations are

$$[\hat{Z}(t)^*, \hat{Z}(s)] = K(t, s) \hat{I}_B.$$

Input-System-Output Model

- It is convenient to introduce the following operators:

$$\begin{aligned} j_t(\hat{X}) &= \hat{U}_t^* (\hat{X} \otimes \hat{I}_B) \hat{U}_t, \\ \hat{Z}_{\text{in}}(t) &= \hat{I}_S \otimes \hat{Z}(t), \\ \hat{Z}_{\text{out}}(t) &= \hat{U}_t^* \hat{Z}_{\text{in}}(t) \hat{U}_t. \end{aligned}$$

- $j_t(\hat{X})$ commutes with both $\hat{Z}_{\text{out}}(t)$ and $\hat{Z}_{\text{out}}(t)^*$.

- Input-output relation

$$\hat{Z}_{\text{out}}(t)^* = \hat{Z}_{\text{in}}(t)^* + \int_0^t K(t, \tau) j_\tau(\hat{L}) d\tau.$$

- “Ehrenfest Equation” with memory!!!

$$\frac{d}{dt} \langle j_t(\hat{X}) \rangle = \langle j_t(\frac{1}{i} [\hat{X}, \hat{H}]) \rangle + \int_0^t d\tau K(t, \tau) \langle j_t([\hat{L}^*, \hat{X}]) j_\tau(\hat{L}) \rangle + \int_0^t d\tau K(t, \tau)^* \langle j_\tau(\hat{L}^*) j_t([\hat{X}, \hat{L}]) \rangle.$$

Markov Limit

- E.g., Weak Coupling Limit

$$K(t, s) \rightarrow \delta(t - s).$$

- Bath processes replaced by singular quantum white noises

$$[\hat{b}_t, \hat{b}_s^*] = \delta(t - s)$$

$$d\hat{B}_{\text{in}}(t) = \hat{b}_t dt$$

- Input-output relation

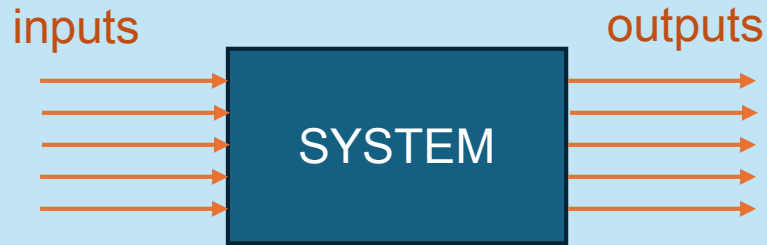
$$d\hat{B}_{\text{out}}(t) = d\hat{B}_{\text{in}}(t) + j_t(\hat{L}) dt.$$

- “Ehrenfest Equation” becomes

$$\frac{d}{dt} \langle j_t(\hat{X}) \rangle = \langle j_t(\frac{1}{i} [\hat{X}, \hat{H}]) \rangle + \langle j_t(\mathcal{L}X) \rangle.$$

$$\mathcal{L}\hat{X} = \frac{1}{2}[\hat{L}^*, \hat{X}]\hat{L} + \frac{1}{2}\hat{L}^*[\hat{X}, \hat{L}].$$

