

Algebraic Geometry and Convex Geometry

Dedicated to Vladimir Igorevich Arnold

A. Khovanskii

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Intersection index on an irreducible variety X , $\dim X = n$

Let $K(X)$ be the semigroup of spaces L of rational functions on X such that: a) $\dim L < \infty$, and b) $L \neq 0$.

For $L_1, L_2 \in K(X)$, the product is the space $L_1 L_2 \in K(X)$ generated by elements fg with $f \in L_1, g \in L_2$.

For $L_1, \dots, L_n \in K(X)$, the intersection index $[L_1, \dots, L_n]$ is

$$\#x \in X : (f_1(x) = \dots = f_n(x) = 0)$$

for a generic n -tuple of functions $f_1 \in L_1, \dots, f_n \in L_n$.

We neglect roots $x \in X$ such that $\exists i : (f \in L_i \Rightarrow f(x) = 0)$, and such that $\exists f \in L_j$ for $1 \leq j \leq n$ having a pole at x .

The intersection index is multi-linear with respect to the product in $K(X)$.

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Koushnirenko's theorem

Let $X = (\mathbb{C}^*)^n$ and let $A \subset (\mathbb{Z})^n$ be a finite set; let $L_A \in K(X)$ be the space generated by x^m with $m \in A$; let $\Delta(A)$ be the convex hull of A and $V(\Delta(A))$ be its volume.

Theorem (Kushnirenko (1975))

$$[L_A, \dots, L_A] = n! V(\Delta(A)).$$

Why?

Why convex hull?

Why volume?

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Mixed volume

(\exists !) $V(\Delta_1, \dots, \Delta_n)$, on n -tuples of convex bodies in \mathbb{R}^n , such that:

1. $V(\Delta, \dots, \Delta)$ is the volume of Δ ;
2. V is symmetric;
3. V is multi-linear; for instance,
$$V(\Delta'_1 + \Delta''_1, \Delta_2, \dots) = V(\Delta'_1, \Delta_2, \dots) + V(\Delta''_1, \Delta_2, \dots);$$
4. $\Delta'_1 \subseteq \Delta_1, \dots, \Delta'_n \subseteq \Delta_n \Rightarrow V(\Delta'_1, \dots, \Delta'_n) \leq V(\Delta_1, \dots, \Delta_n)$;
5. $0 \leq V(\Delta_1, \dots, \Delta_n)$.

Theorem (Bernstein (1975))

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The Grothendieck Semigroup $\text{Gr}(S)$

For a commutative semigroup S let

$$a \sim b \Leftrightarrow (\exists c \in S) (a + c = b + c).$$

Then $\text{Gr}(S)$ is S/\sim . Let $\rho : S \rightarrow \text{Gr}(S)$ be the natural map.

The Grothendieck group of S is the group of formal differences of $\text{Gr}(S)$.

Theorem

Let \mathcal{K} be the semigroup of finite subsets $\mathbb{Z}^n \subset \mathbb{R}^n$ with respect to addition. Then $\text{Gr}(\mathcal{K})$ can be identified with the semigroup of convex polyhedra and $\rho(A)$ is the convex hull $\Delta(A)$ of A .

The index $[L_1, \dots, L_n]$ can be extended to the Grothendieck group $\text{Gr}(K(X))$ of $K(X)$ and considered as a birationally invariant generalization of the intersection index of divisors, which is applicable to non-complete varieties.

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The group $G(K(X))$ of $K(X)$

One can describe the relation \sim in $K(X)$ as follows: $f \in \mathbb{C}(X)$ is called integral over L if it satisfies an equation

$$f^m + a_1 f^{m-1} + \cdots + a_m = 0$$

with $m > 0$ and $a_i \in L^i$. The collection of all integral functions over L is a finite-dimensional subspace \bar{L} called the completion of L . In $K(X)$:

1. $L_1 \sim L_2 \Leftrightarrow \bar{L}_1 = \bar{L}_2$;
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Semigroup of integral points...

Let $S \subset \mathbb{Z}^n$ be a semigroup,

$G(S) \subset \mathbb{Z}^n$ the group generated by S ;

$L(S) \subset \mathbb{R}^n$ the subspace spanned by S ;

$C(S) = \overline{(\text{convex hull of } S \cup \{0\})}$.

The regularization \tilde{S} of S is the semigroup $C(S) \cap G(S)$.

Theorem

Let $C' \subset C(S)$ be a strongly convex cone which intersects the boundary (in the topology of the linear space $L(S)$) of the cone $C(S)$ only at the origin. Then there exists a constant $N > 0$ (depending on C') such that any point in the group $G(S)$ which lies in C' and whose distance from the origin is bigger than N belongs to S .