SLH Models



Stratonovich Form

$$\frac{d}{dt} \hat{U}_t = -i \hat{\Upsilon}_t \hat{U}_t$$

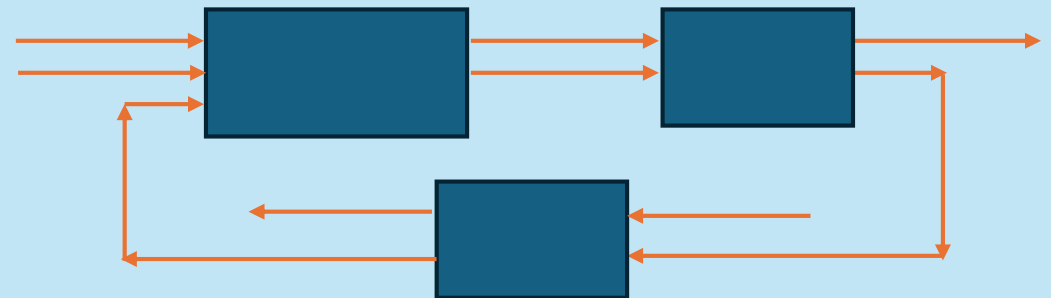
$$\hat{\Upsilon}_t = \hat{E}_{11} \otimes \hat{b}_t^* \hat{b}_t + \hat{E}_{10} \otimes \hat{b}_t^* + \hat{E}_{01} \otimes \hat{b}_t + \hat{E}_{00} \otimes \hat{I}$$

Ito Form (Husdon-Parthasarathy)

$$\hat{S} = \frac{\hat{I} - \frac{i}{2} \hat{E}_{11}}{\hat{I} + \frac{i}{2} \hat{E}_{11}}, \quad \hat{L} = -\frac{i}{2} \frac{1}{\hat{I} + \frac{i}{2} \hat{E}_{11}} \hat{E}_{10}, \quad \hat{H} = \hat{E}_{00} + \frac{1}{2} \hat{E}_{01} \operatorname{Re} \left(\frac{1}{\hat{I} + \frac{i}{2} \hat{E}_{11}} \right) \hat{E}_{10}.$$

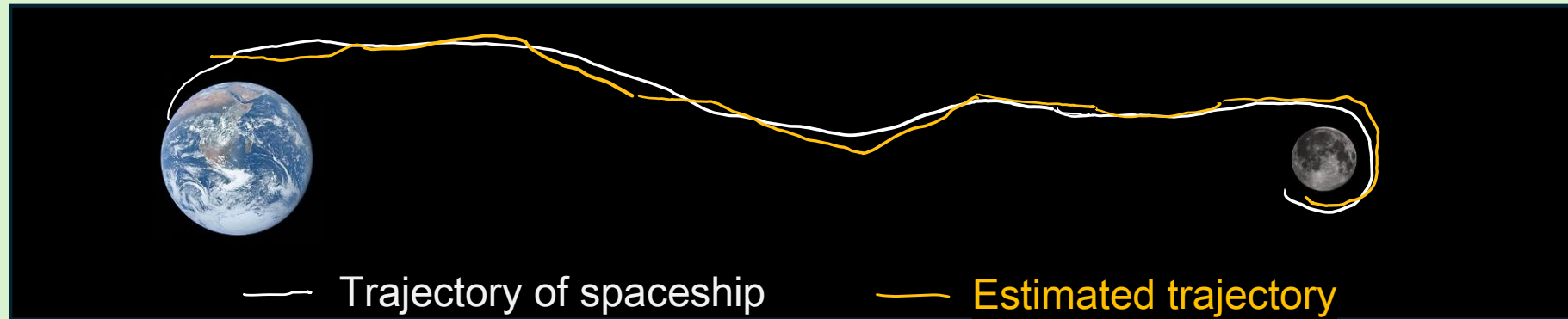
Quantum Feedback Networks (JG + Matthew James)

$$dB_{\text{out}}(t) = j_t(\hat{S}) dB_{\text{in}}(t) + j_t(\hat{L}) dt.$$



The Filtering Problem (Stratonovich, Kalman, ...)

- Estimating the state of a (noisy) dynamical system based on (noisy) partial information.



- Does this apply to quantum systems?



Quantum Markov Filter: Homodyne Detection

- Measure the output quadrature

$$\hat{Y}(t) = \hat{B}_{\text{out}}(t) + \hat{B}_{\text{out}}(t)^*.$$

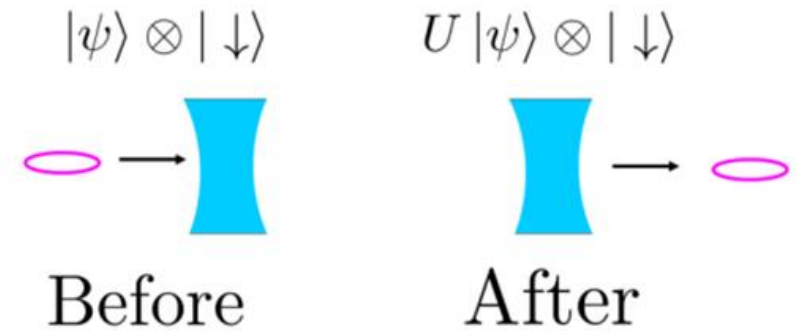
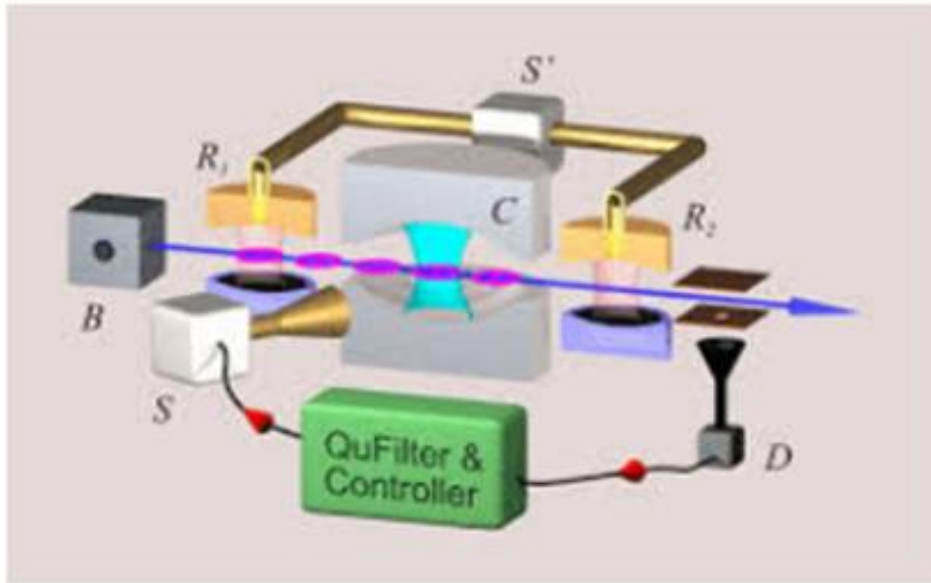
- The quadratures form a *self-commuting* process.
- The observables $j_t(\hat{X})$ commute with the output $\hat{Y}(s)$ for $t \geq s$.
- There is a best estimate $\pi_t(\hat{X})$ for $j_t(\hat{X})$ belonging to the algebra generated by the quadrature process up to time t .

$$\pi_t(\hat{X}) = \frac{\langle \chi_t | \hat{X} | \chi_t \rangle}{\langle \chi_t | \chi_t \rangle}$$

$$d|\chi_t\rangle = \hat{L}|\chi_t\rangle dY(t) - (\tfrac{1}{2}\hat{L}^*\hat{L} + i\hat{H})|\chi_t\rangle dt.$$

Paris Photon-Box Experiment

I. Dotsenko, M. Mirrahimi, M. Brune, S. Haroche, J.-M. Raimond, and P. Rouchon
Quantum feedback by discrete quantum nondemolition measurements: Towards on-demand generation of photon-number states
Phys. Rev. A 80, 013805 (2009)