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...and its Newton-Okounkov body

Let $M_0 \subset L(S)$ be a space; $\dim M_0 = \dim L(S) - 1 = q$;

$C(S) \cap M_0 = 0$;

Let M_k be the affine space parallel to M_0 and intersecting G which has distance k from the origin (the distance is normalized in such a way that its values are all the non-negative integers k).

The Hilbert function H_S of S is defined by $H_S(k) = \#M_k \cap S$.

The Newton-Okounkov body $\Delta(S)$ of S is defined by

$\Delta(S) = C(S) \cap M_1$.

Theorem

The function $H_S(k)$ grows like $a_q k^q$ where q is the dimension of the convex body $\Delta(S)$, and the q -th growth coefficient a_q is equal to the (normalized in the appropriate way) q -dimensional volume of $\Delta(S)$.

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Algebras of almost finite type and their Newton–Okounkov bodies

Let F be a field of transcendence degree n over \mathbf{k} . Let $F[t]$ be the algebra of polynomials over F . We deal with graded subalgebras in $F[t]$:

1. $A_L = \bigoplus_{k \geq 0} L^k t^k$, where $L \subset F$ is a subspace, $\dim_{\mathbf{k}} L < \infty$; $L^0 = \mathbf{k}$ and L^k is the span of all the products $f_1 \cdots f_k$ with $f_1, \dots, f_k \in L$.
2. An algebra of almost integral type is a subalgebra in some algebra A_L .

We construct a \mathbb{Z}^{n+1} -valued valuation v_t on $F[t]$ by extending a \mathbb{Z}^n -valuation v on F which takes all the values in \mathbb{Z}^n .

Let $\Delta(A)$ be the Newton–Okounkov body of the semigroup $S(A) = v_t(A \setminus \{0\})$ projected to \mathbb{R}^n (via the projection on the first factor $\mathbb{R}^n \times \mathbb{R} \rightarrow \mathbb{R}^n$).

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One defines a componentwise product of graded subalgebras. Consider the class of graded algebras of almost integral type such that, for $k \gg 0$, all their k -th homogeneous components are non-zero. Let A_1, A_2 be algebras of such kind and put $A_3 = A_1 A_2$. It is easy to verify the inclusion $\Delta(A_1) + \Delta(A_2) \subset \Delta(A_3)$.

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Newton–Okounkov bodies and Intersection theory

With a space $L \in K(X)$, we associate the algebra A_L and its integral closure \overline{A}_L in the field $\mathbb{C}(X)[t]$ and two corresponding bodies $\Delta(A_L) \subseteq \Delta(\overline{A}_L)$.

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Hodge type inequality. For $n = 2$ we have

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The Alexandrov–Fenchel inequality in convex geometry follows easily from the Theorem above via the Bernstein–Kušnirenko theorem. This trick has been known. Our contribution is an elementary proof of the key analogue of the Hodge index inequality which makes all the chain of arguments involved elementary and more natural.

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Local intersection theory

Let R_a be the ring of germs of regular functions at a point $a \in X$, $\dim X = n$.

Let \mathbf{K}_a be the set of ideals $L \subset R_a$ of finite co-dimension, $\dim_{\mathbb{C}} R_a/L < \infty$. For $L_1, \dots, L_n \in \mathbf{K}_a$ the local intersection index $[L_1, \dots, L_n]_a$ is defined: it is equal to the multiplicity at the origin of a system $f_1 = \dots = f_n = 0$, where f_i is a generic function from L_i .

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(local algebraic Alexandrov–Fenchel type inequality) *Let*

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Local geometric version

Let $C \subset \mathbb{R}^n$ be a strongly convex cone. A compact set $A \subset C$ is called co-convex body if $C \setminus A$ is convex. Put

$$A \oplus B = C \setminus [(C \setminus A) + C \setminus B].$$

The set of co-convex bodies with the operation \oplus is a commutative semigroup. Its Grothendieck group is a real vector space $BL(C)$.

Let V_C be the homogeneous degree n polynomial on $BL(C)$ such that $V_C(A)$ is equal to the volume of a co-convex body A .

The mixed volume $V_C(A_{i_1}, \dots, A_{i_n})$ of co-convex sets A_{i_1}, \dots, A_{i_n} is the value of the polarization of V_C on the n -tuple A_{i_1}, \dots, A_{i_n} .

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Other results

- ▶ For $L \in \mathcal{K}$ the Newton–Okounkov body $\Delta(\overline{A_L})$ strongly depends on a choice of \mathbb{Z}^n -valued valuation v on $\mathbb{C}(X)$. If X is equipped with a reductive group action and if one is interested only in the invariant subspaces $L \in \mathcal{K}$, then one can use the freedom to make all results more precise and explicit
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