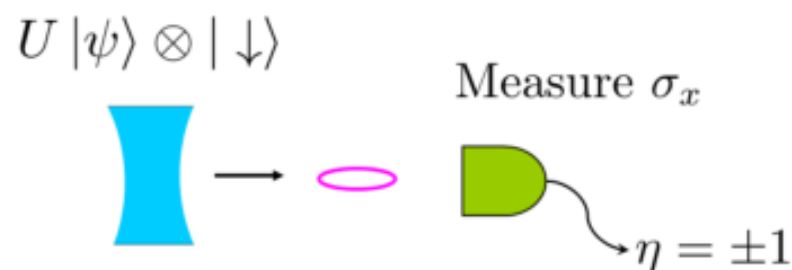


We take the interaction time τ to be very small and assume that the unitary has the form

$$\begin{aligned} U &= \exp \{ \sqrt{\tau} L \otimes \sigma^* - \sqrt{\tau} L^* \otimes \sigma - i\tau H \otimes I_2 \} \\ &\simeq 1 + \sqrt{\tau} L \otimes \sigma^* - \sqrt{\tau} L^* \otimes \sigma - \tau \left(\frac{1}{2} L^* L + iH \right) \otimes I_2 + \dots \end{aligned}$$

We now measure the spin σ_x of the qubit to obtain the values $\eta = \pm 1$ corresponding to the eigenvectors

$$|+\rangle = \frac{1}{\sqrt{2}}|\downarrow\rangle + \frac{1}{\sqrt{2}}|\uparrow\rangle, \quad |-\rangle = \frac{1}{\sqrt{2}}|\downarrow\rangle - \frac{1}{\sqrt{2}}|\uparrow\rangle.$$



The probabilities for detecting $\eta = \pm 1$ are

$$p_{\pm} = \frac{1}{2} \pm \frac{1}{2} \sqrt{\tau} \langle \psi | L + L^* | \psi \rangle + \dots$$

After measurement, the system state becomes (up to normalisation!)

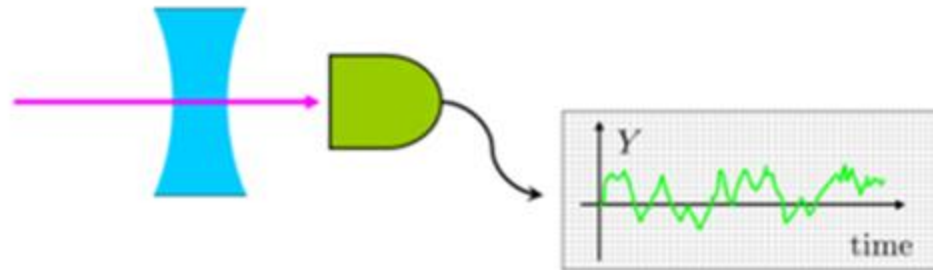
$$|\psi_{\eta}\rangle \propto |\psi\rangle + \sqrt{\tau} L |\psi\rangle \eta - \tau \left(\frac{1}{2} L^* L + iH \right) |\psi\rangle + \dots$$

We may take a continuum limit we have (central limit effect)

$$\tau \hookrightarrow dt \quad \sqrt{\tau}\eta \hookrightarrow dY$$

The limit equation is (quantum Zakai equation)

$$d|\chi_t\rangle = L|\chi_t\rangle dY_t - \left(\frac{1}{2}L^*L + iH\right)|\chi_t\rangle dt.$$



For the normalized state $|\psi_t\rangle = |\chi_t\rangle / \|\chi_t\|$ we find (**Stochastic Schrödinger equation**)

$$d|\psi_t\rangle = -iH|\psi_t\rangle dt - \frac{1}{2}(L - \lambda_t)^*(L - \lambda_t)|\psi_t\rangle dt + (L - \lambda_t)|\psi_t\rangle dl_t.$$

$$dl_t = dY_t - \lambda_t dt. \quad (\text{Innovations – Wiener process})$$

Quantum State Diffusion

- In the Markov case, this is very similar to the quantum filtering/
Monte Carlo problem.
- But the processes are complex Wiener Processes!
- There is a non-Markovian version due to L. Diósi, W. Strunz
(and later N. Gisin).
- We will derive their equation in this report.

Quantum State Diffusion (Markovian)

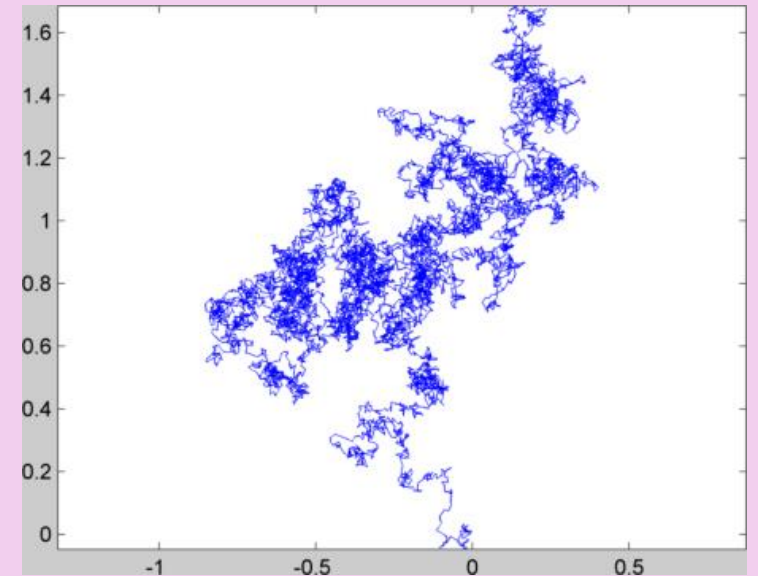
$$d|\chi_t\rangle = -\left(\frac{1}{2}L^*L + iH\right)|\chi_t\rangle dt + L|\chi_t\rangle d\xi(t),$$

Complex Wiener process

$$\xi(t) = \frac{1}{\sqrt{2}}(B_1(t) + iB_2(t))$$

$$(d\xi)^2 = \frac{1}{2}(dB_1)^2 - \frac{1}{2}(dB_2)^2 + i dB_1 dB_2 = 0 + i0,$$

$$d\xi^* d\xi = \frac{1}{2}(dB_1)^2 + \frac{1}{2}(dB_2)^2 + i0 = dt.$$



Quantum State Diffusion (Non-Markovian)

- Postulated by W. Strunz and L. Diósi
- Replaces the complex Wiener process: $z_t \equiv \dot{\xi}_t$

$$\mathbb{E}[z_t z_s] = 0, \quad \mathbb{E}[z_t^* z_s] = K(t, s) = K(s, t)^*.$$

- Stochastic equation with memory (**causal?**)

$$\frac{d}{dt} |\Psi_t\rangle = -iH |\Psi_t\rangle + L |\Psi_t\rangle z(t) - L^* \int_0^t K(t, s) \frac{\delta}{\delta z_s} |\Psi_t\rangle ds.$$

Back to a Microscopic Model

- Recall that $|g_t\rangle = e^{it\hat{h}_B}|g\rangle$,

$$Z_t^* = e^{it\hat{H}_B} \hat{a}(g) e^{-it\hat{H}_B} \equiv \hat{a}(g_t).$$

For each $f \in \mathfrak{f}$, we define its associated **complex trajectory** over the time interval \mathbb{T} to be the function $\zeta(f) : \mathbb{T} \mapsto \mathbb{C} : t \mapsto \zeta_t(f)$ where

$$\zeta_t(f) = \langle f | g_t \rangle_{\mathfrak{f}}.$$

The space of complex trajectories will be denoted as $\mathcal{C}_K(\mathbb{T}, dt)$.

Back to a Microscopic Model

- Recall $\hat{Z}(t) = \hat{a}(g_t)^*$.
- We then have the eigen-relation

$$\left(\hat{Z}_t^* - \zeta_t(f)^* \right) |e^f\rangle_B = 0.$$

- Need to go from the frequency domain (bath modes) to the time domain!

RKHS Formalism

- Let \mathbb{T} be an interval in \mathbb{R} , and $K : \mathbb{T} \times \mathbb{T} \mapsto \mathbb{C}$ a positive definite kernel.
- For each $t \in \mathbb{T}$, a function $k_t : \mathbb{T} \mapsto \mathbb{C}$ is then defined by

$$k_t(\cdot) \triangleq K(t, \cdot).$$

- A Hilbert space \mathcal{H} of complex-valued functions on \mathbb{T} forms a **reproducing kernel Hilbert space (RKHS)** for the kernel K if $k_t \in \mathcal{H}$, for each $t \in \mathbb{T}$, and we have the *reproducing property*

$$\langle k_t, f \rangle_{\mathcal{H}} = f(t)$$

for all $t \in \mathbb{T}$ and all $f \in \mathcal{H}$.

RKHS Formalism

- A kernel is said to be derivable from a *feature map* if there exists a Hilbert space \mathfrak{f} (called the **feature space**) and a function $g : \mathbb{T} \mapsto \mathbb{C}$ (called the **feature map**) such that

$$K(t, s) \equiv \langle g_t, g_s \rangle_{\mathfrak{f}}.$$

- The Bose bath model supplies us with these naturally:
feature space = one-particle space for the bath;
feature map = free evolution of one-particle states.
- Mercer kernel assumption implies the existence of a RKHS.

The Hilbert Space of Complex Trajectories

- Theorem

The set of complex trajectories, $\mathcal{C}_K(\mathbb{T}, dt)$, forms a Hilbert subspace of the RKHS $\mathcal{H}_K(\mathbb{T}, dt)$ (inheriting the same inner product) and the map ζ is a conjugate-linear isometry into the feature space \mathfrak{f}_B .

$$\langle \zeta(f_1)^*, \zeta(f_2)^* \rangle_{\mathcal{H}} = \langle f_1 | f_2 \rangle_{\mathfrak{f}}.$$

The space $\mathcal{C}_K(\mathbb{T}, dt)$ is conjugate-isomorphic to \mathfrak{f}_B , assuming that g is faithful.

Frequency/Time Domain Transformation

• **Change of Variable** Suppose that g is faithful so that the mapping $\zeta : \mathfrak{f}_B \mapsto \mathcal{C}_K(\mathbb{T}, dt)$ is invertible. Then, for each Bargmann function $\tilde{\Psi}$, we define the functions Ψ by

$$\Psi(\cdot) = \tilde{\Psi} \circ \zeta^{-1}.$$

Additionally, we can endow $\mathcal{C}_K(\mathbb{T}, dt)$ with the pull-back pro-measure $\mathbb{P} = \tilde{\mathbb{P}} \circ \zeta^{-1}$. We may extend \mathbb{P} to a probability measure as outlined earlier.

$$\langle \Phi | \Psi \rangle = \int_{\mathfrak{f}_B} \langle \tilde{\Phi}(f) | \tilde{\Psi}(f) \rangle_S \tilde{\mathbb{P}}[df] = \int_{\mathcal{C}_K(\mathbb{T}, dt)} \langle \Phi(\zeta) | \Psi(\zeta) \rangle_S \mathbb{P}[d\zeta].$$

- In the Bargmann representation, $\hat{Z}(t)$ corresponds to multiplication by $\zeta_t(\cdot)$:

$$\hat{Z}(t) \tilde{\Psi}(f) = \zeta_t(f) \tilde{\Psi}(f).$$

- With the change of variable, $\hat{Z}(t)$ is multiplication by $\zeta_t(\cdot)$!

- For the case where $\mathbb{T} = \mathbb{R}$, the adjoint operator $\hat{Z}(t)^*$ is

$$\hat{Z}(t)^* \equiv \int_{-\infty}^{\infty} d\tau K(t, \tau) \frac{\delta}{\delta \zeta_{\tau}}.$$

Complex Trajectory Unravellings

- **Causality?**

Let $|\Psi_t(\zeta)\rangle_S$ be the hybrid complex wave representation of $|\Psi_t\rangle = \hat{U}_t |\phi \otimes \text{vac}\rangle$, then

$$\frac{\delta}{\delta \zeta_\tau} |\Psi_t(\zeta)\rangle_S = 0, \quad \text{whenever } \tau \notin [0, t].$$

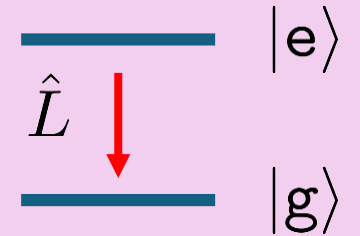
- **Theorem**

An unravelling is given on the space $\mathcal{C}_K(\mathbb{T}, dt)$ of complex trajectories with measure \mathbb{P} . The unravelling $\zeta \mapsto |\Psi_t(\zeta)\rangle_S$ satisfies the Diósi-Strunz equation with initial condition $|\Psi_0(\zeta)\rangle_S = |\phi\rangle_S$ for all ζ .

Exactly Solvable Model

- Jaynes-Cummings model: 2-level atom coupled to a bosonic bath

$$\hat{H} = \omega_0 |e\rangle\langle e| \text{ and } \hat{L} = |g\rangle\langle e|.$$



- Expand as a Dyson series and re-sum

$$|\Psi_t(\zeta)\rangle_S = |\phi\rangle_S + \langle e|\phi\rangle_S \left((\lambda(t) - 1)|e\rangle_S + \int_0^t \zeta_\tau \lambda(\tau) d\tau |g\rangle_S \right),$$

where $\lambda(t) = \sum_{k=0}^{\infty} (-1)^k I_k(t)$ with $I_0(t) = 1$ and, for $k \geq 1$,

$$I_k(t) = \int_{t \geq \tau_{2k} > \tau_{2k-1} > \dots > \tau_1 \geq 0} d\tau_{2k} \cdots d\tau_1 K(\tau_{2k}, \tau_{2k-1}) \cdots K(\tau_2, \tau_1).$$

Exactly Solvable Model

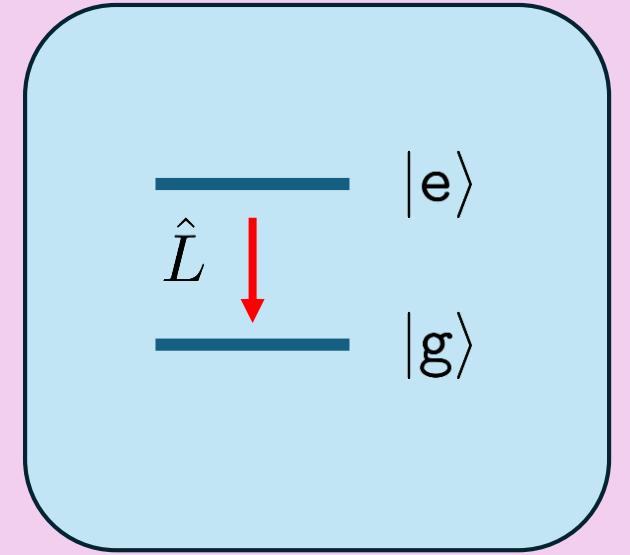
- We note that

$$\dot{\lambda}(t) = - \int_0^t K(t, \tau) \lambda(\tau) d\tau.$$

- By substitution, the solution satisfies the Strunz-Diósi equation.
- For the “Markov” case we have

$$K(t, s) = \gamma \delta(t - s),$$

here we have $I_k(t) = \frac{1}{k!} (\gamma/2)^k$, and so $\lambda(t) = e^{-\gamma t/2}$.



Conclusions

- Quantum Filtering is fundamentally Markovian
- The complex trajectories in the non-Markovian Quantum State Diffusion models do *not* involve measurement. In fact, the complex processes are themselves not self-commuting.
- The apparent randomness is due to the background Gaussian measure appearing in the Bargmann-Segal representation.
- However, the model proposed by Diósi and Strunz is correct and naturally causal.